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STABLE GRAPHS : DISTRIBUTIONS AND LINE-BREAKING CONSTRUCTION

GRAPHES STABLES : DISTRIBUTIONS ET CONSTRUCTION PAR RECOLLEMENTS

ABSTRACT. — For $\alpha \in (1, 2]$, the α -stable graph arises as the universal scaling limit of critical random graphs with i.i.d. degrees having a given α -dependent power-law tail behavior. It consists of a sequence of compact measured metric spaces (the limiting connected components), each of which is tree-like, in the sense that it consists of an \mathbb{R} -tree with finitely many vertex-identifications (which create cycles). Indeed, given their masses and numbers of vertex-identifications, these components are independent and may be constructed from a spanning \mathbb{R} -tree, which is a biased version of the α -stable tree, with a certain number of leaves glued along their paths to the root. In this paper we investigate the geometric properties of such a component with given mass and number of vertex-identifications. We (1) obtain the distribution of its kernel and more generally of its discrete finite-dimensional marginals, and observe that these distributions are themselves related to the distributions of certain configuration models; (2) determine its distribution as a collection of α -stable trees glued onto its

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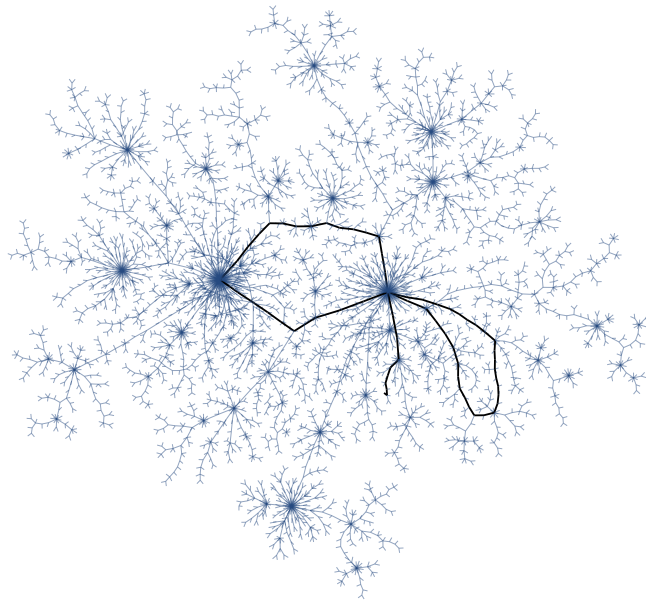
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kernel; and (3) present a line-breaking construction, in the same spirit as Aldous' line-breaking construction of the Brownian continuum random tree.

RÉSUMÉ. — Pour $\alpha \in (1, 2]$, le graphe α -stable est la limite d'échelle universelle de graphes aléatoires critiques à degrés i.i.d. dont la loi admet une queue polynomiale avec un certain exposant dépendant de α . Cet objet est constitué d'une suite d'espaces métriques compacts mesurés (ses composantes connexes), chacun étant "presque un arbre", dans le sens où c'est un \mathbb{R} -arbre avec un nombre fini de paires de points identifiés, créant ainsi des cycles. Plus précisément, étant donnés leurs masses et nombres d'identifications de paires de points, ces composantes connexes sont indépendantes et peuvent être construites à partir d'un arbre α -stable biaisé dont un nombre fini de feuilles sont identifiées (collées) à un point le long de leur chemin vers la racine. Dans cet article, nous nous intéressons aux propriétés géométriques d'une telle composante connexe, à masse et nombre d'identifications fixés.

Nous (1) obtenons la loi de son noyau et plus généralement celles de ses marginales fin-dimensionnelles discrètes et constatons que ces lois peuvent elles-mêmes s'exprimer à partir de certains modèles de configuration; (2) décrivons sa loi en la construisant comme un recollement d'arbres α -stables le long de son noyau; et (3) en présentons une construction par recollements successifs de segments, dans l'esprit de la construction par recollement de l'arbre brownien donnée par Aldous.



A simulation of a connected component of the stable graph when $\alpha = 1.5$ and the surplus is 2. The cycle structure is shown in black.

1. Introduction and main results

1.1. Motivation

The purpose of this paper is to understand the distributional properties of the scaling limit of a critical random graph with independent and identically distributed degrees having certain power-law tail behaviour. Let us first describe the random graph model precisely. Let $D_1, D_2, \dots, D_n \in \mathbb{N} := \{1, 2, \dots\}$ be independent and identically distributed random variables such that $\mathbb{E}[D_1^2] < \infty$. We build a graph with

vertices labelled by $1, 2, \dots, n$. For $i = 1, \dots, n - 1$, let vertex i have degree D_i . If $\sum_{i=1}^n D_i$ is even, let vertex n have degree D_n ; otherwise, let vertex n have degree $D_n + 1$. Now pick a simple graph G_n (i.e. a graph with no self-loops or multiple edges) uniformly at random from among those with these given vertex degrees (at least one such graph exists with probability tending to 1 as $n \rightarrow \infty$).

Molloy and Reed [MR95] showed that there is a phase transition in the sizes of the connected components in the more general setting of random graphs with given (deterministic) degrees. Specialised to our setting, their result says that if the parameter $\nu := \mathbb{E}[D_1(D_1 - 1)]/\mathbb{E}[D_1]$ is larger than 1 there exists a unique *giant component* of size proportional to n , while if ν is smaller than or equal to 1 there is no giant component. We will here tune the degree distribution so as to be exactly at the point of the phase transition, i.e. $\nu = 1$. The behaviour is here at its most delicate: even after performing the correct rescaling and taking a limit, there is residual randomness in the sequence of component sizes, as demonstrated by Joseph [Jos14], who proved scaling limits for the component sizes under various assumptions on the tail behaviour of D_1 . (See also Riordan [Rio12] in the case of bounded degrees.) For the questions in which we are interested, the critical case with $\mathbb{E}[D_1^3] < \infty$ has already been thoroughly investigated in previous work, which we summarise in Section 1.3. So we will rather assume that the degree distribution has infinite third moment and a specific power-law behaviour. Henceforth, fix $1 < \alpha < 2$ and assume that

$$(1.1) \quad \nu = 1 \quad \text{and} \quad \mathbb{P}(D_1 = k) \sim ck^{-2-\alpha} \quad \text{as } k \rightarrow \infty,$$

where $c > 0$ is constant. (Note that $\nu = 1$ is equivalent to $\mathbb{E}[D_1^2] = 2\mathbb{E}[D_1]$.) This precise setting was first investigated by Joseph [Jos14] in the context of the component sizes.

The analogous model of a random *tree* is a Galton–Watson tree with critical offspring distribution in the domain of attraction of an α -stable law. In that case, there is a well-known scaling limit, the α -stable tree [Duq03]. We will explore the relationship between these two models, at the level of scaling limits, in the sequel.

It is now standard to formulate random graph scaling limits in terms of sequences of *measured metric spaces*, namely metric spaces endowed with a measure. Throughout this paper we let $(\mathcal{C}, d_{\text{GHP}})$ denote the set of measured isometry-equivalence classes of compact measured metric spaces equipped with the Gromov–Hausdorff–Prokhorov topology (see, for example, [ABBGM17, Section 2.1] for the formulation we use here) and endow it with the associated Borel σ -algebra. (We will often elide the difference between a measured metric space and its equivalence class but it should be understood that we are really thinking about the equivalence class.) As we are dealing with graphs which have many components, we need a topology on sequences of (equivalence classes of) compact measured metric spaces. For this purpose, we use the product Gromov–Hausdorff–Prokhorov topology and observe that it is standard that this yields a Polish space.

Write C_1^n, C_2^n, \dots for the vertex-sets of the components of the graph G_n , listed in decreasing order of size (with ties broken arbitrarily). Set

$$(1.2) \quad A_\alpha = \left(\frac{c\Gamma(2-\alpha)}{\alpha(\alpha-1)} \right)^{1/(\alpha+1)}.$$

We think of the components as metric spaces by endowing each one with a scaled version of the usual graph distance, d_{gr} : let

$$d_i^n := \frac{A_\alpha^2}{\mathbb{E}[D_1]n^{(\alpha-1)/(\alpha+1)}} d_{\text{gr}}$$

be the distance in C_i^n . We also endow each of them with the scaled counting measure

$$\mu_i^n := \frac{A_\alpha}{\mathbb{E}[D_1]n^{\alpha/(\alpha+1)}} \sum_{v \in C_i^n} \delta_v.$$

Let $C_i^n = (C_i^n, d_i^n, \mu_i^n)$ be the resulting measured metric space. We write $s(C_i^n)$ for the number of *surplus edges* (i.e. edges more than a tree) possessed by the component C_i^n . Formally, for a connected graph $G = (V, E)$, the number of surplus edges or, more succinctly, *surplus*, is defined to be

$$s(G) = |E| - |V| + 1.$$

The following theorem is proved in [CKG20].

THEOREM 1.1. — *As $n \rightarrow \infty$,*

$$(C_1^n, C_2^n, \dots) \xrightarrow{d} (C_1, C_2, \dots),$$

with respect to the product Gromov–Hausdorff–Prokhorov topology, for a random sequence of measured metric spaces (C_1, C_2, \dots) which we call the α -stable graph.

(In Section 1.3 below we will describe the relationship of this theorem to other work.)

Theorem 1.1 also holds in the setting of a random multigraph (i.e. it may contain self-loops and multiple edges) sampled from the *configuration model* with i.i.d. degrees. Formally, a *multigraph* G is an ordered pair $G = (V, E)$ where V is the set of vertices and E the *multiset* of edges (i.e. elements of $\{\{u, v\}, u \in V, v \in V\}$). Let $\text{supp}(E)$ denote the support of E , i.e. the underlying set of distinct elements of E , and, for $e \in \text{supp}(E)$, let $\text{mult}(e)$ denote its multiplicity. Let $\text{sl}(G)$ denote the cardinality of the multiset of self-loops. For a vertex $v \in V$, we write $\text{deg}(v)$ for its degree, that is the number of elements $\{u, w\}$ of the multiset E such that at least one of u and w is equal to v , or $\text{deg}_G(v)$ if there is potential ambiguity over which graph we are looking at. The surplus is still defined to be $s(G) = |E| - |V| + 1$, where we emphasise that $|E| = \sum_{e \in \text{supp}(E)} \text{mult}(e)$. Let us briefly explain the set-up of the configuration model for deterministic degrees d_1, d_2, \dots, d_n with even sum. (The configuration model was introduced in varying degrees of generality in [BC78, Bol80, Wor78]. We refer to [Hof17, Chapter 7] of the recent book of van der Hofstad for the proofs of the claims made in this paragraph.) To vertex i we assign d_i half-edges, for $1 \leq i \leq n$. We give the half-edges an arbitrary labelling (so that we may distinguish them) and then choose a matching of the half-edges uniformly at random. Two matched

half-edges form an edge of the resulting structure, which is a multigraph. Then for a particular multigraph G with degrees d_1, d_2, \dots, d_n , the probability that the configuration model generates G is

$$(1.3) \quad \frac{\prod_{i=1}^n d_i!}{\left(\sum_{i=1}^n d_i - 1\right)!! 2^{\text{sl}(G)} \prod_{e \in \text{supp}(E)} \text{mult}(e)!},$$

where $a!!$ denotes the double factorial of a . From this expression, it is easy to see that if there exists at least one simple graph with degrees d_1, d_2, \dots, d_n then conditioning the multigraph to be simple yields a *uniform* graph with the given degree sequence. We are interested in the setting where the degrees are random variables D_1, D_2, \dots, D_n satisfying the conditions (1.1) (with the small modification mentioned above to make the sum of the degrees even). In this case, there exists a simple graph with these degrees with probability tending to 1 as $n \rightarrow \infty$, which enables us to convert results for the configuration model into results for the uniform random graph with given degree sequence; in the setting of Theorem 1.1 the conditioning turns out not to affect the result.

The α -stable graph is constructed using a spectrally positive α -stable Lévy process; we give the details, which are somewhat involved, in Section 2.2. For $i > 1$, write $C_i = (C_i, d_{C_i}, \mu_{C_i})$, $i > 1$. These measured metric spaces are \mathbb{R} -graphs in the sense of [ABGM17] i.e. they are locally \mathbb{R} -trees, but may also possess cycles. It is possible to make sense of the surpluses of the limiting components, for which we write $s(C_i)$, $i > 1$. It is a consequence of Theorem 1.1 that

$$(1.4) \quad \frac{A_\alpha}{\mathbb{E}[D_1]n^{\alpha/(\alpha+1)}} \left(|C_1^n|, |C_2^n|, \dots \right) \xrightarrow{d} \left(\mu_{C_1}(C_1), \mu_{C_2}(C_2), \dots \right)$$

in the product topology (in fact, this convergence can be shown to occur in ℓ^2 ; see [CKG20, Proposition 5.6]), jointly with the convergence in the sense of the product topology

$$(1.5) \quad \left(s(C_1^n), s(C_2^n), \dots \right) \xrightarrow{d} \left(s(C_1), s(C_2), \dots \right)$$

for the sequences of surplus edges. The joint law of $(\mu_{C_1}(C_1), \mu_{C_2}(C_2), \dots)$ and $(s(C_1), s(C_2), \dots)$ is explicit in terms of the underlying α -stable Lévy process; see Section 2.2. Moreover, [CKG20, Theorem 1.2] shows that the limiting components (C_1, C_2, \dots) are conditionally independent given $(\mu_{C_1}(C_1), \mu_{C_2}(C_2), \dots)$ and $(s(C_1), s(C_2), \dots)$, with distributions coming from a collection of fundamental building-blocks: there exist random measured metric spaces $(\mathcal{G}^s, d^s, \mu^s)$, $s > 0$, where μ^s is a probability measure, such that, for all i , given $\mu_{C_i}(C_i)$ and $s(C_i)$, we have

$$\left(C_i, d_{C_i}, \mu_{C_i} \right) \stackrel{(d)}{=} \left(\mathcal{G}^{s(C_i)}, \mu_{C_i}(C_i)^{1-1/\alpha} \cdot d^{s(C_i)}, \mu_{C_i}(C_i) \cdot \mu^{s(C_i)} \right).$$

For $s = 0$, $(\mathcal{G}^s, d^s, \mu^s)$ is simply the standard rooted α -stable tree, the definition of which is recalled in Section 2.1. Informally, for $s > 1$, $(\mathcal{G}^s, d^s, \mu^s)$, is constructed by randomly choosing s leaves in an s -biased version of this α -stable tree, and then gluing them to randomly-chosen branch-points along their paths to the root, with probabilities proportional to the “local time to the right” of the branch-points.

(We will define these quantities in the sequel.) We will often think of the resulting \mathbb{R} -graph \mathcal{G}^s as being rooted; in this case, the root is simply inherited from that of the s -biased α -stable tree. The measure μ^s on \mathcal{G}^s is then the probability measure inherited from the s -biased α -stable tree. We will often abuse notation and simply write \mathcal{G}^s in place of $(\mathcal{G}^s, d^s, \mu^s)$. For $a > 0$, we will also write $a \cdot \mathcal{G}^s$ to denote the same measured metric space with all distances scaled by a , i.e. $(\mathcal{G}^s, ad^s, \mu^s)$.

In order to understand the geometric properties of the α -stable graph, it therefore suffices to consider the measured metric spaces

$$\mathcal{G}^s, s > 0.$$

We will call \mathcal{G}^s the *connected α -stable graph with surplus s* . Let us note immediately that \mathcal{G}^s naturally inherits the Hausdorff dimension of the α -stable tree and that, therefore,

$$\dim_{\text{H}}(\mathcal{G}^s) = \frac{\alpha}{\alpha - 1} \quad \text{a.s.}$$

Like a connected combinatorial graph, the \mathbb{R} -graph \mathcal{G}^s may be viewed as a cycle structure to which pendant subtrees are attached. Let \mathcal{K}^s be the image after the gluing procedure of the subtree spanned by the s selected leaves and the root of the s -biased version of the α -stable tree. (When $s = 0$, we use the convention that \mathcal{K}^s is the empty set.) The space \mathcal{K}^s encodes the rooted cycle structure of \mathcal{G}^s . We refer to it as the *continuous kernel* because it is a continuous analogue of the usual graph-theoretic notion of a kernel (except that it is rooted at a vertex of degree 1). We will think of it as a rooted multigraph which is endowed with real-valued edge-lengths, and write \mathbf{K}^s for the rooted multigraph *without* the edge-lengths, which we call the *discrete kernel*.

In order to better understand the structure of the \mathbb{R} -graph \mathcal{G}^s , we will approximate it by a sequence $(\mathcal{G}_n^s)_{n > 0}$ of multigraphs with edge-lengths, starting from the continuous kernel, $\mathcal{G}_0^s = \mathcal{K}^s$. Consider an infinite sample of leaves from \mathcal{G}^s , labelled $1, 2, \dots$. For each $n \in \mathbb{N}$, let \mathcal{G}_n^s be the connected subgraph of \mathcal{G}^s consisting of the union of the kernel \mathcal{K}^s and the paths from the n first leaves to the root. These are the \mathbb{R} -graph analogues of Aldous' *random finite-dimensional marginals* for a continuum random tree. For brevity, we will call them the *marginals* of \mathcal{G}^s . In Lemma 4.1 below, we note that \mathcal{G}^s can be recovered as the completion of $\cup_{n > 0} \mathcal{G}_n^s$. We will also make extensive use of the discrete counterparts of the \mathcal{G}_n^s . For $n > 0$, let \mathbf{G}_n^s be the *combinatorial shape* of \mathcal{G}_n^s (i.e. "forget the edge-lengths", so as to obtain a finite graph with surplus s and no vertices of degree 2 – see (2.3) for a formal definition in the framework of trees that adapts immediately to our graphs), so that $\mathbf{K}^s = \mathbf{G}_0^s$. Note that the root vertex has degree 1 in all of these graphs. When $s > 2$, we can *erase the root* in the discrete kernel (formally, we remove the root and the adjacent edge, and if this creates a vertex of degree 2 we erase it) to obtain a multigraph that we denote by \mathbf{G}_{-1}^s .

1.2. Main results

Throughout this section, we fix the surplus $s \in \mathbb{Z}_+ := \{0, 1, 2, \dots\}$.

Our first main results characterise the joint distributions of the discrete marginals $(\mathbf{G}_n^s)_{n>0}$. This family of random multigraphs has particularly attractive properties: for fixed n , the graph \mathbf{G}_n^s has the distribution of a certain conditioned configuration model with i.i.d. random degrees, with a particular canonical degree distribution. Moreover, as a process, $(\mathbf{G}_n^s)_{n>0}$ evolves in a Markovian manner according to a simple recursive construction which is a version of Marchal’s algorithm [Mar08] for building the marginals of the stable tree, $(\mathbf{G}_n^0)_{n>0}$. Although \mathcal{G}^s is constructed from a biased version of the α -stable tree, we emphasise that it was not at all obvious to us a priori that Marchal’s algorithm would generalise in this way.

An advantage of this recursive construction is that it has many urn models embedded in it, which enables us to get at different aspects of \mathcal{G}^s easily. We provide two different constructions of \mathcal{G}^s , which rely on relatively simple random building blocks. The distributions of these building blocks (Beta, generalised Mittag–Leffler, Dirichlet and Poisson–Dirichlet) are defined in Section 5, where we also recall various of their standard properties and discuss their relationships to urns. Our two constructions are as follows.

- (1) The first takes a collection of i.i.d. α -stable trees which are randomly scaled and then glued onto \mathbf{K}^s in such a way that each edge of \mathbf{K}^s is replaced by a tree with two marked points, and such that every vertex of \mathbf{K}^s acquires a (countable) collection of pendant subtrees.
- (2) The second starts by replacing the edges of the kernel by line-segments of lengths with a given joint distribution, and then proceeds by recursively gluing a countable sequence of segments of random lengths onto the structure. We call this a *line-breaking construction* and obtain the limit space in the end by completion.

These constructions generalise, in a natural way, the distributional properties and line-breaking construction proved in [ABBG10] for the components of the *Brownian graph*, a term we use to mean the common scaling limit of the critical Erdős–Rényi random graph [ABBG12] and the critical random graph with i.i.d. degrees having a finite third moment [BS20] as well as various other models (see Section 1.3). We emphasise, however, that the proofs in the stable setting are much harder, essentially due to the added complication of dealing with Lévy processes rather than just Brownian motion. Our line-breaking construction is the graph counterpart of the line-breaking construction of the stable trees given in [GH15].

1.2.1. The discrete marginals of \mathcal{G}^s

We can recover the measured metric space \mathcal{G}^s from the discrete marginals \mathbf{G}_n^s by equipping them with the graph distance and the uniform distribution on their leaves, as follows.

PROPOSITION 1.2. —

$$\frac{\mathbf{G}_n^s}{n^{1-1/\alpha}} \xrightarrow[n]{a.s.} \alpha \cdot \mathcal{G}^s$$

for the Gromov–Hausdorff–Prokhorov topology.

This generalises a result which says that the α -stable tree is the (almost sure) scaling limit of its discrete marginals, see [CH13, Mar08]. The proof is given in Section 4.1.

For any multigraph $G = (V, E)$, recall that we let $\text{sl}(G)$ denote its number of self-loops, and for an element $e \in \text{supp}(E)$, we let $\text{mult}(e)$ denote its multiplicity. Let $I(G) \subseteq V$ denote the set of internal vertices of G . We say that a permutation τ of the set $I(G)$ is a *symmetry* of G if, after having extended τ to the identity function on the leaves, τ preserves the adjacency relations in the graph and for all $u, v \in V$, the edges $\{u, v\}$ and $\{\tau(u), \tau(v)\}$ have the same multiplicity. We let $\text{Sym}(G)$ denote the set of symmetries of G . For $n > 0$, let $\mathbb{M}_{s,n}$ be the set of connected multigraphs with $n + 1$ labelled leaves, surplus s and no vertices of degree 2. (Observe that the internal vertices are not labelled.) When $s > 2$, let $\mathbb{M}_{s,-1}$ be the set of unlabelled connected multigraphs with surplus s and minimum degree at least 3. Note that considering multigraphs with unlabelled internal vertices amounts to taking equivalence classes of multigraphs up to relabelling the internal vertices. In order to keep the notation simple, we proceed as follows: whenever we consider an element $G \in \mathbb{M}_{s,n}$, we choose arbitrarily a representative of that equivalence class and (abusing notation) write $G = (V, E)$. A more detailed discussion on the nature of the multigraphs that we consider can be found in Section 3.1.

Finally, let us define a sequence of weights by

$$(1.6) \quad w_0 := 1, \quad w_1 := 0, \quad w_2 := \alpha - 1, \quad w_k := (k - 1 - \alpha) \dots (2 - \alpha)(\alpha - 1),$$

for $k > 3$.

Viewing the root as a leaf with label 0, we note that G_n^s is an element of $\mathbb{M}_{s,n}$. We can now describe the distributions of the random multigraphs G_n^s .

THEOREM 1.3. — *Let $n > 0$. For every connected multigraph $G = (V, E) \in \mathbb{M}_{s,n}$,*

$$\mathbb{P}(G_n^s = G) \propto \frac{\prod_{v \in I(G)} w_{\text{deg}(v)-1}}{|\text{Sym}(G)| 2^{\text{sl}(G)} \prod_{e \in \text{supp}(E)} \text{mult}(e)!}.$$

This, in particular, gives the distribution of the kernel K^s when $n = 0$. When $s > 2$, this expression also gives the distribution of G_{-1}^s on $\mathbb{M}_{s,-1}$.

This result is proved in Section 3. To illustrate it, in Table 1.1 we give the distribution of the kernel explicitly in the case $s = 2$ and $\alpha = \frac{5}{4}$.





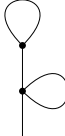


Comparing the form of the distribution of G_n^s with (1.3) suggests a connection with a conditioned configuration model. To make this precise, let $D^{(\alpha)}$ be a random variable on \mathbb{N} with distribution

$$(1.7) \quad \mathbb{P}(D^{(\alpha)} = k) = \frac{2(1 + \alpha)\alpha}{\alpha^2 + \alpha + 2} \cdot \frac{w_{k-1}}{k!}, \quad k > 2,$$

and $\mathbb{P}(D^{(\alpha)} = 1) = \frac{2(1 + \alpha)}{\alpha^2 + \alpha + 2}.$

Observe that $\mathbb{P}(D^{(\alpha)} = 2) = 0$. We will verify in Section 3.6 that this indeed defines a probability measure which, moreover, satisfies the conditions (1.1). Consider now the

Table 1.1. The possible kernels for $s = 2$ with their probabilities for $\alpha = 5/4$ (given in the penultimate line). For comparison, the last line gives the distribution of the kernel of the connected Brownian graph with surplus 2.

Graph $G \in \mathbb{M}_{2,0}$							
$\text{sl}(G)$	2	1	0	0	2	1	2
$\prod_{v \in I(G)} w_{\deg(v)-1}$ ($\alpha = 5/4$)	$\frac{21}{64}$	$\frac{3}{64}$	$\frac{3}{64}$	$\frac{1}{64}$	$\frac{3}{64}$	$\frac{1}{64}$	$\frac{1}{64}$
$\prod_{e \in E(G)} \text{mult}(e)!$	2	2	6	2	1	2	1
$ \text{Sym}(G) $	1	1	1	2	1	1	2
$\mathbb{P}(\mathbb{K}^2 = G)$ ($\alpha = 5/4$)	$\frac{1}{2}$	$\frac{1}{7}$	$\frac{2}{21}$	$\frac{1}{21}$	$\frac{1}{7}$	$\frac{1}{21}$	$\frac{1}{42}$
$\mathbb{P}(\mathbb{K}_{\text{Br}}^2 = G)$	0	0	0	$\frac{2}{5}$	0	$\frac{2}{5}$	$\frac{1}{5}$

following particular instance of the configuration model. We fix $n > 0$ and $m > n + 1$ (include the case $n = -1$ if $s > 2$), take vertices labelled $0, 1, \dots, m - 1$ to have i.i.d. degrees distributed according to $D^{(\alpha)}$. Generate a multigraph with these degrees according to the configuration model, forget the labels $n + 1, n + 2, \dots, m - 1$, and condition the resulting object to lie in $\mathbb{M}_{s,n}$. We write $\mathbb{C}_{n,m}^s$ for this random element of $\mathbb{M}_{s,n}$.

COROLLARY 1.4. — *The random multigraph G_n^s conditioned to have m vertices has the same law as $\mathbb{C}_{n,m}^s$.*

This again generalises the analogous result for the α -stable tree: the combinatorial shape of the subtree obtained by sampling $n > 0$ leaves and the root is distributed as a planted (i.e. with a root of degree 1) non-ordered version of a Galton–Watson tree conditioned to have n leaves, whose offspring distribution η_α has probability generating function $z + \alpha^{-1}(1 - z)^\alpha$. There is, of course, a connection between $D^{(\alpha)}$ and η_α : if we let $\widehat{D}^{(\alpha)}$ denote the size-biased version

$$\mathbb{P}(\widehat{D}^{(\alpha)} = k) := \frac{k\mathbb{P}(D^{(\alpha)} = k)}{\mathbb{E}[D^{(\alpha)}]}, \quad k > 1,$$

then $\widehat{D}^{(\alpha)} - 1$ is distributed as η_α . See Section 3.6.

In fact, we may think of the configuration multigraph with i.i.d. degrees distributed as $D^{(\alpha)}$ as, in some sense, the *canonical* model in the universality class of the stable graph. For this model, the law of a component conditioned to have $n + 1$ leaves and surplus s is exactly the same as the corresponding discrete marginal for its scaling

limit, and there exists a coupling for different n which is such that we get almost sure (rather than just distributional) convergence, on rescaling, to the connected α -stable graph with surplus s .

We are also able to understand the joint distribution of the graphs $G_n^s, n > 0$ (again, include the case $n = -1$ when $s > 2$): they evolve according to a multigraph version of Marchal’s algorithm [Mar08] for the discrete marginals of a α -stable tree. Let us define a step in the algorithm. Take a multigraph $G = (V, E) \in \mathbb{M}_{s,n}$. Declare every edge to have weight $\alpha - 1$, every internal vertex $u \in I(G)$ to have weight $\deg_G(u) - 1 - \alpha$ and every leaf to have weight 0. Then the total weight of G is

$$(1.8) \quad \sum_{u \in I(G)} (\deg_G(u) - 1 - \alpha) + (\alpha - 1) \cdot |E| = \alpha(s + n) + s - 1,$$

which depends only on the surplus and the number of leaves of the graph. We use the term *edge-leaf* to mean an edge with a leaf at one of its end-points. Choose an edge/vertex with probability proportional to its weight. Then

- if it is a vertex, attach a new edge-leaf where the leaf has label $n + 1$ to this vertex,
- if it is an edge, attach a new edge-leaf where the leaf has label $n + 1$ to a newly created vertex which splits the edge into two.

Note that we avoid discussing the possible symmetries of the graph here. A way to make this rigorous would be to first consider a version of G_n^s where the internal vertices and edges are labelled (with labels chosen arbitrarily), perform a step of the algorithm described above, and then forget the labelling. Since the procedure above does not depend on the chosen labelling there is, in fact, no ambiguity.

We say that a sequence of graphs evolves according to Marchal’s algorithm if it is Markovian and the transitions are given by one step of Marchal’s algorithm.

THEOREM 1.5. — *For $s > 0$, the sequence $(G_n^s)_{n > 0}$ evolves according to Marchal’s algorithm. For $s > 2$, more generally, the sequence $(G_n^s)_{n > -1}$ evolves according to Marchal’s algorithm.*

See Section 3.4 for a proof. We now turn to our constructions of the limit object \mathcal{G}^s .

1.2.2. Construction 1: from randomly scaled stable trees glued to the kernel

Given a connected multigraph $G \in \mathbb{M}_{s,0}$, with k edges and $k - s$ internal vertices having degrees d_1, \dots, d_{k-s} , consider independent random variables

$$(1.9) \quad (M_1, \dots, M_{2k-s}) \sim \text{Dir} \left(\underbrace{\frac{\alpha - 1}{\alpha}, \dots, \frac{\alpha - 1}{\alpha}}_k, \frac{d_1 - 1 - \alpha}{\alpha}, \dots, \frac{d_{k-s} - 1 - \alpha}{\alpha} \right)$$

and, for $1 \leq i \leq k - s$,

$$(1.10) \quad (\Delta_{i,j}, j > 1) \sim \text{PD} \left(\frac{1}{\alpha}, \frac{d_i - 1 - \alpha}{\alpha} \right),$$

where $\text{Dir}(a_1, \dots, a_n)$ denotes the Dirichlet distribution on the $(n - 1)$ -dimensional simplex, with parameters $a_1 > 0, a_2 > 0, \dots, a_n > 0$, and $\text{PD}(a, b)$ denotes the Poisson–Dirichlet distribution on the set of positive decreasing sequences with sum 1, with parameters $a > 0, b > 0$.

Given all of these random variables, consider independent α -stable trees $\mathcal{T}_\ell, \mathcal{T}_{i,j}$, where \mathcal{T}_ℓ has mass M_ℓ and $\mathcal{T}_{i,j}$ has mass $M_{i+k} \cdot \Delta_{i,j}$, with $1 \leq \ell \leq k, 1 \leq i \leq k - s, j > 1$. For each ℓ let ρ_ℓ denote the root of \mathcal{T}_ℓ and L_ℓ be a uniform leaf. (Note that the law of the stable tree is invariant under uniform random re-rooting [DLG09, HPW09], so that exchanging the roles of ρ_ℓ and L_ℓ here does not affect the law of this doubly-marked tree.) Similarly, let $\rho_{i,j}$ denote the root of the tree $\mathcal{T}_{i,j}$ for each i, j . Then denote by v_1, \dots, v_{k-s} the internal vertices of G having degrees d_1, \dots, d_{k-s} respectively. Denote by e_1, \dots, e_k the edges of G in arbitrary order, with, say, $e_i = \{x_i, y_i\}$. Finally, let $\mathcal{G}(G)$ be the \mathbb{R} -graph obtained by:

- replacing the edge $\{x_\ell, y_\ell\}$ with the tree \mathcal{T}_ℓ , identifying ρ_ℓ with x_ℓ and L_ℓ with y_ℓ , for each $1 \leq \ell \leq k$,
- gluing to the vertex v_i the collection of stable trees $\mathcal{T}_{i,j}, j > 0$, by identifying all the roots $\rho_{i,j}$ to v_i (this gluing a.s. gives a compact metric space, see Section 4.2), for each $1 \leq i \leq k - s$.

On an event of probability one the graph $\mathcal{G}(G)$ is therefore compact, and is naturally endowed with the probability measure induced by the rescaled probability measures on the α -stable trees $\mathcal{T}_\ell, \mathcal{T}_{i,j}, i, j, \ell \in \mathbb{N}$. We view it as a random variable in $(\mathcal{C}, d_{\text{GHP}})$.

THEOREM 1.6. — *Given the random kernel K^s , let $\mathcal{G}(K^s)$ be the graph constructed above by gluing α -stable trees along the edges and vertices of K^s . Then*

$$\mathcal{G}^s \stackrel{d}{=} \mathcal{G}(K^s),$$

as random variables in $(\mathcal{C}, d_{\text{GHP}})$.

We prove Theorem 1.6 in Section 4.2 via the recursive construction of the discrete graphs $G_n^s, n > 0$. As a byproduct of the proof, we obtain the distribution of the continuous marginals \mathcal{G}_n^s , which may be viewed as G_n^s with random edge-lengths. In particular, when $n = 0$, we obtain the distribution of the continuous kernel K^s .

PROPOSITION 1.7. — *For $n > 0$, given $G_n^s = (V, E)$, let $(L(e), e \in E)$ be the lengths of the corresponding edges in \mathcal{G}_n^s , in arbitrary order. Then,*

$$(\alpha \cdot L(e), e \in E)$$

is distributed as the product of three independent random variables:

$$(1.11) \quad \text{Beta} \left(|E|, \frac{(n + s)\alpha + s - 1}{\alpha - 1} - |E| \right) \cdot \text{ML} \left(1 - \frac{1}{\alpha}, \frac{(n + s)\alpha + s - 1}{\alpha} \right) \cdot \text{Dir}(1, \dots, 1).$$

Here, $\text{ML}(\beta, \theta)$ denotes the generalised Mittag–Leffler distribution with parameters $0 < \beta < 1$ and $\theta > -\beta$.

1.2.3. Construction 2: line-breaking

Various prominent examples of random metric spaces may be obtained as the limit of a so-called line-breaking procedure that consists in gluing recursively segments of random lengths – or more complex measured metric structures – to obtain a growing structure. The most famous is the line-breaking construction of the Brownian continuum random tree discovered by Aldous in [Ald91]. We refer to [ABBG10, CH17, GH15, RW18, Sén19, Sén22] for other models studied since then.

The \mathbb{R} -graph \mathcal{G}^s may also be constructed in such a way, starting from its kernel. This construction makes use of an increasing \mathbb{R}_+ -valued Markov chain $(R_n)_{n>1}$ which is characterized by the following two properties for each $n > 1$:

$$R_n \sim \text{ML} \left(1 - \frac{1}{\alpha}, \frac{n\alpha + (s-1)}{\alpha} \right) \quad \text{and} \quad R_n = R_{n+1} \cdot B_n$$

where $B_n \sim \text{Beta}(\frac{(n+1)\alpha+s-2}{\alpha-1}, \frac{1}{\alpha-1})$ is a random variable independent of R_{n+1} . (An explicit construction of this Markov chain is given e.g. in [GH15, Section 1.2]. Note that similar Markov chains arise in the scaling limits of several stochastic models, see [Jam15, Sén21].)

For the moment, assume that $s > 1$. Suppose we are given \mathbf{K}^s with, say, k edges and internal vertices v_1, \dots, v_{k-s} having degrees d_1, \dots, d_{k-s} respectively. We first perform an initialisation step: independently of the Markov chain $(R_n)_{n>1}$,

- sample

$$(\Theta_1, \dots, \Theta_{2k-s}) \sim \text{Dir} \left(\underbrace{1, \dots, 1}_k, \frac{d_1 - 1 - \alpha}{\alpha - 1}, \dots, \frac{d_{k-s} - 1 - \alpha}{\alpha - 1} \right);$$

- assign the lengths $R_s \cdot \Theta_1, \dots, R_s \cdot \Theta_k$ to the k edges of \mathbf{K}^s (the order is again unimportant); viewing the edges as closed line-segments, this gives a metric space that we denote \mathcal{H}_0^s , with $k - s$ branch-points (i.e. vertices of degree at least 3) labelled v_1, \dots, v_{k-s} ;
- let

$$\eta_0 := \lambda_{\mathcal{H}_0^s} + \sum_{i=1}^{k-s} (R_s \cdot \Theta_{k+i}) \delta_{v_i},$$

where $\lambda_{\mathcal{H}_0^s}$ denotes the Lebesgue measure on \mathcal{H}_0^s .

We now build a growing sequence of measured metric spaces $(\mathcal{H}_n^s, \eta_n)_{n>0}$, starting from $(\mathcal{H}_0^s, \eta_0)$. Recursively,

- select a point v in \mathcal{H}_n^s with probability proportional to η_n ;
- attach to v a new closed line-segment σ of length $(R_{n+s+1} - R_{n+s}) \cdot \beta_n$, where β_n has a $\text{Beta}(1, (2-\alpha)/(\alpha-1))$ -distribution and is independent of everything constructed until now; this gives \mathcal{H}_{n+1}^s ;
- let $\eta_{n+1} := \eta_n + (R_{n+s+1} - R_{n+s}) \cdot (1 - \beta_n) \delta_v + \lambda_\sigma$, where λ_σ denotes the Lebesgue measure on σ .

When $s = 0$ the construction works similarly except that the initialization starts at $n = 1$ with \mathcal{H}_1^0 taken to be a closed segment of length R_1 , equipped with the

Lebesgue measure denoted by η_1 . We have the following result, which is proved in Section 4.3.

THEOREM 1.8. — *The sequence $(\mathcal{H}_n^s, n > 0)$ is distributed as $(\mathcal{G}_n^s, n > 0)$. In consequence, the graph \mathcal{H}_n^s , endowed with the uniform probability on its set of leaves, converges almost surely for the Gromov–Hausdorff–Prokhorov topology to a random compact measured metric space distributed as \mathcal{G}^s . In particular, $\bigcup_{n>0} \overline{\mathcal{H}_n^s}$ is a version of \mathcal{G}^s .*

Remark 1.9. — We adopt a “discrete” approach to proving Theorems 1.6 and 1.8; in other words, we make use of Marchal’s algorithm and the fact that it gives us a sequence of approximations which, on rescaling, converge almost surely to the connected α -stable graph with surplus s . An alternative approach should be possible, whereby one would work directly in the continuum, but it is far from clear to us that it would be any simpler to implement.

1.3. The finite third moment case, and other related work

The case where

$$\mathbb{E} [D_1^2] = 2\mathbb{E} [D_1] \quad \text{and} \quad \mathbb{E} [D_1^3] < \infty$$

has already been well-studied. In particular, when $\mathbb{P}(D_1 = 2) < 1$, if we let $\beta = \mathbb{E}[D_1(D_1 - 1)(D_1 - 2)]$ then Theorem 1.1 holds with $\alpha = 2$ if we rescale the counting measure on each component by $\beta^{-1}\mathbb{E}[D_1]n^{-2/3}$ and the graph distances by $\beta\mathbb{E}[D_1]^{-1}n^{-1/3}$. The limiting graphs are constructed similarly to ours but using a standard Brownian motion instead of a spectrally positive α -stable Lévy process (with the small variation that β appears in the change of measure). See [BS20, Theorem 2.4 and Construction 3.5] and also [CKG20] for more details. This *Brownian graph* first appeared as the scaling limit of the critical Erdős–Rényi random graph [ABBG12] and is now known to be the universal scaling limit of various other critical random graph models. Precise analogues of our main results were already known in this Brownian case (except for Theorem 1.5).

It follows from the properties of Brownian motion that the branch-points in $\mathcal{G}_{\text{Br}}^s$, the connected Brownian graph with surplus s , are then all of degree 3. Its discrete kernel \mathbf{K}_{Br}^s is therefore a 3-regular planted multigraph, whose distribution is given below.

THEOREM 1.10 ([ABBG10, Figure (2)] and [JKLP93, Theorem 7]). — *For a connected 3-regular planted multigraph G with surplus s ,*

$$\mathbb{P}(\mathbf{K}_{\text{Br}}^s = G) \propto \frac{1}{|\text{Sym}(G)| 2^{s(G)} \prod_{e \in \text{supp}(E(G))} \text{mult}(e)!}.$$

(In the references given, the kernel is taken to be labelled and unrooted, but the labelling can be removed simply at the cost of the factor of $|\text{Sym}(G)|^{-1}$ appearing in the above expression, and the root can be removed as detailed above.) See Table 1.1

for numerical values when $s = 2$. Note that the formula above corresponds to that of Theorem 1.3 when $n = 0$ and $\alpha = 2$ since then

$$w_0 = w_2 = 1 \quad \text{and} \quad w_i = 0 \quad \text{for all other indices } i.$$

In fact, our proofs in Section 3 can be adapted to recover this case and more generally to obtain the joint distribution of the marginals $\mathbf{G}_{n,\text{Br}}^s$ via a recursive construction which is particularly simple in this case: starting from the kernel \mathbf{K}_{Br}^s , at each step a new edge-leaf is attached to an edge chosen uniformly at random from among the set of edges of the pre-existing structure. (For $s = 0$, this is Rémy's algorithm [Rém85] for generating a uniform binary leaf-labelled tree.) After n steps, this gives a version of $\mathbf{G}_{n,\text{Br}}^s$, whose distribution is specified below.

PROPOSITION 1.11. — *For every multigraph $G \in \mathbb{M}_{s,n}$ with internal vertices all of degree 3,*

$$\mathbb{P}\left(\mathbf{G}_{n,\text{Br}}^s = G\right) \propto \frac{1}{|\text{Sym}(G)| 2^{\text{sl}(G)} \prod_{e \in \text{supp}(E(G))} \text{mult}(e)!}.$$

As in the stable cases, these distributions are connected to configuration multigraphs. Indeed, let $D^{(\text{Br})}$ denote a random variable with distribution

$$\mathbb{P}\left(D^{(\text{Br})} = 1\right) = 3/4 \quad \text{and} \quad \mathbb{P}\left(D^{(\text{Br})} = 3\right) = 1/4.$$

Consider then the following particular instance of the configuration model. We fix $n > 0$, $m > n+1$ and take vertices labelled $0, 1, \dots, m-1$ to have i.i.d. degrees distributed according to $D^{(\text{Br})}$. We then write $\mathbf{C}_{n,m}^s$ for the resulting configuration multigraph conditioned to be in $\mathbb{M}_{s,n}$, after having forgotten the labels $n+1, n+2, \dots, m-1$.

COROLLARY 1.12. — *The random multigraph $\mathbf{G}_{n,\text{Br}}^s$ conditioned to have m vertices has the same law as $\mathbf{C}_{n,m}^s$.*

The paper [ABBG10] is devoted to the study of the distribution of $\mathcal{G}_{\text{Br}}^s$ for $s > 0$. In particular, it is shown there that a version of $\mathcal{G}_{\text{Br}}^s$ can be recovered by gluing appropriately rescaled Brownian continuum random trees along the edges of \mathbf{K}_{Br}^s ([ABBG10, Procedure 1]) or via a line-breaking construction ([ABBG10, Procedure 2 & Theorem 4]).

Let us turn now to other related work. The study of scaling limits for critical random graph models was initiated by Aldous in [Ald97], where he proved in particular the convergence of the sizes and surpluses of the largest components of the Erdős–Rényi random graph in the critical window, as well as a similar result for the sizes of the largest components in an inhomogeneous random graph model. This was followed soon afterwards by Aldous and Limic [AL98], who explored the possible scaling limits for the sizes of the components in a “rank-one” inhomogeneous random graph, with the limiting sizes encoded as the lengths of excursions above past-minima of a so-called thinned Lévy process.

In [ABBG12], it was shown that Aldous' result for the sizes and surpluses of the largest components in a critical Erdős–Rényi random graph could be extended to include also the metric structure of the limiting components; the limiting object is what we refer to here as the *Brownian graph*. Since that paper, progress has been

made in several directions. One direction has been to demonstrate the *universality* of the Brownian graph (first in terms of component sizes, and then in terms of the full metric structure). This has been done for critical rank-one inhomogeneous random graphs [BSW17, BHL10, Tur13], for critical Achlioptas processes with bounded size rules [BBW14], for critical configuration models with finite third moment degrees [BS20, DHLS17, Jos14, NP10, Rio12] and in great generality in [BBSW14].

Another line of enquiry, into which the present paper fits, is the investigation of other universality classes, generally those with power law degree distributions. This has been pursued in the setting of rank-one inhomogeneous random graphs with power-law degrees in [BHL12, BHS18, Hof13] and with very general weights by [BDW18, BDW21]. The configuration model with power-law degrees has been treated by [BDHS20a, BDHS20b, DHLS20, Jos14]. The last four papers are the most directly related to the topic of the present paper, and so we will discuss them in a little more detail.

In [Jos14], Joseph considers the configuration model with i.i.d. degrees satisfying the same conditions as us, and proves the convergence in distribution of the component sizes (1.4). (He leaves the equivalent convergence in the setting of the graph conditioned to be simple as a conjecture, but this is not hard to prove; see [CKG20] for the details.) The results of [CKG20] in Theorem 1.1 thus directly generalise those of Joseph. Dhara, van der Hofstad, van Leeuwaarden and Sen [DHLS20] and Bhamidi, Dhara, van der Hofstad, and Sen [BDHS20a, BDHS20b] consider the component sizes and metric structure respectively for critical percolation on supercritical configuration models with degree sequences satisfying a certain power-law condition. The paper [BDHS20b] proves a metric space scaling limit, where the limit components are derived from the thinned Lévy processes mentioned above. This scaling limit is proved in the product Gromov-weak topology, and the result is improved to a convergence in the product Gromov-Hausdorff-Prokhorov sense in [BDHS20a]. This result is in principle somewhat more general in scope than that of [CKG20], in that it covers a whole family of deterministic degree sequences; however, it is restricted to the case of critical percolation on a supercritical configuration model, whereas [CKG20] applies directly to a critical configuration model. In principle, it should nonetheless be possible to view the stable graph as an appropriately annealed version of the scaling limit of [BDHS20b]. However, it is for the moment unclear how to prove independently that the two objects obtained must be the same. The limit spaces obtained in [BDHS20b] are a priori much less easy to understand than ours; the advantage of the i.i.d. setting is that we get very nice absolute continuity relations with the stable trees which are already well understood. Obtaining analogous results in the setting of [BDHS20b] seems much more challenging. (See [CKG20] for a more in-depth discussion of these issues and for a list of open problems.)

1.4. Perspectives

As discussed above, the results of this paper provide heavy-tailed analogues of those in [ABBG10], which have been applied in other contexts. Firstly, the decomposition into a continuous kernel with explicit distribution plus pendant subtrees played a

key role in the proof of the existence of a scaling limit for the minimum spanning tree of the complete graph on n vertices in [ABBG17]. More specifically, assign the edges of the complete graph i.i.d. random edge-weights with $\text{Exp}(1)$ distribution. Now find the spanning tree M_n of the graph with minimum total edge-weight. (The law of M_n does not depend on the weight distribution as long as it is non-atomic.) Think of M_n as a measured metric space in the usual way by endowing it with the graph distance d_n and the uniform probability measure μ_n on its vertices. The main result of [ABBG17] is that

$$(M_n, n^{-1/3}d_n, \mu_n) \xrightarrow{d} (\mathcal{M}, d, \mu)$$

as $n \rightarrow \infty$, in the Gromov–Hausdorff–Prokhorov sense, where the limit space (\mathcal{M}, d, μ) is a random measured \mathbb{R} -tree having Minkowski dimension 3 almost surely. This convergence has, up to a constant factor, recently been shown by Addario–Berry and Sen [ABS21] to hold also for the MST of a uniform random 3-regular (simple) graph or for the MST of a 3-regular configuration model.

Following a scheme of proof similar to that developed in [ABBG17], it may be possible to use the results of the present paper together with those of [CKG20] to prove an analogous scaling limit for the minimum spanning tree of the following model. First, generate a uniform random graph (or configuration model) with i.i.d. degrees D_1, D_2, \dots, D_n with the same power-law tail behaviour as discussed above, but now in the supercritical setting $\nu > 1$. For the purposes of this discussion, let us also assume that $\mathbb{P}(D_1 > 3) = 1$. Under this condition, the graph not only has a giant component, but that component contains all of the vertices with probability tending to 1 [CD09, Lemma 1.2]. As before, assign the edges of this graph i.i.d. random weights with $\text{Exp}(1)$ distribution and find the minimum spanning tree M_n . Then we conjecture that in this setting we will have

$$(M_n, n^{-(\alpha-1)/(\alpha+1)}d_n, \mu_n) \xrightarrow{d} (\mathcal{M}, d, \mu),$$

for some measured \mathbb{R} -tree (\mathcal{M}, d, μ) . This conjecture will be the topic of future work.

Another application of the results of [ABBG10] has been in the context of random maps. The Brownian versions of the graphs \mathcal{G}^s , $s > 0$ arise as scaling limits of unicellular random maps on various compact surfaces. The results of [ABBG10] have, in particular, been used to study Voronoi cells in these objects. More specifically, for a surface S , let $(\mathcal{U}(S), d, \mu)$ be the continuum random unicellular map on S [ABAC⁺18], endowed with its mass measure μ , and let X_1, X_2, \dots, X_k be independent random points sampled from μ . Let V_1, V_2, \dots, V_k be the Voronoi cells with centres X_1, \dots, X_k . Then in [ABAC⁺18] it is shown that

$$(\mu(V_1), \dots, \mu(V_k)) \sim \text{Dir}(1, 1, \dots, 1).$$

In other words, the Voronoi cells of uniform points provide a way to split the mass of the space up uniformly. In principle, there should exist “stable” analogues of this result (in which the mass-split will no longer be uniform).

1.5. Organisation of the paper

Section 2 is devoted to background on stable trees, and to the description of the distribution of the limiting sequence of metric spaces arising in Theorem 1.1 in terms of a spectrally positive α -stable Lévy process. In particular, we give a precise description of the elementary building-blocks \mathcal{G}^s , $s > 0$. We then enter the core of the paper with Section 3 which is dedicated to the proof of the joint distribution of the discrete marginals \mathbf{G}_n^s , $n > 0$ (Theorems 1.3 and 1.5), including the connection to a configuration model stated in Corollary 1.4. Section 4 is devoted to the proofs of the construction of the \mathbb{R} -graph \mathcal{G}^s from randomly scaled trees glued to its kernel and of its line-breaking construction (Theorem 1.6, Proposition 1.7 and Theorem 1.8, as well as Proposition 1.2). Finally, in the appendix, Section 5, we recall the definitions and some properties of various distributions (generalized Mittag–Leffler, Beta, Dirichlet and Poisson–Dirichlet), as well as some classical urn model asymptotics, which are used at various points in the paper.

2. The stable graphs

We begin in Section 2.1 with some necessary background on stable trees. In particular, we recall Marchal’s algorithm for constructing the discrete ordered marginals, and use it to obtain the joint distribution of various aspects (lengths, weights, local times) of the continuous marginals, which we will need later on. In Section 2.2, we turn to the distribution of the limiting sequence of metric spaces arising in Theorem 1.1 and in particular to the construction of the stable graphs.

Throughout this section, we fix $\alpha \in (1, 2)$.

2.1. Background on stable trees

2.1.1. Construction and properties

The α -stable tree was introduced by Duquesne and Le Gall [DLG05], building on earlier work of Duquesne and Le Gall [DLG02] and Le Gall and Le Jan [LGLJ98].

First, let ξ be a spectrally positive α -stable Lévy process with Laplace exponent

$$\mathbb{E}[\exp(-\lambda\xi_t)] = \exp(t\lambda^\alpha), \quad \lambda > 0, \quad t > 0.$$

Now consider a reflected version of this Lévy process, namely $(\xi_t - \inf_{0 \leq s \leq t} \xi_s, t > 0)$. It is standard that this process has an associated excursion theory, and that one can make sense of an excursion conditioned to have length 1. We will write X for this excursion of length 1, and observe that, thanks to the scaling property of ξ we may obtain the law of an excursion conditioned to have length $x > 0$ via $(x^{1/\alpha}X(t/x), 0 \leq t \leq x)$. See Chaumont [Cha97] for more details.

To a normalised excursion X we may associate an \mathbb{R} -tree. In order to do this, we first derive from X a *height function* H , defined as follows: for $t \in [0, 1]$,

$$H(t) = \lim_{\varepsilon \rightarrow 0^+} \frac{1}{\varepsilon} \int_0^t \mathbb{1}_{\{X(s) < \inf_{s \leq r \leq t} X(r) + \varepsilon\}} ds.$$

The process H possesses a continuous modification such that $H(0) = H(1) = 0$ and $H(t) > 0$ for $t \in (0, 1)$, which we consider in the sequel (see Duquesne and Le Gall [DLG02] for more details). We then obtain an \mathbb{R} -tree in a standard way from H by first defining a pseudo-distance d on \mathbb{R}_+ via

$$d(s, t) = H(s) + H(t) - 2 \inf_{s \triangleleft r \triangleleft t} H(r).$$

Now define an equivalence relation \sim by declaring $s \sim t$ if $d(s, t) = 0$. Then let \mathcal{T} be the metric space obtained by endowing $[0, 1]/\sim$ with the image of d under the quotienting operation. Let us write $\pi : [0, 1] \rightarrow \mathcal{T}$ for the projection map. We additionally endow \mathcal{T} with the push-forward of the Lebesgue measure on $[0, 1]$ under π , which is denoted by μ . The point $\rho := \pi(0) = \pi(1)$ is naturally interpreted as a *root* for the tree. We will refer to the random variable (\mathcal{T}, d, μ) as the (standard) α -*stable tree*. In the usual notation, for points $x, y \in \mathcal{T}$, we will write $\llbracket x, y \rrbracket$ for the path between x and y in \mathcal{T} , and $\llbracket x, y \llbracket$ for $\llbracket x, y \rrbracket \setminus \{x, y\}$. (These are isometric to closed and open line-segments of length $d(x, y)$, respectively.) We can use the root to endow the tree \mathcal{T} with a *genealogical order*: we say $x \preceq y$ if $x \in \llbracket \rho, y \rrbracket$. We define the *degree*, $\deg(x)$, of a point $x \in \mathcal{T}$ to be the number of connected components into which its removal splits the space. If there is any potential ambiguity over which metric space we are working in, we will write $\deg_{\mathcal{T}}(x)$. The *branchpoints* are those with degree strictly greater than 2 and the leaves are those with degree 1; we write $\text{Br}(\mathcal{T}) = \{x \in \mathcal{T} : \deg(x) > 2\}$ and $\text{Leaf}(\mathcal{T}) = \{x \in \mathcal{T} : \deg(x) = 1\}$. We observe that the measure μ is diffuse, and is supported on $\text{Leaf}(\mathcal{T})$. Moreover, almost surely $\text{Br}(\mathcal{T})$ contains only points x such that $\deg(x) = \infty$. The distance d induces a natural length measure on the tree \mathcal{T} , for which we write λ .

We also define a partial order \preceq on $[0, 1]$ by declaring

$$(2.1) \quad s \preceq t \quad \text{if} \quad s \triangleleft t \quad \text{and} \quad X(s-) \triangleleft \inf_{s \triangleleft r \triangleleft t} X(r).$$

(We take as a convention that $X(0-) = 0$.) This partial order is compatible with the genealogical order on \mathcal{T} in the sense that for $x, y \in \mathcal{T}$, $x \preceq y$ if and only if there exist $s, t \in [0, 1]$ such that $x = \pi(s)$ and $y = \pi(t)$ and $s \preceq t$.

We will require various properties of \mathcal{T} in the sequel, many of which originate in the work of Le Gall and Le Jan [LGLJ98]. The key technical tool in their work is the *exploration process*, which we prefer not to introduce here; our presentation of this material instead owes much to that of Curien and Kortchemski [CK14], which relies in turn on various key results from Miermont [Mie05]. As already mentioned, we will make use of the fact that the law of \mathcal{T} is invariant under re-rooting at a random point with distribution μ . So we will sometimes think of the tree as unrooted and regenerate a root from μ when necessary. Another key feature of \mathcal{T} is that its branchpoints are all of infinite degree, almost surely. By [Mie05, Proposition 2] of Miermont, $x \in \text{Br}(\mathcal{T})$ if and only if there exists a unique $s \in [0, 1]$ such that $x = \pi(s)$ and $\Delta X(s) = X(s) - X(s-) > 0$. For all other values $r \in [0, 1]$ such that $\pi(r) = \pi(s) = x$, we have $\inf_{s \triangleleft u \triangleleft r} X(u) = X(r) > X(s-)$. For such s associated to a branchpoint $x = \pi(s)$, we will define $N(x) := \Delta X(s)$. By Miermont's equation [Mie05, Eq. (1)],

for all $x \in \text{Br}(\mathcal{T})$ this quantity may be almost surely recovered as

$$N(x) = \lim_{\varepsilon \rightarrow 0^+} \frac{1}{\varepsilon} \mu \left(\left\{ y \in \mathcal{T} : x \in \llbracket \rho, y \rrbracket, d(x, y) < \varepsilon \right\} \right),$$

and so $N(x)$ gives a renormalised notion of the degree of x . We will refer to this quantity as the *local time* of x , since it plays that role with respect to H .

For any $s, t \in [0, 1]$ such that $\pi(s) \in \text{Br}(\mathcal{T})$ and $s \preceq t$, we also define the *local time of $\pi(s)$ to the right of $\pi(t)$* to be

$$N^{\text{right}}(\pi(s), \pi(t)) = \inf_{s \prec u \prec t} X(u) - X(s-).$$

Then $N^{\text{right}}(\pi(s), \pi(t)) \in [0, N(\pi(s))]$ is a measure of how far through the descendants of $\pi(s)$ we are when we visit $\pi(t)$. (Indeed, since $\pi(s) \in \text{Br}(\mathcal{T})$, if $s \preceq t$ and $s \preceq u$ with $N^{\text{right}}(\pi(s), \pi(t)) > N^{\text{right}}(\pi(s), \pi(u))$ then necessarily $t < u$.) By [CK14, Corollary 3.4], we can express $X(t)$ as the sum of the atoms of local time along the path from the root to $\pi(t)$:

$$(2.2) \quad X(t) = \sum_{0 \prec s \prec t} N^{\text{right}}(\pi(s), \pi(t)),$$

almost surely for all $t \in [0, 1]$. For any $s \preceq t$, we define the local time along the path $\llbracket \pi(s), \pi(t) \rrbracket$ by

$$N \left(\llbracket \pi(s), \pi(t) \rrbracket \right) := \sum_{b \in \text{Br}(\mathcal{T}) \cap \llbracket \pi(s), \pi(t) \rrbracket} N(b),$$

and the local time to the right along the path $\llbracket \pi(s), \pi(t) \rrbracket$ by

$$N^{\text{right}} \left(\llbracket \pi(s), \pi(t) \rrbracket \right) := \sum_{b \in \text{Br}(\mathcal{T}) \cap \llbracket \pi(s), \pi(t) \rrbracket} N^{\text{right}}(b, \pi(t)) = X(t-) - X(s),$$

where we observe that all of these sums are over countable sets.

2.1.2. Marchal’s algorithm for ordered trees

Consider an infinite sample of leaves from (\mathcal{T}, d, μ) obtained as the images of i.i.d. uniform random variables U_1, U_2, \dots on $[0, 1]$ under the quotienting. These leaves, which we label $1, 2, \dots$, inherit an order from $[0, 1]$. For $n \in \mathbb{N}$, let $\mathcal{T}_n^{\text{ord}}$ be an ordered leaf-labelled version of the subtree of \mathcal{T} spanned by the root and the first n leaves (the order being inherited from the leaves) and $\mathsf{T}_n^{\text{ord}}$ its combinatorial shape, also with leaf-labels. Formally,

$$\mathsf{T}_n^{\text{ord}} = \text{shape} \left(\mathcal{T}_n^{\text{ord}} \right)$$

where, for any compact rooted (say at ρ) real tree τ (possibly ordered), $\text{shape}(\tau)$ is the (possibly ordered) rooted discrete tree (V, E) with no vertex of degree 2 except possibly the root, where

$$(2.3) \quad \begin{aligned} V &= \{\rho\} \cup \{v \in \tau \setminus \{\rho\} : \deg_{\tau}(v) \neq 2\} \\ \text{and } E &= \left\{ \{u, v\} : u, v \in V, \deg_{\tau}(w) = 2, \forall w \in \llbracket u, v \rrbracket \text{ and } \rho \notin \llbracket u, v \rrbracket \right\}. \end{aligned}$$

We define the shape of a discrete tree similarly. Note that, in fact, all of the trees we consider will have a root of degree 1: they are *planted*.

For any $n > 1$, we denote by \mathbb{T}_n the set of planted ordered finite trees with n labelled leaves, with labels from 1 to n , and no vertex of degree 2. The root is thought of as a leaf with label 0. In [DLG02, Section 3], Duquesne and Le Gall show that for each tree $T \in \mathbb{T}_n$ with set of internal vertices $I(T)$,

$$(2.4) \quad \mathbb{P}(\mathbb{T}_n^{\text{ord}} = T) \propto \prod_u \frac{w_{\deg_T(u)-1}}{(\deg_T(u) - 1)!},$$

where the weights $(w_k, k > 0)$ were defined in (1.6). In other words, $\mathbb{T}_n^{\text{ord}}$ is distributed as a planted version of a Galton–Watson tree with offspring distribution η_α as defined in Section 1.2.1 (below Corollary 1.4), conditioned on having n leaves uniformly labelled from 1 to n .

Building on this result, in [Mar08] Marchal proposed a recursive construction of a sequence with the same law as $(\mathbb{T}_n^{\text{ord}}, n > 1)$. (In fact, Marchal gave a construction of the non-ordered versions of the trees $\mathbb{T}_n^{\text{ord}}, n > 1$ but combined with [Mar08, Section 2.3] we easily obtain an ordered version.) For any $n > 1$ and any $T \in \mathbb{T}_n$, we construct randomly a tree in \mathbb{T}_{n+1} as follows.

- (1) Assign to every edge of T a weight $\alpha - 1$ and every internal vertex u a weight $\deg_T(u) - 1 - \alpha$; the other vertices have weight 0;
- (2) Choose an edge/vertex with probability proportional to its weight and then
 - if it is a vertex, choose a uniform corner around this vertex, attach a new edge-leaf in this corner and give the leaf the label $n + 1$,
 - if it is an edge, create a new vertex which splits the edge into two edges, and attach an edge-leaf with leaf labelled $n + 1$ pointing to the left/right with probability 1/2.

If we start with the unique element of \mathbb{T}_1 and apply this procedure recursively, we obtain a sequence of trees distributed as $(\mathbb{T}_n^{\text{ord}}, n > 1)$.

Asymptotic behaviour. Consider now the discrete trees as metric spaces, endowed with the graph distance. Fix k and for each $k \subset n$ let $\mathbb{T}_k^{\text{ord}}(n)$ be the subtree of $\mathbb{T}_n^{\text{ord}}$ spanned by the leaves with labels $1, 2, \dots, k$ and the root. Hence, $\mathbb{T}_k^{\text{ord}} = \text{shape}(\mathbb{T}_k^{\text{ord}}(n))$ but the distances in $\mathbb{T}_k^{\text{ord}}(n)$ are inherited from those in $\mathbb{T}_n^{\text{ord}}$. We may therefore view $\mathbb{T}_k^{\text{ord}}(n)$ as a discrete tree having the same vertex- and edge-sets as $\mathbb{T}_k^{\text{ord}}$, but where the edges now have lengths. Similarly for $\mathcal{T}_k^{\text{ord}}$. Again from Marchal [Mar08], we have

$$(2.5) \quad \frac{\mathbb{T}_k^{\text{ord}}(n)}{n^{1-1/\alpha}} \xrightarrow[n]{\text{a.s.}} \alpha \cdot \mathcal{T}_k^{\text{ord}},$$

as $n \rightarrow \infty$, where the convergence means that the rescaled lengths of the edges of $\mathbb{T}_k^{\text{ord}}(n)$ converge to the lengths, multiplied by α , of the corresponding edges in $\mathcal{T}_k^{\text{ord}}$. This convergence of random finite-dimensional marginals can be improved when considering trees as metric spaces (i.e. we forget the order) equipped with probability measures. Indeed, if \mathbb{T}_n denotes the unordered version of $\mathbb{T}_n^{\text{ord}}$, with leaves still labelled $0, 1, 2, \dots, n$ (0 is the root), μ_n the uniform probability measure

on these leaves, then we have that

$$(2.6) \quad \left(\frac{\mathbb{T}_n}{n^{1-1/\alpha}}, \mu_n, 0, \dots, k \right) \xrightarrow[n]{\text{a.s.}} \alpha \cdot (\mathcal{T}, \mu, 0, \dots, k)$$

for the $(k + 1)$ -pointed Gromov–Hausdorff–Prokhorov topology on the set of measured $(k + 1)$ -pointed compact trees, for each integer k . (See e.g. [Mie09, Section 6.4] for a definition of this topology.) The convergence (2.6) was first proved in probability in [HMPW08, Corollary 24] and then improved to an almost sure convergence in [CH13, Section 2.4].

Suppose now that $\mathbb{T}_k^{\text{ord}}$ has edge-set $E(\mathbb{T}_k^{\text{ord}})$, labelled arbitrarily as $e_i, 1 \leq i \leq |E(\mathbb{T}_k^{\text{ord}})|$, and internal vertices $I(\mathbb{T}_k^{\text{ord}})$, labelled arbitrarily as $v_j, 1 \leq j \leq |I(\mathbb{T}_k^{\text{ord}})|$. As discussed above, for $k \leq n$, the internal vertices $I(\mathbb{T}_k^{\text{ord}})$ all have counterparts in $\mathbb{T}_k^{\text{ord}}(n)$, which we will also call $v_j, 1 \leq j \leq |I(\mathbb{T}_k^{\text{ord}})|$. To each edge $e_i \in E(\mathbb{T}_k^{\text{ord}})$ there corresponds a path γ_i in $\mathbb{T}_k^{\text{ord}}(n)$ whose end-points are elements of $\{v_j, 1 \leq j \leq |I(\mathbb{T}_k^{\text{ord}})|\} \cup \{0, 1, \dots, k\}$. Write γ_i for the same path with its end-points removed (γ_i may be empty). Since $\mathbb{T}_k^{\text{ord}}(n) \subseteq \mathbb{T}_n^{\text{ord}}$, we refer to the corresponding vertices and paths in $\mathbb{T}_n^{\text{ord}}$ by the same names.

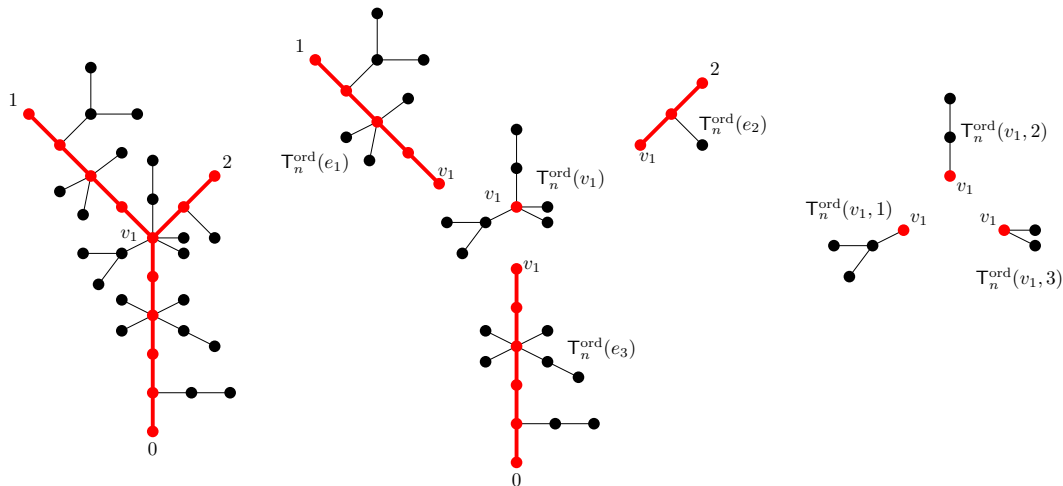


Figure 2.1. Left: the tree $\mathbb{T}_n^{\text{ord}}$ for $n = 18$ (leaf-labels $3, \dots, 18$ are suppressed for purposes of readability). $\mathbb{T}_2^{\text{ord}}(n)$ is emphasised in red and bold. The tree $\mathbb{T}_2^{\text{ord}}$ has a single internal vertex called v_1 and edges $e_1 = \{v_1, 1\}$, $e_2 = \{v_1, 2\}$ and $e_3 = \{v_1, 0\}$. The corresponding paths in $\mathbb{T}_2^{\text{ord}}(n)$ have lengths 4, 2 and 5 respectively. Middle: the subtrees $\mathbb{T}_n^{\text{ord}}(e_1)$, $\mathbb{T}_n^{\text{ord}}(e_2)$, $\mathbb{T}_n^{\text{ord}}(e_3)$ and $\mathbb{T}_n^{\text{ord}}(v_1)$. Right: the subtrees $\mathbb{T}_n^{\text{ord}}(v_1, 1)$, $\mathbb{T}_n^{\text{ord}}(v_1, 2)$ and $\mathbb{T}_n^{\text{ord}}(v_1, 3)$.

We will now give names to certain important subtrees of $\mathbb{T}_n^{\text{ord}}$ and refer the reader to Figure 2.1 for an illustration. For each vertex $v \in V(\mathbb{T}_n^{\text{ord}})$, the unique directed path from v to 0 has a first point $\text{int}(v)$ of intersection with $\mathbb{T}_k^{\text{ord}}(n)$. For $1 \leq j \leq |I(\mathbb{T}_k^{\text{ord}})|$, let $\mathbb{T}_n^{\text{ord}}(v_j)$ be the subtree induced by the set of vertices $\{v : \text{int}(v) = v_j\}$ and rooted at v_j . If $\text{int}(v) \notin \{v_j : 1 \leq j \leq |I(\mathbb{T}_k^{\text{ord}})|\}$ then $\text{int}(v)$ belongs to γ_i for some $1 \leq i \leq |E(\mathbb{T}_k^{\text{ord}})|$. Let $\mathbb{T}_n^{\text{ord}}(e_i)$ be the subtree of $\mathbb{T}_n^{\text{ord}}$ induced by the vertices

$\{v \in V(\mathbb{T}_n^{\text{ord}}) : \text{int}(v) \in \gamma_i\} \cup \gamma_i$ and rooted at the end-point of γ_i closest to the root of $\mathbb{T}_n^{\text{ord}}$.

If $\text{deg}_{\mathbb{T}_k^{\text{ord}}}(v_j) = d_j$ then $\mathbb{T}_n^{\text{ord}}(v_j)$ can be split up into separate subtrees descending from the d_j different corners of v_j . We list these subtrees in clockwise order from the root as $\mathbb{T}_n^{\text{ord}}(v_j, \ell)$, $1 \leq \ell \leq d_j$.

For each $e_i, 1 \leq i \leq |E(\mathbb{T}_k^{\text{ord}})|$ then denote by

- $L_n(e_i)$ the length of γ_i in $\mathbb{T}_k^{\text{ord}}(n)$,
- $M_n(e_i)$ the number of leaves in the subtree $\mathbb{T}_n^{\text{ord}}(e_i)$,
- $N_n(e_i)$ the number of edges of $\mathbb{T}_n^{\text{ord}}(e_i)$ adjacent to γ_i ,
- $N_n^{\text{right}}(e_i)$ the number of edges of $\mathbb{T}_n^{\text{ord}}(e_i)$ attached to the right of γ_i ,
- $N_n(e_i, \ell)$ the degree -2 of the ℓ^{th} largest branchpoint along the path γ_i in $\mathbb{T}_n^{\text{ord}}(e_i)$, for $\ell > 1$, with ties broken arbitrarily,
- $N_n^{\text{right}}(e_i, \ell)$ the degree to the right of the ℓ^{th} largest branchpoint along the path γ_i in $\mathbb{T}_n^{\text{ord}}(e_i)$, for $\ell > 1$ (with the same labelling as in the previous point).
- $L_n(e_i, \ell)$ the distance from the ℓ^{th} largest branchpoint of γ_i to the root (end-point nearest 0 in $\mathbb{T}_n^{\text{ord}}$) of $\mathbb{T}_n^{\text{ord}}(e_i)$, $\ell > 1$, again with the same labelling.

Observe that $N_n(e_i) = \sum_{\ell > 1} N_n(e_i, \ell)$ and $N_n^{\text{right}}(e_i) = \sum_{\ell > 1} N_n^{\text{right}}(e_i, \ell)$.

Similarly, for each vertex $v_j, 1 \leq j \leq |I(\mathbb{T}_n^{\text{ord}})|$, denote by

- $N_n(v_j)$ the degree of v_j in $\mathbb{T}_n^{\text{ord}}$ (i.e. $\text{deg}_{\mathbb{T}_n^{\text{ord}}}(v_j)$),
- $N_n(v_j, \ell)$ the degree of v_j in $\mathbb{T}_n^{\text{ord}}$ in the ℓ^{th} corner counting clockwise from the root, for $1 \leq \ell \leq \text{deg}_{\mathbb{T}_k^{\text{ord}}}(v_j)$,
- $M_n(v_j)$ the number of leaves in $\mathbb{T}_n^{\text{ord}}(v_j)$,
- $M_n(v_j, \ell)$ the number of leaves in $\mathbb{T}_n^{\text{ord}}(v_j, \ell)$, for $1 \leq \ell \leq \text{deg}_{\mathbb{T}_k^{\text{ord}}}(v_j)$.

We use the same edge- and vertex-labels for the corresponding parts of $\mathcal{T}_k^{\text{ord}}$. Since $\mathcal{T}_k^{\text{ord}}$ is (an ordered version of) a subset of \mathcal{T} , we have that e_i corresponds to an open path $\llbracket x_{i,1}, x_{i,2} \rrbracket$ for some pair of points $x_{i,1}, x_{i,2} \in \mathcal{T}$ such that $x_{i,1} \preceq x_{i,2}$. Let $L(e_i) = d(x_{i,1}, x_{i,2})$ be the length of this path. We will abuse notation somewhat by writing $N(e_i)$ and $N^{\text{right}}(e_i)$ instead of $N(\llbracket x_{i,1}, x_{i,2} \rrbracket)$ and $N^{\text{right}}(\llbracket x_{i,1}, x_{i,2} \rrbracket)$ for the local time of the edge and the local time to the right of the edge respectively. For $\ell > 1$, we will write $N(e_i, \ell)$ for the local time of the ℓ^{th} largest branchpoint along $\llbracket x_{i,1}, x_{i,2} \rrbracket$ (with ties broken arbitrarily; in any case, these are almost surely distinct), $N^{\text{right}}(e_i, \ell)$ for the local time to the right at the same branchpoint, and $L(e_i, \ell)$ for the distance from that branchpoint to the lower end-point $x_{i,1}$ of e_i . Each vertex v_j corresponds to some point of \mathcal{T} , which by abuse of notation we will also call v_j . (Note that, of course, we must have

$$\{v_j : 1 \leq j \leq |I(\mathbb{T}_k^{\text{ord}})|\} \cup \{0, 1, \dots, k\} = \{x_{i,p} : 1 \leq i \leq |E(\mathbb{T}_k^{\text{ord}})|, p = 1, 2\}.$$

Let $\mathcal{T}(e_i)$ be the subtree of \mathcal{T} containing $\llbracket x_{i,1}, x_{i,2} \rrbracket$, formally defined by

$$\mathcal{T}(e_i) = \{x \in \mathcal{T} : \llbracket \rho, x \rrbracket \cap \llbracket x_{i,1}, x_{i,2} \rrbracket \neq \emptyset, x_{i,2} \notin \llbracket \rho, x \rrbracket\} \cup \{x_{i,1}, x_{i,2}\}.$$

Let $M(e_i) = \mu(\mathcal{T}(e_i))$. We introduce for any $\ell > 1$ the subtree $\mathcal{T}(e_i, \ell)$ hanging off e_i from the ℓ^{th} largest branchpoint $x_i(\ell)$ along e_i as

$$\mathcal{T}(e_i, \ell) = \left\{ x \in \mathcal{T} : \llbracket \rho, x \rrbracket \cap \llbracket x_{i,1}, x_{i,2} \rrbracket = \llbracket x_{i,1}, x_i(\ell) \rrbracket \right\}.$$

Let $\mathcal{T}(v_j)$ be the subtree of \mathcal{T} attached to v_j , namely

$$\mathcal{T}(v_j) = \left\{ x \in \mathcal{T} : v_j \in \llbracket \rho, x \rrbracket, \llbracket v_j, x \rrbracket \cap \llbracket x_{i,1}, x_{i,2} \rrbracket = \emptyset \text{ for all } 1 \leq i \leq |E(\mathbb{T}_n^{\text{ord}})| \right\}.$$

Let $M(v_j) = \mu(\mathcal{T}(v_j))$. As in the discrete case, we can split up $\mathcal{T}(v_j)$ into subtrees sitting in the $\text{deg}_{\mathbb{T}_k^{\text{ord}}}(v_j)$ corners of v_j . We call these $\mathcal{T}(v_j, \ell)$ for $1 \leq \ell \leq \text{deg}_{\mathbb{T}_k^{\text{ord}}}(v_j)$. Let

$$N(v_j, \ell) = \lim_{\epsilon \rightarrow 0^+} \frac{1}{\epsilon} \mu \left(\left\{ y \in \mathcal{T}(v_j, \ell) : d(v_j, y) < \epsilon \right\} \right).$$

LEMMA 2.1. — *We have the almost sure joint convergence, for $1 \leq i \leq |E(\mathbb{T}_k^{\text{ord}})|$ and $\ell > 1$,*

$$\begin{aligned} \frac{L_n(e_i)}{n^{1-1/\alpha}} &\xrightarrow[n]{} \alpha \cdot L(e_i), & \frac{M_n(e_i)}{n} &\xrightarrow[n]{} M(e_i), \\ \frac{N_n(e_i)}{n^{1/\alpha}} &\xrightarrow[n]{} N(e_i), & \frac{N_n^{\text{right}}(e_i)}{n^{1/\alpha}} &\xrightarrow[n]{} N^{\text{right}}(e_i), \\ \frac{N_n(e_i, \ell)}{n^{1/\alpha}} &\xrightarrow[n]{} N(e_i, \ell), & \frac{N_n^{\text{right}}(e_i, \ell)}{n^{1/\alpha}} &\xrightarrow[n]{} N^{\text{right}}(e_i, \ell), \end{aligned}$$

and for $1 \leq j \leq |I(\mathbb{T}_k^{\text{ord}})|, 1 \leq \ell \leq \text{deg}_{\mathbb{T}_k^{\text{ord}}}(v_j)$,

$$\begin{aligned} \frac{M_n(v_j)}{n} &\xrightarrow[n]{} M(v_j), \\ \frac{N_n(v_j)}{n^{1/\alpha}} &\xrightarrow[n]{} N(v_j), & \frac{N_n(v_j, \ell)}{n^{1/\alpha}} &\xrightarrow[n]{} N(v_j, \ell). \end{aligned}$$

Proof. — The convergence of the lengths is Marchal’s result (2.5). The convergence of the local times is proved in Dieuleveut [Die15, Lemma 2.7 & Lemma 2.8]. Finally, in order to see the convergences of the proportions of leaves lying in each of the subtrees $\mathbb{T}_n^{\text{ord}}(v_j)$ and $\mathbb{T}_n^{\text{ord}}(e_i)$ to the μ -masses of those subtrees $\mathcal{T}(v_j)$ and $\mathcal{T}(e_i)$ respectively, we simply note that we are taking i.i.d. samples from μ , and so the result follows by the strong law of large numbers. Note that since we are dealing with a countable collection of random variables, these convergences indeed hold simultaneously almost surely.

2.1.3. Marginals of the stable tree

We now state explicitly the joint distributions of all of the limit quantities in Lemma 2.1.

PROPOSITION 2.2. — *Conditionally on T_k^{ord} with $|E(T_k^{\text{ord}})| = m$ and $|I(T_k^{\text{ord}})| = r := m - k$, with $\deg_{T_k^{\text{ord}}}(v_j) = d_j$ for $1 \leq j \leq r$, we have jointly*

$$\begin{aligned} (M(e_1), \dots, M(e_m), M(v_1), \dots, M(v_r)) &\stackrel{(d)}{=} (D_1, D_2, \dots, D_{m+r}) \\ (N(e_1), \dots, N(e_m), N(v_1), \dots, N(v_r)) &\stackrel{(d)}{=} (D_1^{1/\alpha} R_1, \dots, D_{m+r}^{1/\alpha} R_{m+r}) \\ \alpha \cdot (L(e_1), \dots, L(e_m)) &\stackrel{(d)}{=} (D_1^{1-1/\alpha} R_1^{\alpha-1} \bar{R}_1, \dots, D_m^{1-1/\alpha} R_m^{\alpha-1} \bar{R}_m), \end{aligned}$$

where the following elements are independent:

- $(D_1, \dots, D_m, D_{m+1}, \dots, D_{m+r}) \sim \text{Dir}(1 - 1/\alpha, \dots, 1 - 1/\alpha, (d_1 - 1 - \alpha)/\alpha, \dots, (d_r - 1 - \alpha)/\alpha)$;
- R_1, R_2, \dots, R_{m+r} are mutually independent with $R_1, \dots, R_m \sim \text{ML}(1/\alpha, 1 - 1/\alpha)$ and $R_{m+i} \sim \text{ML}(1/\alpha, (d_i - 1 - \alpha)/\alpha)$ for $1 \leq i \leq r$;
- $\bar{R}_1, \bar{R}_2, \dots, \bar{R}_m$ are i.i.d. $\text{ML}(\alpha - 1, \alpha - 1)$.

Moreover, we have $R_i^{\alpha-1} \bar{R}_i \sim \text{ML}(1 - 1/\alpha, 1 - 1/\alpha)$ for $1 \leq i \leq m$.

The random variables $N^{\text{right}}(e_i, \ell)/N(e_i, \ell)$ and $L(e_i, \ell)/L(e_i)$ for $1 \leq i \leq m, \ell > 1$, the random sequences $(N(e_i, \ell)/N(e_i), \ell > 1)$ for $1 \leq i \leq m$, and the random vectors $(N(v_j, \ell)/N(v_j), 1 \leq \ell \leq d_j)$ for $1 \leq j \leq r$ are mutually independent, and are also independent of $N(e_i), 1 \leq i \leq m$ and $N(v_j), 1 \leq j \leq r$. Moreover, we have

$$\begin{aligned} \left(\frac{N(e_i, \ell)}{N(e_i)}, \ell > 1 \right) &\sim \text{PD}(\alpha - 1, \alpha - 1), \quad 1 \leq i \leq m, \\ \frac{N^{\text{right}}(e_i, \ell)}{N(e_i, \ell)} &\sim \text{U}[0, 1], \quad 1 \leq i \leq m, \quad \ell > 1, \\ \frac{L(e_i, \ell)}{L(e_i)} &\sim \text{U}[0, 1], \quad 1 \leq i \leq m, \quad \ell > 1, \end{aligned}$$

and

$$\left(\frac{N(v_j, \ell)}{N(v_j)}, 1 \leq \ell \leq d_j \right) \sim \text{Dir}(1, 1, \dots, 1), \quad 1 \leq j \leq r.$$

The distributional results for the masses, lengths and total local times may be read off from [GH15], although the precise dependence between lengths and local times is left somewhat implicit there. Related results appeared earlier in [HPW09]. We give a complete proof of Proposition 2.2 via an urn model which we now introduce.

Suppose we have k colours such that each colour has three *types*: a, b and c . Let $X_i^a(n), X_i^b(n)$ and $X_i^c(n)$ be the weights of the three types of colour i in the urn at step n , respectively, for $1 \leq i \leq k$. At each step we draw a colour with probability proportional to its weight in the urn. If we pick the colour i type a , we add weight $\alpha - 1$ to colour i type a , $2 - \alpha$ to colour i type b and $\alpha - 1$ to colour i type c (recall that $\alpha \in (1, 2)$). If we pick colour i type b , we add 1 to colour i type b and $\alpha - 1$ to colour i type c . If we pick colour i type c , we simply add weight α to colour i type c . We start with

$$X_i^a(0) = \zeta_i, \quad X_i^b(0) = 0, \quad X_i^c(0) = 0, \quad 1 \leq i \leq k.$$

PROPOSITION 2.3. — *As $n \rightarrow \infty$, we have the following almost sure limits:*

$$\begin{aligned} \frac{1}{(\alpha - 1)n^{1-1/\alpha}} (X_1^a(n), \dots, X_k^a(n)) &\rightarrow (D_1^{1-1/\alpha} R_1^{\alpha-1} \bar{R}_1, \dots, D_k^{1-1/\alpha} R_k^{\alpha-1} \bar{R}_k) \\ \frac{1}{n^{1/\alpha}} (X_1^b(n), \dots, X_k^b(n)) &\rightarrow (D_1^{1/\alpha} R_1, \dots, D_k^{1/\alpha} R_k) \\ \frac{1}{\alpha n} (X_1^c(n), \dots, X_k^c(n)) &\rightarrow (D_1, D_2, \dots, D_k), \end{aligned}$$

where the sequences (D_1, \dots, D_k) , (R_1, \dots, R_k) and $(\bar{R}_1, \dots, \bar{R}_k)$ are independent; we have $(D_1, \dots, D_k) \sim \text{Dir}(\zeta_1/\alpha, \dots, \zeta_k/\alpha)$; the random variables R_1, \dots, R_k are mutually independent with $R_i \sim \text{ML}(1/\alpha, \zeta_i/\alpha)$; and the random variables $\bar{R}_1, \dots, \bar{R}_k$ are mutually independent with $\bar{R}_i \sim \text{ML}(\alpha - 1, \zeta_i)$.

The proof of Proposition 2.3 appears in Section 5.2.

Proof of Proposition 2.2. — We make use of Marchal’s algorithm. Recall that we are given an ordered tree $\mathbb{T}_k^{\text{ord}}$ with k leaves labelled $1, 2, \dots, k$, m edges and r internal vertices with degrees d_1, \dots, d_r . Let us set

$$\zeta_1 = \dots = \zeta_m = \alpha - 1$$

and

$$\zeta_{m+1} = d_1 - 1 - \alpha, \dots, \zeta_{m+r} = d_r - 1 - \alpha.$$

We then have $\sum_{i=1}^{m+r} \zeta_i = \alpha k - 1$.

We now show that the urn process from Proposition 2.3 naturally occurs within our tree evolving according to Marchal’s algorithm. Colours $1, 2, \dots, m$ represent the different edges of $\mathbb{T}_k^{\text{ord}}$ and colours $m + 1, \dots, m + r$ represent the different vertices. For edge e_i of $\mathbb{T}_k^{\text{ord}}$, type a corresponds to the weight of edges inserted along e_i ; type b corresponds to the weight at vertices along e_i ; and type c corresponds to the weight in vertices and edges in pendant subtrees hanging off e_i (excluding their roots along e_i). So $X_i^a(n) = (\alpha - 1)L_n(e_i)$, $X_i^b(n) = N_n(e_i) + (1 - \alpha)(L_n(e_i) - 1)$ and $X_i^c(n) = \alpha M_n(e_i) - N_n(e_i)$. For vertex v_j of $\mathbb{T}_k^{\text{ord}}$, types a and b together correspond to the weight at v_j and type c corresponds to the weight in edges and vertices in subtrees hanging from v_j . So $X_{m+j}^a(n) + X_{m+j}^b(n) = N_n(v_j) - 1 - \alpha$ and $X_{m+j}^c(n) = \alpha M_n(v_j) - N_n(v_j) + d_j$. Applying Proposition 2.3 and Lemma 2.1 then yields the claimed distributions for the $L(e_i)$, $N(e_i)$, $M(e_i)$, $N(v_j)$ and $M(v_j)$.

We now turn to $N_n(e_i, \ell)$, $\ell > 1$, the ordered numbers of edges attached to the branchpoints along e_i . Independently for $1 \leq i \leq m$, let $(C_{i,\ell}(n), \ell > 1)$ be a Chinese restaurant process with $\beta = \theta = \alpha - 1$. This evolves in exactly the same way as Marchal’s algorithm adds new edges along e_i . In particular, we have

$$(N_n(e_i, \ell), \ell > 1) = (C_{i,\ell}(N_n(e_i)), \ell > 1).$$

By again composing limits, it follows that

$$\left(\frac{N(e_i, \ell)}{N(e_i)}, \ell > 1 \right) \sim \text{PD}(\alpha - 1, \alpha - 1),$$

independently for $1 \leq i \leq m$ and independently of everything else.

Let us now consider how the local time is distributed among the corners of the vertices v_j . This again follows from an urn argument: for the vertex v_j which has degree d_j , consider an urn with d_j colours, one corresponding to each corner, $(A_{m+j,1}(n), \dots, A_{m+j,d_j}(n))_{n>0}$. Start the urn from a single ball of each colour. Then whenever we insert an edge into the corresponding corner, we increase the number of positions into which we can insert new edges by 1. Hence, we have precisely Pólya’s urn (see Section 5 for a definition) and so by Theorem 5.5,

$$\frac{1}{n} (A_{m+j,1}(n), \dots, A_{m+j,d_j}(n)) \rightarrow (\Delta_1, \dots, \Delta_{d_j})$$

almost surely, where $(\Delta_1, \dots, \Delta_d) \sim \text{Dir}(1, 1, \dots, 1)$. We have

$$(N_n(v_j, \ell), 1 \leq \ell \leq d_j) = (A_{m+j,\ell}(N_n(v_j)) - 1, 1 \leq \ell \leq d_j)$$

and it follows that

$$\left(\frac{N(v_j, \ell)}{N(v_j)}, 1 \leq \ell \leq d_j \right) \sim \text{Dir}(1, 1, \dots, 1),$$

independently for $1 \leq j \leq r$ and independently of everything else.

A similar argument works for the local time to the left and right of the ℓ^{th} largest vertex along an edge e_i : start a two-colour urn $(A_{i,\ell,1}(n), A_{i,\ell,2}(n))_{n>0}$ from one ball of each colour and at each step add a single ball of the picked colour. Then, again by Theorem 5.5,

$$\frac{1}{n} (A_{i,1}(n), A_{i,2}(n)) \rightarrow (\Delta, 1 - \Delta)$$

almost surely, where $\Delta \sim \text{U}[0, 1]$. We get

$$N_n^{\text{right}}(e_i, \ell) = A_{i,2}(N^n(e_i, \ell)) - 1$$

and so it follows that

$$\frac{N^{\text{right}}(e_i, \ell)}{N(e_i, \ell)} \sim \text{U}[0, 1],$$

independently for $1 \leq i \leq m$ and $\ell > 1$.

Remark 2.4. — Let $N(T) := N(e_1) + \dots + N(e_m) + N(v_1) + \dots + N(v_r)$. Using Remark 5.8 below, we observe the following distributional relation: we have $N(T) \sim \text{ML}(1/\alpha, k - 1/\alpha)$ and, independently,

$$\begin{aligned} \left(\frac{N(e_1)}{N(T)}, \dots, \frac{N(e_m)}{N(T)}, \frac{N(v_1)}{N(T)}, \dots, \frac{N(v_r)}{N(T)} \right) \\ \sim \text{Dir}(\alpha - 1, \dots, \alpha - 1, d_1 - 1 - \alpha, \dots, d_r - 1 - \alpha). \end{aligned}$$

2.2. Construction of the stable graphs

2.2.1. Construction from [CKG20]

Returning now to the setting of our graphs, we wish to specify the distribution of the limiting sequence $C_i = (C_i, d_{C_i}, \mu_{C_i})$, $i > 1$ arising in Theorem 1.1. The details of

the following can be found in the paper [CKG20]. Our graph notation was introduced in Section 1.1 and the processes ξ, X, H were introduced in Section 2.1.1.

We first define a real-valued process $\tilde{\xi}$ via a change of measure from the Lévy process ξ . To this end, we observe first that $(\exp(-\int_0^t s d\xi_s - \frac{t^{\alpha+1}}{(\alpha+1)}), t > 0)$ is a martingale. Now for each $t > 0$ and any suitable test-function $f : \mathbb{D}([0, t], \mathbb{R}) \rightarrow \mathbb{R}$, define $\tilde{\xi}$ by

$$\mathbb{E} [f(\tilde{\xi}_s, 0 \circlearrowleft s \circlearrowright t)] = \mathbb{E} \left[\exp \left(- \int_0^t s d\xi_s - \frac{t^{\alpha+1}}{(\alpha+1)} \right) f(\xi_s, 0 \circlearrowleft s \circlearrowright t) \right].$$

Superimpose a Poisson point process of rate A_α^{-1} (as defined in (1.2)) in the region $\{(t, y) \in \mathbb{R}_+ \times \mathbb{R}_+ : y \circlearrowleft \tilde{\xi}_t - \inf_{0 \circlearrowleft s \circlearrowright t} \tilde{\xi}_s\}$. Then the limiting components $C_i, i > 1$ are encoded by the excursions of the reflected process $(\tilde{\xi}_t - \inf_{0 \circlearrowleft s \circlearrowright t} \tilde{\xi}_s, t > 0)$ above 0 and the Poisson points falling under each such excursion. The total masses of the measures $\mu_{C_1}(C_1), \mu_{C_2}(C_2), \dots$ are given by the lengths of the excursions of $\tilde{\xi}$ above its running infimum. The surpluses $s(C_1), s(C_2), \dots$ are given by the number of Poisson points falling under corresponding excursions. Then, the limiting components (C_1, C_2, \dots) are conditionally independent given the sequences $(\mu_{C_1}(C_1), \mu_{C_2}(C_2), \dots)$ and $(s(C_1), s(C_2), \dots)$, with

$$(C_i, d_{C_i}, \mu_{C_i}) \stackrel{(d)}{=} (\mathcal{G}^{s(C_i)}, \mu_{C_i}(C_i)^{1-1/\alpha} \cdot d^{s(C_i)}, \mu_{C_i}(C_i) \cdot \mu^{s(C_i)}).$$

2.2.2. Construction of the connected α -stable graph with surplus s

For $s > 0$, it remains to describe the connected stable graph, $(\mathcal{G}^s, d^s, \mu^s)$ with surplus s . Just as the stable tree is encoded by a normalised excursion of ξ , the space \mathcal{G}^s has a spanning tree which is encoded by a normalised excursion of $\tilde{\xi}$ conditioned to contain s Poisson points. This turns out to be distributed as follows. First sample excursions X^s and H^s with joint law specified by

$$\mathbb{E} [f(X^s(t), H^s(t), 0 \circlearrowleft t \circlearrowright 1)] = \frac{\mathbb{E} \left[\left(\int_0^1 X(u) du \right)^s f(X(t), H(t), 0 \circlearrowleft t \circlearrowright 1) \right]}{\mathbb{E} \left[\left(\int_0^1 X(u) du \right)^s \right]}.$$

Let \mathcal{T}^s be the \mathbb{R} -tree encoded by H^s and let $\pi^s : [0, 1] \rightarrow \mathcal{T}^s$ be its canonical projection. If $s = 0$, then X^s is a standard stable excursion and H^s is its corresponding height process i.e. $\mathcal{T}^0 \stackrel{(d)}{=} \mathcal{T}$. In this case, we simply set $\mathcal{G}^0 = \mathcal{T}^0$. If, on the other hand, $s > 1$, conditionally on X^s and H^s , sample conditionally independent points $V_1^s, V_2^s, \dots, V_s^s$ from $[0, 1]$, each having density

$$\frac{X^s(u)}{\int_0^1 X^s(t) dt}, \quad u \in [0, 1].$$

Then, for $1 \circlearrowleft k \circlearrowright s$, let Y_k^s be uniformly distributed on the interval $[0, X^s(V_k^s)]$, independently for all k , and let $B_k^s = \inf\{t > V_k^s : X^s(t) = Y_k^s\}$. We obtain \mathcal{G}^s from \mathcal{T}^s by identifying the pairs of points $(\pi^s(V_k^s), \pi^s(B_k^s))$ for $1 \circlearrowleft k \circlearrowright s$. (This is achieved formally by a further straightforward quotienting operation which we do not detail here.)

In fact, using the notation of Section 2.1.1 for the tree \mathcal{T}^s (which is absolutely continuous with respect to \mathcal{T}), this last operation corresponds to identifying the leaf $\pi^s(V_k^s)$ with a branchpoint on its ancestral line $\llbracket \rho, \pi^s(V_k^s) \rrbracket$, independently for $1 \subset k \subset s$. As a consequence of the discussion in Section 2.1, the point $\pi^s(B_k^s)$ is such that

$$\pi^s(B_k^s) = \pi^s(A_k^s), \quad \text{where} \quad A_k^s = \sup \left\{ t \subset V_k^s : X^s(t) \subset Y_k^s \right\}.$$

Along with equation (2.2), this ensures that each branchpoint $b \in \llbracket \rho, \pi^s(V_k^s) \rrbracket$ is chosen with probability equal to

$$\frac{N^{\text{right}}(b, \pi^s(V_k^s))}{N^{\text{right}}(\llbracket \rho, \pi^s(V_k^s) \rrbracket)} = \frac{N^{\text{right}}(b, \pi^s(V_k^s))}{X(V_k^s)},$$

as claimed in the introduction. We view \mathcal{G}^s as a measured metric space by endowing it with μ^s , the image of the Lebesgue measure on $[0, 1]$ by the projection π^s .

2.2.3. Continuous and discrete marginals

Recall the definition for any $n > 0$ of the continuous marginals \mathcal{G}_n^s from the introduction: \mathcal{G}_n^s is the union of the kernel \mathcal{K}^s and the paths from n leaves to the root, where the leaves are taken i.i.d under the measure carried by \mathcal{G}^s . Indeed, the kernel is the image of the subtree of \mathcal{T}^s spanned by the s selected leaves after the gluing procedure.

Let $(U_i)_{i>1}$ be a sequence of i.i.d. $U[0, 1]$ random variables independent of X^s , and let $n > 0$. In the construction described above, let $\mathcal{T}_{s,n}^s$ be the ordered subtree of \mathcal{T}^s spanned by the root and the leaves corresponding to the real numbers $V_1^s, \dots, V_s^s, U_1, \dots, U_n$, and $\mathcal{T}_{s,n}^{s,\text{ord}}$ its ordered version. Since $\pi^s(U_1), \dots, \pi^s(U_n)$ are (by definition) distributed according to the probability measure carried by \mathcal{G}^s , the image of $\mathcal{T}_{s,n}^s$ after the gluing procedure is a version of the continuous marginal \mathcal{G}_n^s (and the discrete marginal \mathbb{G}_n^s is then the combinatorial shape of the continuous marginal \mathcal{G}_n^s).

For future purposes, we also define $\mathbb{T}_{s,n}^{s,\text{ord}}$ the discrete counterpart of $\mathcal{T}_{s,n}^{s,\text{ord}}$. By convention, we consider that the s first leaves are unlabelled and the n leaves corresponding to U_1, \dots, U_n inherit the label of their uniform variable.

2.2.4. Unbiasing

Let $(X; V_1, V_2, \dots, V_s, Y_1, \dots, Y_s)$ be the unbiased excursion endowed with

- V_1, \dots, V_s i.i.d. $U[0, 1]$ random variables
- Y_1, \dots, Y_s which are conditionally independent given $(X; V_1, V_2, \dots, V_s)$, with $Y_k \sim U[0, X(V_k)]$.

We call $(X; V_1, V_2, \dots, V_s, Y_1, \dots, Y_s)$ the *unbiased counterpart* of $(X^s; V_1^s, \dots, V_s^s, Y_1^s, \dots, Y_s^s)$. Any random object defined as a measurable function

$$f \left(X^s; (V_k^s)_{1 \subset k \subset s}, (Y_k^s)_{1 \subset k \subset s}, (U_i)_{i>1} \right)$$

then also has an unbiased counterpart, $f(X; (V_k)_{1 \leq k \leq s}, (Y_k)_{1 \leq k \leq s}, (U_i)_{i > 1})$ and vice versa. Using the fact that, conditionally on $(X; V_1, V_2, \dots, V_s)$, the random variables Y_1, \dots, Y_s have the same distribution as Y_1^s, \dots, Y_s^s conditionally on $(X^s; V_1^s, V_2^s, \dots, V_s^s)$, we observe that

$$\begin{aligned}
 (2.7) \quad & \mathbb{E} \left[f \left(X^s; (V_k^s)_{1 \leq k \leq s}, (Y_k^s)_{1 \leq k \leq s}, (U_i)_{i > 1} \right) \right] \\
 &= \mathbb{E} \left[\int_{[0,1]^s} dv_1 \dots dv_s \frac{X(v_1) \dots X(v_s)}{\left(\int_0^1 X(t) dt \right)^s} \int_0^{X(v_1)} \frac{dy_1}{X(v_1)} \dots \right. \\
 & \quad \left. \int_0^{X(v_s)} \frac{dy_s}{X(v_s)} f \left(X; (v_k), (y_k), (U_i) \right) \left(\int_0^1 X(t) dt \right)^s \right] \\
 &= \frac{\mathbb{E} \left[\left(\int_0^1 X(t) dt \right)^s \right]}{\mathbb{E} \left[\left(\int_0^1 X(t) dt \right)^s \right]} \\
 &= \frac{\mathbb{E} \left[f \left(X; (V_k)_{1 \leq k \leq s}, (Y_k)_{1 \leq k \leq s}, (U_i)_{i > 1} \right) X(V_1) X(V_2) \dots X(V_s) \right]}{\mathbb{E} \left[X(V_1) X(V_2) \dots X(V_s) \right]}.
 \end{aligned}$$

In particular, this allows us to compute quantities in the unbiased setting in order to understand the biased one. We define $\widehat{\mathcal{G}}^s$ to be the unbiased counterpart of \mathcal{G}^s and $\widehat{\mathcal{G}}_n^s$ to be the unbiased counterpart of \mathcal{G}_n^s and $\widehat{\mathcal{G}}_n^s$ to be the unbiased counterpart of \mathcal{G}_n^s . Similarly, $\widehat{\mathcal{T}}_{s,n}^{s,\text{ord}}$ is the unbiased counterpart of $\mathcal{T}_{s,n}^{s,\text{ord}}$ which, modulo the labelling of the leaves, has the same distribution as $\mathcal{T}_{s+n}^{\text{ord}}$.

3. Distribution of the marginals \mathcal{G}_n^s

Let $s > 0$. The goal of this section is to identify the joint distribution of the marginals \mathcal{G}_n^s , for $n > 0$ (and for $n > -1$ if $s > 2$). By definition, for any $n > 0$, the random graph \mathcal{G}_n^s is an element of $\mathbb{M}_{s,n}$, the set of connected multigraphs with surplus s , with $n + 1$ labelled leaves, unlabelled internal vertices and no vertex of degree 2. To perform our calculations, it will be convenient to consider versions of this multigraph with some additional structure, namely cyclic orderings of the half-edges around each vertex. We denote by $\mathbb{M}_{s,n}^{\text{ord}}$ the set of such graphs and we emphasise here that the orderings around different vertices need not be compatible with one another: the elements of $\mathbb{M}_{s,n}^{\text{ord}}$ are not necessarily planar. The advantage is that this additional structure breaks the symmetries present in elements of $\mathbb{M}_{s,n}$. (For $n = -1$ the cyclic ordering is insufficient to break all the symmetries and we will rather label the internal vertices.)

We will begin in Section 3.1 by first clarifying how we see our multigraphs, and then computing the number of possible cyclic orderings of the half-edges around the different vertices of a graph $G \in \mathbb{M}_{s,n}$. Then, in Section 3.2, we will describe the elements of $\mathbb{M}_{s,n}^{\text{ord}}$ as ordered trees with n labelled and s unlabelled leaves together with a “gluing plan”, that specifies how to glue each unlabelled leaf “to the right” of the ancestral path of that leaf. This description corresponds to the one we have for \mathcal{G}_n^s , and we compute in Section 3.3 the distribution of the tree and the corresponding gluing plan, which then yields the distribution of \mathcal{G}_n^s claimed in Theorem 1.3. In

Section 3.4, we show that the sequence $(\mathbf{G}_n^s)_{n>0}$ evolves according to Marchal's algorithm (Theorem 1.5). In Section 3.5, we extend this to $(\mathbf{G}_n^s)_{n>-1}$ for $s > 2$. Finally, Section 3.6 is devoted to the proof of Corollary 1.4, which identifies the distribution of \mathbf{G}_n^s with that of a specific configuration model with i.i.d. random degrees.

We recall the following notation from the introduction. For each $G = (V(G), E(G)) \in \mathbb{M}_{s,n}$, we denote $I(G) \subseteq V(G)$ the set of internal vertices of G (vertices of degree 3 or more), $\deg(v) = \deg_G(v)$ the degree of a vertex $v \in V(G)$, $\text{sl}(G)$ the number of self-loops, $\text{mult}(e)$ the multiplicity of the element $e \in \text{supp}(E)$ and $\text{Sym}(G)$ the set of permutations of vertices of G that are the identity on the leaves and that preserve the adjacency relations (with multiplicity).

3.1. Cyclic orderings of half-edges

3.1.1. Clarification of how we see multigraphs

In the introduction, we introduced multigraphs as ordered pairs (V, E) , where V is the set of vertices and E a multiset of non-oriented edges. A more accurate representation of how we actually think about them would require us to introduce *fully specified multigraphs*, where all vertices are distinguishable, all edges are distinguishable and are oriented (every edge has a tail and a head). Our original notion of multigraphs can then be obtained by “forgetting” the orientation and labelling of the edges, by which we mean that we consider equivalence classes of fully specified multigraphs up to relabelling and flipping the orientation of edges. Now, on any fully specified multigraph, what we mean by the half-edges adjacent to a vertex is clear, so that we can make sense of the extra structure of a cyclic ordering of the set of half-edges around every vertex.

We introduce $\mathbb{M}_{s,n}^{\text{ful}}$, the set of all fully specified multigraphs endowed with a cyclic ordering of half-edges around each vertex, with n leaves labelled 1 to n , surplus s , no vertex of degree 2, and where the labels of the internal vertices are $n+1, n+2, \dots, n+I$, where I is the number of internal vertices of the multigraph. Any type of multigraph that we consider in this paper will be obtained by “forgetting” structure from an oriented fully specified multigraph. In particular, the set $\mathbb{M}_{s,n}^{\text{ord}}$ that we defined in the introduction of this section, as well as the set $\mathbb{M}_{s,n}$, can be seen as quotients of the set $\mathbb{M}_{s,n}^{\text{ful}}$ under some appropriate relabelling, order-shuffling and orientation-flipping operations.

In order to avoid making the notation too heavy, we will not hold ourselves to this level of precision (with the exception of a few places where we need to be very precise) and will rather rely on the intuition that we get from drawing pictures of these objects.

3.1.2. Number of possible cyclic orderings of a given graph

Let $n > 0$. In this section we compute the number of possible cyclic orderings of the half-edges around each vertex of G , for each $G \in \mathbb{M}_{s,n}$ (we emphasise that

Lemma 3.1 is false when $n = -1$ and $s > 2$). Let $\psi : \mathbb{M}_{s,n}^{\text{ord}} \rightarrow \mathbb{M}_{s,n}$ be the map that forgets the cyclic ordering around the vertices. More precisely, consider the projection onto the quotient $p : \mathbb{M}_{s,n}^{\text{ful}} \rightarrow \mathbb{M}_{s,n}$. The reader can convince themselves that if $G, G' \in \mathbb{M}_{s,n}^{\text{ful}}$ are equivalent under forgetting edge-labelling, edge-orientation and labelling of internal vertices, then $p(G) = p(G')$. This entails that p projects to a function on the quotient space $\mathbb{M}_{s,n}^{\text{ord}}$, and this is the map that we call ψ .

LEMMA 3.1. — *For each $G \in \mathbb{M}_{s,n}$,*

$$|\psi^{-1}(G)| = \frac{\prod_{v \in I(G)} (\deg(v) - 1)!}{|\text{Sym}(G)| 2^{\text{sl}(G)} \prod_{e \in \text{supp}(E(G))} \text{mult}(e)!}.$$

Proof. — Consider a version of G with labelled internal vertices. The number of possible labellings is

$$(3.1) \quad \frac{|I(G)|!}{|\text{Sym}(G)|}.$$

Indeed, let \tilde{G} denote an arbitrarily labelled version of G . The symmetric group $\mathfrak{S}_{|I(G)|}$ acts on the set of multigraphs with $|I(G)|$ internal labels by permuting those labels. The number of labellings we seek is thus the number of elements of the orbit of \tilde{G} under this action. This is just $|I(G)|!$ divided by the cardinality of the stabilizer of \tilde{G} . Any permutation $\sigma \in \mathfrak{S}_{|I(G)|}$ that fixes \tilde{G} corresponds to a permutation $\tau \in \text{Sym}(G)$, and (3.1) follows.

Now, to compute $|\psi^{-1}(G)|$, we first label everything then forget the labels we do not need.

- Consider version of G with labelled internal vertices: from the preceding paragraph, there are $\frac{|I(G)|!}{|\text{Sym}(G)|}$ possible labellings.
- For each $e = \{u, v\} \in \text{supp}(E(G))$, in order to distinguish between the $\text{mult}(e)$ edges joining u and v , number them from 1 to $\text{mult}(e)$.
- Give every self-loop an orientation.
- Endow the multigraph with a cyclic ordering around each vertex. For each $v \in I(G)$ we have $(\deg(v) - 1)!$ possibilities for an ordering of the half edges adjacent to v . (The half-edges are distinguishable because the self-loops are oriented.)
- Forget the orientation on the self-loops. This transformation is $2^{\text{sl}(G)}$ -to-1 since with the ordering around the vertices, every orientation is distinguishable.
- Forget the labelling of the edges. This transformation is

$$\left(\prod_{e \in \text{supp}(E(G))} \text{mult}(e)! \right)\text{-to-1}.$$

- Forget the labelling of the internal vertices. With the cyclic ordering around the vertices every vertex is distinguishable, and so this map is $|I(G)|!$ -to-1.

The last property follows from the fact that a depth-first search starting from the root and using the cyclic ordering gives rise to a well-defined order on the vertices and edges (see the introduction of $\text{DEP}(G)$, the depth-first tree of G in the next

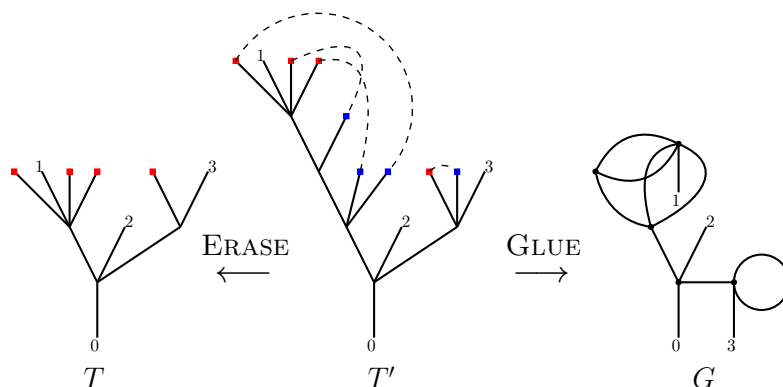


Figure 3.1. The operations GLUE and ERASE applied to a tree T . Here, T is the depth-first tree of G , and T is the base tree.

section). We emphasise here the importance of the fact that our multigraphs are planted (i.e. their root has degree 1) in distinguishing edges and vertices.

We obtain a multigraph in $\mathbb{M}_{s,n}^{\text{ord}}$ whose image by ψ is G . By the previous considerations, the number of such multigraphs is indeed given by the claimed formula.

3.2. Ordered multigraphs and the depth-first tree

We still consider integers $n > 0$.

3.2.1. Ordered trees with paired leaves

Let $\mathbb{T}_{s,n}$ be the set of planted ordered trees with no vertices of degree 2 that have s unlabelled leaves and n labelled leaves, with labels from 1 to n . Let $\mathbb{T}_{s,n}^{\text{pair}}$ be the set of ordered trees with no vertices of degree 2 that have n labelled uncoloured leaves, s red leaves labelled 1 to s in clockwise order from the root, and s blue leaves also labelled from 1 to s . We think of the red and blue leaves labelled i as forming a pair, and impose the condition that the blue leaf labelled i must lie to the right of the ancestral line of the red leaf labelled i , for $1 \leq i \leq s$.

We first describe how every ordered multigraph $G \in \mathbb{M}_{s,n}^{\text{ord}}$ is equivalent to an element of $\mathbb{T}_{s,n}^{\text{pair}}$. We define two natural maps on $\mathbb{T}_{s,n}^{\text{pair}}$. Let

$$\text{GLUE} : \mathbb{T}_{s,n}^{\text{pair}} \rightarrow \mathbb{M}_{s,n}^{\text{ord}}$$

be the map that, for each red leaf i identifies i with its blue pair and then contracts the resulting path containing a vertex of degree 2 into a single edge. Let

$$\text{ERASE} : \mathbb{T}_{s,n}^{\text{pair}} \rightarrow \mathbb{T}_{s,n}$$

be the map that erases the blue leaves and their adjacent edges, then contracts any path of degree 2 vertices into a single edge, and finally forgets the labelling and colour of the red leaves.

3.2.2. Reverse construction: the depth-first tree

Let $G \in \mathbb{M}_{s,n}^{\text{ord}}$. We imagine that each edge of G may be split into two half-edges (or “stubs”), one attached to each of the vertices incident to the edge (note that these may both be the same vertex if the edge is a self-loop). We say that two half-edges are *adjacent* if they are attached to the same vertex. We describe a procedure that explores all the half-edges of the graph in a deterministic manner and disconnects exactly s edges in order to transform G into a tree. At each step i of the algorithm, we will have an ordered stack of *active* half-edges A_i and a current surplus s_i . We write h_0 for the unique half-edge connected to the leaf with label 0.

INITIALIZATION $A_0 = (h_0)$, $s_0 = 0$.

STEP i ($0 \subset i \subset |E(G)| - 1$): Let h_i be the half-edge at the top of the stack A_i . Let \widehat{h}_i be the half-edge to which it is attached. If $\widehat{h}_i \notin A_i$, remove h_i from the stack and put the half-edges adjacent to \widehat{h}_i on the top of the stack, in clockwise order top to bottom. If $\widehat{h}_i \in A_i$, first increment s_i , then remove both h_i and \widehat{h}_i from the stack, disconnect them, attach a red leaf labelled s_i to h_i and attach a blue leaf labelled s_i to \widehat{h}_i .

It is straightforward to check that this algorithm produces a tree in $\mathbb{T}_{s,n}^{\text{pair}}$, which we call the *depth-first tree*, and denote by $\text{DEP}(G)$. (Note that this is a variant of the notion of depth-first tree introduced in [ABBG12].) We have $\text{DEP}(G) = G$ if and only if G is a tree i.e. $s = 0$. The following lemma is then straightforward.

LEMMA 3.2. — *The maps $\text{GLUE} : \mathbb{T}_{s,n}^{\text{pair}} \rightarrow \mathbb{M}_{s,n}^{\text{ord}}$ and $\text{DEP} : \mathbb{M}_{s,n}^{\text{ord}} \rightarrow \mathbb{T}_{s,n}^{\text{pair}}$ are reciprocal bijections.*

For a multigraph G , call $\text{ERASE}(\text{DEP}(G))$ the *base tree*.

3.2.3. Gluing plans

Consider $T \in \mathbb{T}_{s,n}$. We now aim to describe the set $\text{ERASE}^{-1}(\{T\})$. This is the set of possible depth-first trees T obtainable from a fixed base tree T . As usual, we write $I(T)$ for the internal vertices of T and $E(T)$ for its edges. A vertex $v \in I(T)$ of degree $d = \text{deg}_T(v)$ possesses d *corners*, which we call $c_{v,1}, \dots, c_{v,d}$ in clockwise order from the root. We write $C(T)$ for the set of corners of T . The *ancestral path* of a vertex is its unique path to the root. For the k th unlabelled leaf of T in clockwise order, let $\mathcal{A}(k)$ be the set of edges and corners that lie immediately to the right of its ancestral path, for $1 \subset k \subset s$.

Now let $T \in \text{ERASE}^{-1}(\{T\})$. The internal vertices of T each have a counterpart in T , for which we use the same name. The red leaves of T correspond to the unlabelled leaves of T . A blue leaf is attached by its incident edge either into one of the corners of an internal vertex of T , or to an internal vertex of T which disappears when the blue leaves are removed and paths of internal vertices of degree 2 are contracted into a single edge. For each $e \in E(T)$ let a_e be the number of additional vertices along the path in T which get contracted to yield the edge e by ERASE . If

$a_e \neq 0$, we will list these additional vertices as $v_{e,1}, \dots, v_{e,a_e}$ in decreasing order of distance from the root.

For each $v \in I(T)$, let $S_{v,\ell}$ be the set of labels of blue leaves attached to corner $c_{v,\ell}$, for $1 \leq \ell \leq \deg_T(v)$. (Any or all of these sets may be empty; in particular, $S_{v,1}$ is always empty because a blue leaf must lie to the right of the ancestral line of the corresponding red leaf.) If $S_{v,\ell}$ is non-empty, let $\sigma_{v,\ell}$ be the permutation of its elements which gives the clockwise ordering of the blue leaves in corner $c_{v,\ell}$; if it is empty, let $\sigma_{v,\ell}$ be the unique permutation of the empty set. For each $e \in E(T)$ such that $a_e \neq 0$, we let $S_{e,i}$ be the set of labels of blue leaves attached to vertex $v_{e,i}$ in T , for $1 \leq i \leq a_e$. These sets can not be empty. Let $\sigma_{e,i}$ be the permutation of the elements of $S_{e,i}$ giving the clockwise ordering of the blue leaves attached to $v_{e,i}$ (note that these are necessarily attached to the right of e). Observe that the collection of sets

$$\{S_{v,\ell} : v \in I(T), 1 \leq \ell \leq \deg_T(v), S_{v,\ell} \neq \emptyset\} \cup \{S_{e,i} : e \in E(T), 1 \leq i \leq a_e\}$$

partitions $\{1, 2, \dots, s\}$. This induces a *gluing function* $g : \{1, 2, \dots, s\} \rightarrow (I(T) \cup E(T)) \times \mathbb{N}$ as follows. For $1 \leq k \leq s$, if $k \in S_{v,\ell}$ set $g(k) = (v, \ell)$; if $k \in S_{e,i}$ set $g(k) = (e, i)$.

See Figure 3.2 for an illustration. This leads us to the formal definition of a gluing plan.

DEFINITION 3.3. — *We say that*

$$\Delta = \left(\left((S_{v,\ell}, \sigma_{v,\ell})_{1 \leq \ell \leq \deg_T(v)} \right)_v \right)_{v \in I(T)}, \left((S_{e,i}, \sigma_{e,i})_{1 \leq i \leq a_e} \right)_e \right)_{e \in E(T)}$$

is a gluing plan for T if the following properties are satisfied.

- (1) For all $v \in I(T)$ and all $1 \leq \ell \leq \deg_T(v)$, we have $S_{v,\ell} \subseteq \{1, 2, \dots, s\}$ and $\sigma_{v,\ell}$ is a permutation of $S_{v,\ell}$.
- (2) For all $e \in E$ and all $1 \leq i \leq a_e$, the set $S_{e,i} \subseteq \{1, 2, \dots, s\}$ is non-empty and $\sigma_{e,i}$ is a permutation of $S_{e,i}$.
- (3) The sets

$$\{S_{v,\ell} : v \in I(T), 1 \leq \ell \leq \deg_T(v), S_{v,\ell} \neq \emptyset\} \quad \text{and} \quad \{S_{e,i} : e \in E(T), 1 \leq i \leq a_e\}$$

partition $\{1, 2, \dots, s\}$.

- (4) The induced gluing function $g : \{1, 2, \dots, s\} \rightarrow (I(T) \cup E(T)) \times \mathbb{N}$ is such that if $g(k) = (v, \ell)$ then $c_{v,\ell} \in \mathcal{A}(k)$ and if $g(k) = (e, i)$ then $e \in \mathcal{A}(k)$, for all $1 \leq k \leq s$.

It is straightforward to see that we can completely encode a tree $T \in \text{ERASE}^{-1}(\{T\})$ by its gluing plan, and that conversely, every gluing plan for T encodes a tree $T \in \text{ERASE}^{-1}(\{T\})$.

LEMMA 3.4. —

$$\mathbb{M}_{s,n}^{\text{ord}} \simeq \mathbb{T}_{s,n}^{\text{pair}} \simeq \{(T, \Delta) \mid T \in \mathbb{T}_{s,n} \text{ and } \Delta \text{ is a gluing plan for } T\}.$$

Suppose $T \in \mathbb{T}_{s,n}$ and that

$$\Delta = \left(\left((S_{v,\ell}, \sigma_{v,\ell})_{1 \leq \ell \leq \deg_T(v)} \right)_v \right)_{v \in I(T)}, \left((S_{e,i}, \sigma_{e,i})_{1 \leq i \leq a_e} \right)_e \right)_{e \in E(T)}$$

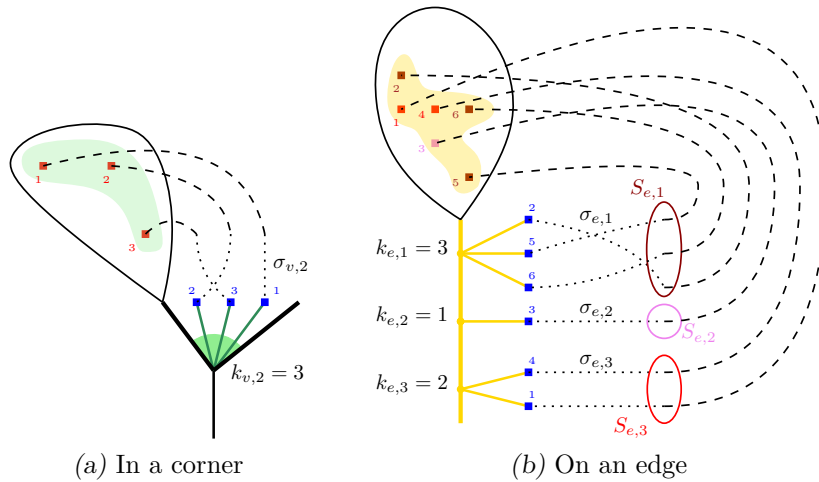


Figure 3.2. Definition of a gluing plan

is a gluing plan for the base tree T . We let $k_{v,\ell} = |S_{v,\ell}|$ be the number of blue leaves attached into corner $c_{v,\ell}$ and $k_v = \sum_{\ell=1}^{\deg_T(v)} k_{v,\ell}$ be the total number of blue leaves attached to v . We let $k_{e,i} = |S_{e,i}|$ be the number of blue leaves attached to the i th vertex inserted along e and let $k_e = \sum_{i=1}^{a_e} k_{e,i}$ be the total number of blue leaves attached to vertices along e . We call the family of numbers

$$\left(\left(k_v, (k_{v,\ell})_{1 \leq \ell \leq \deg_T(v)} \right)_v \right)_{v \in I(T)}, \left(k_e, a_e, (k_{e,i})_{1 \leq i \leq a_e} \right)_e \right)_{e \in E(T)}$$

the *type* of the gluing plan Δ .

Remark 3.5. — Suppose that $G \in \mathbb{M}_{s,n}^{\text{ord}}$ corresponds to (T, Δ) . The degrees in G depend only on T and the type of the gluing plan Δ . For an internal vertex v of G that was already present in $I(T)$, its degree in G is $\deg_G(v) = \deg_T(v) + k_v$. The internal vertices of G that do not correspond to internal vertices of T are the ones that were created along the edges of T during the gluing procedure. For each $e \in E(T)$, there are a_e newly-created vertices along the edge e , having degrees $2 + k_{e,1}, 2 + k_{e,2}, \dots, 2 + k_{e,a_e}$.

3.3. The distribution of G_n^s

The goal of this section is to prove Theorem 1.3 for $n > 0$, which states that for every connected multigraph $G \in \mathbb{M}_{s,n}$,

$$\mathbb{P}(G_n^s = G) \propto \frac{\prod_{v \in I(G)} w_{\deg(v)-1}}{|\text{Sym}(G)| 2^{\text{sl}(G)} \prod_{e \in \text{supp}(E(G))} \text{mult}(e)!},$$

where the weights $(w_k)_{k>0}$ are defined in (1.6).

Recall the construction of the random \mathbb{R} -graph \mathcal{G}^s using a tilted excursion and biased chosen points $(X^s; V_1^s, \dots, V_s^s)$ from Section 2.2. Recall also the definitions of

$\mathcal{T}_{s,n}^{s,\text{ord}}$ (and its discrete version $\mathbb{T}_{s,n}^{s,\text{ord}}$) and $\mathcal{G}_{s,n}^s$ (and its discrete version \mathbb{G}_n^s), using an additional sequence of i.i.d. uniform random variables $(U_i)_{i>1}$. In order to apply the results of the previous section, we want to work with ordered versions of our graphs. In particular, we will get an ordered version $\mathbb{G}_n^{s,\text{ord}}$ of \mathbb{G}_n^s by applying a gluing plan to the base tree $\mathbb{T}_{s,n}^{s,\text{ord}}$. The change of measure (2.7) allows us to make calculations using the unbiased excursion with uniform points $(X; V_1, \dots, V_s, U_1, \dots, U_n)$. So we will define and work instead with an unbiased version $\widehat{\mathbb{G}}_n^{s,\text{ord}}$, derived from the unbiased version $\widehat{\mathbb{T}}_{s,n}^{s,\text{ord}}$ of $\mathbb{T}_{s,n}^{s,\text{ord}}$.

3.3.1. Construction of $\widehat{\mathbb{G}}_n^{s,\text{ord}}$

We define $\widehat{\mathbb{G}}_n^{s,\text{ord}}$ via a random gluing plan Δ for $\widehat{\mathbb{T}}_{s,n}^{s,\text{ord}}$. Conditionally on $\widehat{\mathbb{T}}_{s,n}^{s,\text{ord}} = T \in \mathbb{T}_{s,n}$, let

$$\begin{aligned} \Omega(T) &:= \left\{ (v, \ell) : v \in I(T), 1 \triangleleft \ell \triangleleft \deg_T(v) \right\} \cup \left\{ (e, j) : e \in E(T), j > 1 \right\} \\ &\subseteq (I(T) \cup E(T)) \times \mathbb{N}. \end{aligned}$$

This indexes all the atoms of local time in the corners (as usual, ordered clockwise around each internal vertex) and along the edges (ordered by decreasing local time in this instance) of the ordered tree $\mathcal{T}_{s,n}^{s,\text{ord}}$. We will often abuse notation and think of the elements of $\Omega(T)$ (which are just labels) as the atoms themselves. In fact, the tree $\mathcal{T}_{s,n}^{s,\text{ord}}$ has, up to the labelling of the leaves, the same distribution as $\mathcal{T}_{s+n}^{\text{ord}}$, so using the discussion just before Lemma 2.1, we can decompose the whole (unbiased) stable tree as

$$\mathcal{T}_{s,n}^{\text{ord}} \cup \bigcup_{\omega \in \Omega(T)} \mathcal{T}(\omega).$$

In order to define our gluing plan, we need to be a little careful about labelling. For $1 \triangleleft k \triangleleft s$, let $l_k \in \{1, 2, \dots, s\}$ be the position of V_k in the increasing ordering of V_1, \dots, V_s i.e. $l_k = \#\{1 \triangleleft j \triangleleft s : V_j \triangleleft V_k\}$. This gives the relative planar position of the (unlabelled) leaf in T corresponding to V_k . Recall from the description of gluing plans that $\mathcal{A}(l_k)$ is then the set of edges and corners that lie immediately to the right of the ancestral path of this leaf. As in Section 2.2, the value $B_k = \inf\{t > V_k : X(t) = Y_k\}$ corresponds to an atom ω_k which lies in $\mathcal{A}(l_k)$. The distribution of this atom is as follows: conditionally on $(X; V_1, V_2, \dots, V_s, U_1, U_2, \dots, U_n)$, we have

$$\omega_k = \begin{cases} (v, \ell) & \text{with probability } \frac{N(v,\ell)}{X(V_k)}, \text{ for any corner } (v, \ell) \in \mathcal{A}(l_k), \\ (e, j) & \text{with probability } \frac{N^{\text{right}}(e,j)}{X(V_k)}, \text{ for any edge } e \in \mathcal{A}(l_k) \text{ and any } j > 1, \end{cases}$$

independently for all k . For each edge $e \in E(T)$, let a_e be the number of distinct atoms of local time which appear among $\omega_1, \dots, \omega_s$. If $a_e > 1$, we denote by j_1, j_2, \dots, j_{a_e} the values in the set $\{j > 1 : (e, j) \in \{\omega_1, \dots, \omega_s\}\}$ (that is, the indices of the atoms along e that receive at least one gluing) listed now in decreasing order of height i.e. such that $L(e, j_1) > L(e, j_2) > \dots > L(e, j_{a_e})$. For $1 \triangleleft k \triangleleft s$, let

$$g(l_k) = \begin{cases} (v, \ell) & \text{if } \omega_k = (v, \ell) \text{ for some corner } (v, \ell) \in \mathcal{A}(l_k), \\ (e, i) & \text{if } \omega_k = (e, j_i) \text{ for some edge } e \in \mathcal{A}(l_k) \text{ and some } 1 \triangleleft i \triangleleft a_e. \end{cases}$$

This is the required gluing function for T . We now derive the full gluing plan. For $e \in E(T)$ such that $a_e > 1$ and $1 \triangleleft i \triangleleft a_e$, let $S_{e,i} = g^{-1}(\{(e, i)\})$ be the set of leaves mapped to the i th atom in decreasing order of height along the edge e . Define a permutation $\sigma_{e,i}$ of $S_{e,i}$ by

$$\sigma_{e,i}(l_k) = \# \{1 \triangleleft j \triangleleft s : l_j \in S_{e,i}, Y_j > Y_k\}.$$

Similarly, for any $(v, \ell) \in C(T)$, we define $S_{v,\ell} = g^{-1}(\{(v, \ell)\})$ and a permutation $\sigma_{v,\ell}$ of $S_{v,\ell}$ by

$$\sigma_{v,\ell}(l_k) = \# \{1 \triangleleft j \triangleleft s : l_j \in S_{v,\ell}, Y_j > Y_k\}.$$

Since Y_1, \dots, Y_k are conditionally independent given $(X; V_1, \dots, V_s, U_1, \dots, U_n)$, we see that the permutations are conditionally independent. Conditionally on corresponding to the same atom of local time, the relative ordering of the associated Y_k 's is uniform, so that the permutations are all uniform on their label-sets. By construction,

$$\Delta = \left(\left((S_{v,\ell}, \sigma_{v,\ell})_{1 \triangleleft \ell \triangleleft \deg_T(v)} \right)_v \right)_{I(T)}, \left((S_{e,i}, \sigma_{e,i})_{1 \triangleleft i \triangleleft a_e} \right)_e \right)_{E(T)}$$

is a gluing plan for T . We call $\widehat{G}_n^{s,\text{ord}}$ the corresponding (random) multigraph in $\mathbb{M}_{s,n}^{\text{ord}}$, obtained via the bijection of Lemma 3.4.

For $n > 1$, let $\mathbb{N}^{n,=} = \{(j_1, \dots, j_n) \in \mathbb{N}^n : j_1, j_2, \dots, j_n \text{ are distinct.}\}$.

PROPOSITION 3.6. — Fix $T \in \mathbb{T}_{s,n}$ and suppose that $G \in \mathbb{M}_{s,n}^{\text{ord}}$ is obtained from T by a gluing plan Δ . Conditionally on $\widehat{T}_{s,n}^{s,\text{ord}} = T$ and on the random variables

$$(3.2) \quad (N(v, \ell); v \in I(T), 1 \triangleleft \ell \triangleleft \deg_T(v)), (N^{\text{right}}(e, j); e \in E(T), j > 1),$$

the probability that $\widehat{G}_n^{s,\text{ord}}$ is equal to G depends only on the type of the gluing plan Δ . Indeed, for any gluing plan of type

$$\left(\left(k_v, (k_{v,\ell})_{1 \triangleleft \ell \triangleleft \deg_T(v)} \right)_v \right)_{I(T)}, \left(k_e, a_e, (k_{e,i})_{1 \triangleleft i \triangleleft a_e} \right)_e \right)_{E(T)},$$

this conditional probability is

$$(3.3) \quad \frac{1}{X(V_1)X(V_2) \dots X(V_s)} \left(\prod_v \prod_{\ell=1}^{\deg_T(v)} \frac{N(v, \ell)^{k_{v,\ell}}}{k_{v,\ell}!} \right) \cdot \left(\prod_e \sum_{(j_1, \dots, j_{a_e}) \in \mathbb{N}^{a_e,=}} \frac{1}{a_e!} \prod_{i=1}^{a_e} \frac{N^{\text{right}}(e, j_i)^{k_{e,i}}}{k_{e,i}!} \right).$$

Note that the random variables $X(V_1), X(V_2), \dots, X(V_s)$ appearing in (3.3) are measurable functions of the random variables appearing in (3.2).

Proof. — We first reason conditionally on $(X; V_1, \dots, V_s, U_1, \dots, U_n)$. Observe that the tree $\widehat{T}_{s,n}^{s,\text{ord}}$ and random variables

$$(N^{\text{right}}(e, j) : e \in E(T), j > 1) \quad \text{and} \quad (N(v, \ell) : v \in I(T), 1 \triangleleft \ell \triangleleft \deg_T(v))$$

are measurable functions of these quantities, as are the relative orderings of the atoms of local time along an edge. The remaining randomness lies in the random variables Y_1, \dots, Y_s . Consider first a vertex $v \in I(T)$ and $1 \triangleleft \ell \triangleleft \deg_T(v)$. The

probability that the leaves among l_1, \dots, l_s with indices in $S_{v,\ell}$ (where $|S_{v,\ell}| = k_{v,\ell}$) are glued into corner $c_{v,\ell}$ is

$$\frac{N(v, \ell)^{k_{v,\ell}}}{\prod_{l_j \in S_{v,\ell}} X(V_j)}.$$

Now consider an edge $e \in E(T)$ and fixed $a_e > 1$. The probability that the leaves among l_1, \dots, l_s with indices in the sets $S_{e,1}, \dots, S_{e,a_e}$ (with $|S_{e,i}| = k_{e,i}$) are grouped together in the gluing, in that top-to-bottom order, is given by summing over $(j_1, \dots, j_{a_e}) \in \mathbb{N}^{a_e,=}$, corresponding to different ordered collections of atoms of local time along the edge e ,

$$\sum_{(j_1, \dots, j_{a_e}) \in \mathbb{N}^{a_e,=}} \mathbf{1}_{\{L(e,j_1) > L(e,j_2) > \dots > L(e,j_{a_e})\}} \prod_{i=1}^{a_e} \frac{N^{\text{right}}(e, j_i)^{k_{e,i}}}{\prod_{l_j \in S_{e,i}} X(V_j)}.$$

The corners and edges all behave independently, and so multiplying everything together, we obtain that the probability of seeing the particular sets

$$((S_{v,\ell})_{1 \leq \ell \leq \deg_T(v)})_{v \in I(T)}, ((S_{e,i})_{1 \leq i \leq a_e})_{e \in E(T)}$$

in the random gluing plan is

$$(3.4) \quad \frac{1}{X(V_1)X(V_2) \dots X(V_s)} \cdot \left(\prod_{v \in I(T)} \prod_{\ell=1}^{\deg_T(v)} N(v, \ell)^{k_{v,\ell}} \right) \cdot \left(\prod_{e \in E(T)} \sum_{(j_1, \dots, j_{a_e}) \in \mathbb{N}^{a_e,=}} \mathbf{1}_{\{L(e,j_1) > L(e,j_2) > \dots > L(e,j_{a_e})\}} \prod_{i=1}^{a_e} N^{\text{right}}(e, j_i)^{k_{e,i}} \right).$$

We now take the conditional expectation of the above quantity with respect to $(N(v, \ell); v \in I(T), 1 \leq \ell \leq \deg_T(v)), (N^{\text{right}}(e, j); e \in E(T), j > 1)$. The indicator $\mathbf{1}_{\{L(e,j_1) > L(e,j_2) > \dots > L(e,j_{a_e})\}}$ integrates to $\frac{1}{a_e!}$, independently for every $e \in E(T)$, by Proposition 2.2.

Since the permutations $(\sigma_{v,\ell})_{v \in I(T), 1 \leq \ell \leq \deg_T(v)}$ and $(\sigma_{e,i})_{e \in E(T), 1 \leq i \leq a_e}$ are uniform and independent given the sets $((S_{v,\ell})_{1 \leq \ell \leq \deg_T(v)})_{v \in I(T)}$ and $((S_{e,i})_{1 \leq i \leq a_e})_{e \in E(T)}$, we see that each particular collection of permutations arises with conditional probability

$$\left(\prod_{v \in I(T)} \prod_{\ell=1}^{\deg_T(v)} \frac{1}{k_{v,\ell}!} \right) \cdot \left(\prod_{e \in E(T)} \frac{1}{k_{e,1}! \dots k_{e,a_e}!} \right).$$

Multiplying the conditional expectation of (3.4) by this quantity gives the desired result.

Recall that $\widehat{G}_n^{s,\text{ord}}$ is an ordered version of \widehat{G}_n^s . We denote by $G_n^{s,\text{ord}}$ the corresponding ordered version in the s -biased case.

3.3.2. The distribution of $G_n^{s,\text{ord}}$

We will show that for any ordered multigraph $G \in \mathbb{M}_{s,n}^{\text{ord}}$,

$$(3.5) \quad \mathbb{P}(G_n^{s,\text{ord}} = G) \propto \prod_{v \in I(G)} \frac{w_{\deg_G(v)-1}}{(\deg_G(v)-1)!}.$$

Fix $G \in \mathbb{M}_{s,n}^{\text{ord}}$. As previously mentioned, the only way to obtain G by gluing the s unlabelled leaves of a tree $T \in \mathbb{T}_{s,n}$ onto their ancestral paths is if the tree T is the base-tree of G , i.e. if $T = \text{ERASE}(\text{DEP}(G))$. Let $C_s := \mathbb{E}[X(V_1) \dots X(V_s)]^{-1}$. Then using the change of measure formula (2.7), we have

$$\begin{aligned}
 (3.6) \quad & \mathbb{P}(\widehat{\mathbb{G}}_n^{s,\text{ord}} = G) \\
 &= C_s \cdot \mathbb{E} \left[\mathbf{1}_{\{\widehat{\mathbb{G}}_n^{s,\text{ord}} = G\}} X(V_1)X(V_2) \dots X(V_s) \right] \\
 &= C_s \cdot \mathbb{P}(\widehat{\mathbb{T}}_{s,n}^{s,\text{ord}} = T) \mathbb{E} \left[\mathbf{1}_{\{\widehat{\mathbb{G}}_n^{s,\text{ord}} = G\}} X(V_1)X(V_2) \dots X(V_s) \mid \widehat{\mathbb{T}}_{s,n}^{s,\text{ord}} = T \right].
 \end{aligned}$$

Observe here again that, apart from the labels on the leaves, the tree $\widehat{\mathbb{T}}_{s,n}^{s,\text{ord}}$ has exactly the same distribution as $\mathbb{T}_{s+n}^{\text{ord}}$ defined at the beginning of Section 2.1.2. So by (2.4), we have

$$(3.7) \quad \mathbb{P}(\widehat{\mathbb{T}}_{s,n}^{s,\text{ord}} = T) \propto \prod_{v \in I(T)} \frac{w_{\text{deg}_T(v)-1}}{(\text{deg}_T(v) - 1)!}.$$

We then calculate

$$\mathbb{E} \left[\mathbf{1}_{\{\widehat{\mathbb{G}}_n^{s,\text{ord}} = G\}} X(V_1)X(V_2) \dots X(V_s) \mid \widehat{\mathbb{T}}_{s,n}^{s,\text{ord}} = T \right]$$

by taking expectations in the formula of Proposition 3.6 conditionally on the event $\{\widehat{\mathbb{T}}_{s,n}^{s,\text{ord}} = T\}$. Recall that we fixed $T = \text{ERASE}(\text{DEP}(G))$ and recall the notation $N(T)$ introduced in Remark 2.4. Using Proposition 2.2 and Remark 2.4, we know explicitly the (conditional) distributions of each of the terms in (3.3). Using the independence stated there, we get

$$\begin{aligned}
 & \mathbb{E} \left[\mathbf{1}_{\{\widehat{\mathbb{G}}_n^{s,\text{ord}} = G\}} X(V_1)X(V_2) \dots X(V_s) \mid \widehat{\mathbb{T}}_{s,n}^{s,\text{ord}} = T \right] \\
 &= \mathbb{E} \left[\left(\prod_{v \in I(T)} \prod_{\ell=1}^{\text{deg}_T(v)} \frac{N(v, \ell)^{k_{v,\ell}}}{k_{v,\ell}!} \right) \cdot \left(\prod_e \sum_{(j_1, \dots, j_{a_e})} \sum_{\mathbb{N}^{a_e,=} \frac{1}{a_e!} \prod_{i=1}^{a_e} \frac{N^{\text{right}}(e, j_i)^{k_{e,i}}}{k_{e,i}!}} \right) \right] \\
 &= \mathbb{E} [N(T)^s] \mathbb{E} \left[\prod_{v \in I(T)} \left(\frac{N(v)}{N(T)} \right)^{k_v} \prod_e \left(\frac{N(e)}{N(T)} \right)^{k_e} \right] \\
 & \quad \cdot \prod_{v \in I(T)} \mathbb{E} \left[\prod_{\ell=1}^{\text{deg}_T(v)} \frac{1}{k_{v,\ell}!} \left(\frac{N(v, \ell)}{N(v)} \right)^{k_{v,\ell}} \right] \\
 & \quad \cdot \prod_e \mathbb{E} \left[\sum_{(j_1, \dots, j_{a_e})} \sum_{\mathbb{N}^{a_e,=} \frac{1}{a_e!} \prod_{i=1}^{a_e} \left(\frac{N^{\text{right}}(e, j_i)}{N(e)} \right)^{k_{e,i}} \frac{1}{k_{e,i}!}} \right].
 \end{aligned}$$

We now compute the different terms in this product separately.

Using Remark 2.4, we have

$$\begin{aligned}
 N(T) &= N(e_1) + N(e_2) + \dots + N(e_{|E(T)|}) + N(v_1) + \dots + N(v_{|I(T)|}) \\
 &\sim \text{ML}(1/\alpha; n + s - 1/\alpha),
 \end{aligned}$$

so we get

$$\mathbb{E}[N(T)^s] = \frac{\Gamma(n + s - 1/\alpha)\Gamma((n + s)\alpha + s - 1)}{\Gamma((n + s)\alpha - 1)\Gamma(n + s + (s - 1)/\alpha)}.$$

Using Remark 2.4 again,

$$\begin{aligned} & \left(\frac{N(e_1)}{N(T)}, \dots, \frac{N(e_{|E(T)|})}{N(T)}, \frac{N(v_1)}{N(T)}, \dots, \frac{N(v_{|I(T)|})}{N(T)} \right) \\ & \sim \text{Dir}(\alpha - 1, \dots, \alpha - 1, d_1 - 1 - \alpha, \dots, d_r - 1 - \alpha). \end{aligned}$$

Note that $|I(T)| = |E(T)| - n - s$ and $\sum_{v \in I(T)} \deg_T(v) = 2|E(T)| - n - s - 1$, which yield that

$$(\alpha - 1)|E(T)| + \sum_{v \in I(T)} (\deg_T(v) - 1 - \alpha) = (n + s)\alpha - 1.$$

So (5.2) gives

$$\begin{aligned} & \mathbb{E} \left[\prod_{v \in I(T)} \left(\frac{N(v)}{N(T)} \right)^{k_v} \prod_{e \in E(T)} \left(\frac{N(e)}{N(T)} \right)^{k_e} \right] \\ & = \frac{\Gamma((n + s)\alpha - 1)}{\Gamma((n + s)\alpha + s - 1)} \cdot \prod_{v \in I(T)} \frac{\Gamma(\deg_T(v) + k_v - 1 - \alpha)}{\Gamma(\deg_T(v) - 1 - \alpha)} \\ & \quad \cdot \prod_{e \in E(T)} \frac{\Gamma(\alpha - 1 + k_e)}{\Gamma(\alpha - 1)}. \end{aligned}$$

Let $v \in I(T)$. Proposition 2.2 gives

$$\left(\frac{N(v, 1)}{N(v)}, \dots, \frac{N(v, \deg_T(v))}{N(v)} \right) \sim \text{Dir}(1, \dots, 1),$$

and then (5.2) yields

$$\begin{aligned} & \mathbb{E} \left[\prod_{\ell=1}^{\deg_T(v)} \frac{1}{k_{v,\ell}!} \left(\frac{N(v, \ell)}{N(v)} \right)^{k_{v,\ell}} \right] \\ & = \frac{\Gamma(\deg_T(v))}{\Gamma(\deg_T(v) + k_v)} \cdot \left(\prod_{\ell=1}^{\deg_T(v)} \frac{\Gamma(k_{v,\ell} + 1)}{\Gamma(1)} \right) \cdot \left(\prod_{\ell=1}^{\deg_T(v)} \frac{1}{k_{v,\ell}!} \right) \\ & = \frac{(\deg_T(v) - 1)!}{(\deg_T(v) + k_v - 1)!}. \end{aligned}$$

Let $e \in E(T)$. Using Proposition 2.2, we have

$$\left(\frac{N(e, j)}{N(e)} \right)_{j>1} \sim \text{PD}(\alpha - 1, \alpha - 1), \quad \text{and} \quad \left(\frac{N^{\text{right}}(e, j)}{N(e, j)} \right)_{j>1} \text{ are i.i.d. } \text{U}[0, 1],$$

so using Lemma 5.4, and the fact that $\mathbb{E}[U^p] = 1/(p + 1)$ for $U \sim U[0, 1]$, we get

$$\mathbb{E} \left[\sum_{(j_1, \dots, j_{a_e}) \in \mathbb{N}^{a_e, =}} \left(\frac{N^{\text{right}}(e, j_1)}{N(e)} \right)^{k_{e,1}} \cdots \left(\frac{N^{\text{right}}(e, j_{a_e})}{N(e)} \right)^{k_{e,a_e}} \right] = \left(\prod_{i=1}^{a_e} \frac{w_{k_{e,i}+1}}{k_{e,i}+1} \right) \cdot \frac{\Gamma(\alpha - 1)}{\Gamma(k_e + \alpha - 1)} \cdot a_e!.$$

Multiplying this by the combinatorial factor $\frac{1}{a_e!k_{e,1}! \cdots k_{e,a_e}!}$, we get

$$\prod_{i=1}^{a_e} \frac{w_{k_{e,i}+1}}{(k_{e,i}+1)!} \cdot \frac{\Gamma(\alpha - 1)}{\Gamma(k_e + \alpha - 1)}.$$

So, multiplying everything together, we get

$$(3.8) \quad \mathbb{E} \left[\mathbf{1}_{\{\widehat{\mathbb{G}}_n^{s,\text{ord}} = G\}} X(V_1)X(V_2) \cdots X(V_s) \mid \widehat{\mathbb{T}}_{s,n}^{s,\text{ord}} = T \right] = \frac{\Gamma(n + s - 1/\alpha)}{\Gamma(n + s + (s - 1)/\alpha)} \cdot \left(\prod_e \prod_{E(T)} \prod_{i=1}^{a_e} \frac{w_{k_{e,i}+1}}{(k_{e,i}+1)!} \right) \cdot \prod_v \frac{\Gamma(\deg_T(v) + k_v - 1 - \alpha)}{(\deg_T(v) + k_v - 1)!} \frac{(\deg_T(v) - 1)!}{\Gamma(\deg_T(v) - 1 - \alpha)}.$$

Now, if we fix an ordered multigraph $G \in \mathbb{M}_{s,n}^{\text{ord}}$, from (3.6) and (3.7) we get

$$\mathbb{P}(\mathbb{G}_n^{s,\text{ord}} = G) \propto \prod_v \frac{w_{\deg_T(v)-1} \Gamma(\deg_T(v) + k_v - 1 - \alpha)}{(\deg_T(v) + k_v - 1)! \Gamma(\deg_T(v) - 1 - \alpha)} \cdot \left(\prod_e \prod_{E(T)} \prod_{i=1}^{a_e} \frac{w_{k_{e,i}+1}}{(k_{e,i}+1)!} \right).$$

Observe finally that every *new* internal vertex in G corresponds to some $e \in E(T)$ and some $1 \leq i \leq a_e$, and has degree $k_{e,i} + 2$. For a vertex $v \in I(T)$, its degree in G is $\deg_G(v) = \deg_T(v) + k_v$. Moreover,

$$w_{\deg_T(v)+k_v-1} = w_{\deg_T(v)-1} \cdot \frac{\Gamma(\deg_T(v) + k_v - 1 - \alpha)}{\Gamma(\deg_T(v) - 1 - \alpha)}.$$

Putting everything together, we indeed get (3.5).

We have now assembled all of the ingredients needed for the proof of Theorem 1.3.

Proof of Theorem 1.3. — Take a multigraph $G \in \mathbb{M}_{s,n}$ with internal vertices $I(G)$, edge multiset $E(G)$ and a number $\text{sl}(G)$ of self-loops. From Lemma 3.1, the number of corresponding ordered multigraphs is

$$\frac{\prod_v (\deg(v) - 1)!}{|\text{Sym}(G)| 2^{\text{sl}(G)} \prod_e \text{mult}(e)!}.$$

Combining this with (3.5), we get that for any multigraph $G \in \mathbb{M}_{s,n}$,

$$\mathbb{P}(\mathbf{G}_n^s = G) \propto \frac{\prod_v \frac{w_{\deg(v)-1}}{I(G)}}{|\text{Sym}(G)| 2^{\text{sl}(G)} \prod_{e \in \text{supp}(E(G))} \text{mult}(e)!}$$

as claimed.

3.4. The distribution of $(\mathbf{G}_n^s, n > 0)$ as a process

We now turn to the proof of Theorem 1.5, which says that the sequence $(\mathbf{G}_n^s, n > 0)$ evolves according to the multigraph version of Marchal’s algorithm given in Section 1.2.1. Again, it is easier to work with multigraphs having cyclic orderings of the half-edges around each vertex in order to break symmetries. Recall from Section 3.3 that $\mathbf{G}_n^{s,\text{ord}}$ denotes a version of \mathbf{G}_n^s with cyclic orderings around the vertices built from the trees $\mathbb{T}_{s,n}^{s,\text{ord}}$. We observe that there is a natural coupling of $\mathbb{T}_{s,n}^{s,\text{ord}}$ for $n > 0$ obtained by repeatedly sampling new uniform leaves. Let $(\mathbf{G}_n^s, n > 0)$ and $(\mathbf{G}_n^{s,\text{ord}}, n > 0)$ be built from this coupled version of the base trees. Note that, for all n , $\mathbf{G}_n^{s,\text{ord}}$ is obtained from $\mathbf{G}_{n+1}^{s,\text{ord}}$ by erasing the leaf labelled $n + 1$ together with the edge to which it is connected. Recall also from (3.5) that the distribution of $\mathbf{G}_n^{s,\text{ord}}$ is

$$\mathbb{P}(\mathbf{G}_n^{s,\text{ord}} = G) = c_{s,n} \cdot \prod_v \frac{w_{\deg_G(v)-1}}{(\deg_G(v) - 1)!}, \quad \forall G \in \mathbb{M}_{s,n}^{\text{ord}}$$

where $c_{s,n}$ is the normalizing constant. We need an ordered counterpart of Marchal’s algorithm for graphs with cyclic orderings around vertices. Starting from a graph $G \in \mathbb{M}_{s,n}^{\text{ord}}$ and assigning to its edges and vertices the weights of Marchal’s algorithm, we decide that (1) if a vertex is selected, we glue the new edge-leaf in a corner chosen uniformly around this vertex, while (2) if an edge is selected, we place the new edge-leaf on the right or on the left of the selected edge each with probability $1/2$.

We will prove Theorem 1.5 together with the following result.

PROPOSITION 3.7. — *The sequence $(\mathbf{G}_n^{s,\text{ord}}, n > 0)$ is Markovian, with transitions given by the ordered version of Marchal’s algorithm.*

Proof of Proposition 3.7 and Theorem 1.5. — The Markov property of $(\mathbf{G}_n^s, n > 0)$ and $(\mathbf{G}_n^{s,\text{ord}}, n > 0)$ is immediate since the backward transitions are deterministic. Now fix n and let $G^{\text{ord}} \in \mathbb{M}_{s,n}^{\text{ord}}$ and $H^{\text{ord}} \in \mathbb{M}_{s,n+1}^{\text{ord}}$ be such that G^{ord} is obtained from H^{ord} by erasing the leaf labelled $n + 1$ and the adjacent edge. Note that the internal vertices of our graphs are mutually distinguishable since the graphs are planted, with cyclic orderings around internal vertices. Then,

$$\begin{aligned} \mathbb{P}\left(\mathbf{G}_{n+1}^{s,\text{ord}} = H^{\text{ord}} \mid \mathbf{G}_n^{s,\text{ord}} = G^{\text{ord}}\right) &= \frac{\mathbb{P}\left(\mathbf{G}_{n+1}^{s,\text{ord}} = H^{\text{ord}}\right)}{\mathbb{P}\left(\mathbf{G}_n^{s,\text{ord}} = G^{\text{ord}}\right)} \\ &= \frac{c_{s,n+1}}{c_{s,n}} \cdot \frac{\prod_v \frac{w_{\deg_{H^{\text{ord}}}(v)-1}}{(\deg_{H^{\text{ord}}}(v)-1)!}}{I(H^{\text{ord}})}}{\prod_v \frac{w_{\deg_{G^{\text{ord}}}(v)-1}}{(\deg_{G^{\text{ord}}}(v)-1)!}}{I(G^{\text{ord}})}}. \end{aligned}$$

Now there are two different cases, (a) and (b) below.

- (a) The leaf $n + 1$ of H^{ord} is attached to a vertex v of H^{ord} that has a degree greater or equal to 4. In this case, v corresponds to a vertex of G^{ord} , still denoted by v , and $I(H^{\text{ord}}) = I(G^{\text{ord}})$, $\deg_{G^{\text{ord}}}(v) = \deg_{H^{\text{ord}}}(v) - 1$ and the degree of any other internal vertex is identical in G^{ord} and H^{ord} . Since

$$w_{\deg_{H^{\text{ord}}}(v)-1} = w_{\deg_{G^{\text{ord}}}(v)} = (\deg_{G^{\text{ord}}}(v) - 1 - \alpha) w_{\deg_{G^{\text{ord}}}(v)-1},$$

together with the above expression for $\mathbb{P}\left(\mathbf{G}_{n+1}^{s,\text{ord}} = H^{\text{ord}} \mid \mathbf{G}_n^{s,\text{ord}} = G^{\text{ord}}\right)$ this implies that

$$(3.9) \quad \mathbb{P}\left(\mathbf{G}_{n+1}^{s,\text{ord}} = H^{\text{ord}} \mid \mathbf{G}_n^{s,\text{ord}} = G^{\text{ord}}\right) = \frac{c_{s,n+1}}{c_{s,n}} \cdot \frac{\deg_{G^{\text{ord}}}(v) - 1 - \alpha}{\deg_{G^{\text{ord}}}(v)}.$$

- (b) The vertex v has degree 3 in H^{ord} and is erased when erasing the leaf $n + 1$ and the adjacent edge. In this case $I(H^{\text{ord}}) = I(G^{\text{ord}}) \cup \{v\}$ and

$$(3.10) \quad \mathbb{P}\left(\mathbf{G}_{n+1}^{s,\text{ord}} = H^{\text{ord}} \mid \mathbf{G}_n^{s,\text{ord}} = G^{\text{ord}}\right) = \frac{c_{s,n+1}}{c_{s,n}} \cdot \frac{\alpha - 1}{2}.$$

Proposition 3.7 follows immediately.

This argument also gives us the dynamics of the process $(\mathbf{G}_n^s, n > 0)$. Recall the function $\psi : \mathbb{M}_{s,n}^{\text{ord}} \rightarrow \mathbb{M}_{s,n}$ that forgets the cyclic ordering around vertices. By definition, we have $(\mathbf{G}_n^s, n > 0) = (\psi(\mathbf{G}_n^{s,\text{ord}}), n > 0)$.

For any $H \in \mathbb{M}_{s,n+1}$ such that G is obtained from H by erasing the leaf labelled $n + 1$ we have

$$(3.11) \quad \begin{aligned} \mathbb{P}\left(\mathbf{G}_{n+1}^s = H \mid \mathbf{G}_n^s = G\right) \\ = \sum_{G^{\text{ord}} \in \psi^{-1}(G)} \mathbb{P}\left(\mathbf{G}_{n+1}^s = H \mid \mathbf{G}_n^{s,\text{ord}} = G^{\text{ord}}\right) \mathbb{P}\left(\mathbf{G}_n^{s,\text{ord}} = G^{\text{ord}} \mid \mathbf{G}_n^s = G\right). \end{aligned}$$

Now observe from the transition kernel of $(\mathbf{G}_n^{s,\text{ord}})_{n>0}$ that the value of

$$\mathbb{P}\left(\psi\left(\mathbf{G}_{n+1}^{s,\text{ord}}\right) = H \mid \mathbf{G}_n^{s,\text{ord}} = G^{\text{ord}}\right)$$

is the same for any choice of $G^{\text{ord}} \in \psi^{-1}(G)$, so that we get

$$\mathbb{P}\left(\mathbf{G}_{n+1}^s = H \mid \mathbf{G}_n^s = G\right) = \mathbb{P}\left(\mathbf{G}_{n+1}^s = H \mid \mathbf{G}_n^{s,\text{ord}} = G^{\text{ord}}\right)$$

for any $G^{\text{ord}} \in \psi^{-1}(G)$. Note that taking a particular $G^{\text{ord}} \in \psi^{-1}(G)$ induces an ordering on the edges and internal vertices of G and makes them distinguishable. Considering the two cases covered by (3.9) and (3.10), we see that the leaf labelled

$n + 1$ is attached to any vertex v already present in G^{ord} with probability proportional to $\deg_{G^{\text{ord}}}(v) - 1 - \alpha$ and to a newly created vertex created along some edge of G^{ord} with probability proportional to $\alpha - 1$. This corresponds to the dynamics described in Theorem 1.5.

Remark 3.8. — Note that in the above proof, we do not explicitly find the quantity $(G_{n+1}^s = H \mid G_n^s = G)$, as it would involve factors that coming from the symmetries that are broken by the addition of the leaf labelled $n + 1$. Instead we rely on being able to make sense of a step in Marchal’s algorithm for any graph that has labelled (or, say, distinguishable) edges and internal vertices, and on the fact that the way it is defined is invariant under re-labelling those edges or internal vertices.

3.5. The unrooted kernel G_{-1}^s

In this section, we fix $s > 2$. Our goal is to prove that the distribution of G_{-1}^s is that given in Theorem 1.3, and that the conditional probability of G_0^s given G_{-1}^s is given by a step in Marchal’s algorithm. We cannot proceed as before since the use of cyclic orderings around vertices is not sufficient to break all the symmetries in the unrooted graph G_{-1}^s . We instead label the internal vertices: let $G_0^{s,\text{lab}}$ denote a version of G_0^s with internal vertices labelled uniformly from 1 to $|V(G_0^s)|$.

For any connected multigraph G (labelled or not) we write

$$w(G) := \frac{\prod_v I(G) w_{\deg(v)-1}}{|I(G)|! 2^{\text{sl}(G)} \prod_{e \in \text{supp}(E(G))} \text{mult}(e)!},$$

with the usual notation. From Theorem 1.3 and (3.1), we know that the distribution of the labelled graph $G_0^{s,\text{lab}}$ is

$$(3.12) \quad \mathbb{P}(G_0^{s,\text{lab}} = G) = \tilde{c}_{s,0} \cdot w(G),$$

where $\tilde{c}_{s,0}$ is the normalising constant.

Let H^{lab} and G^{lab} be labelled versions of multigraphs in $\mathbb{M}_{s,0}$ and $\mathbb{M}_{s,-1}$ respectively that are *compatible* in the sense that removing the root and the adjacent edge (in the following, we will use the word *root-edge*) in H^{lab} gives a graph which, after an increasing mapping of the labelling to $\{1, \dots, |V(G^{\text{lab}})|\}$, is G^{lab} . We then distinguish 2 cases, precisely one of which occurs.

- (a) The root-edge in H^{lab} is attached to a vertex v of degree $\deg_{H^{\text{lab}}}(v) > 4$, in which case

$$w(H^{\text{lab}}) = \frac{w_{\deg_{H^{\text{lab}}}(v)-1}}{w_{\deg_{G^{\text{lab}}}(v)-1}} \cdot w(G^{\text{lab}}) = (\deg_{G^{\text{lab}}}(v) - 1 - \alpha) \cdot w(G^{\text{lab}}).$$

Note that, given G^{lab} and a vertex v of G^{lab} , there is a unique graph H^{lab} which has its root-edge attached to v and is compatible with G^{lab} .

- (b) The root-edge is attached to a vertex v of degree $\deg_{H^{\text{lab}}}(v) = 3$. Its deletion either “creates” an edge e of G^{lab} (possibly a self-loop, erasing then at the same time an edge of multiplicity 2 in H^{lab}) or increases by 1 the multiplicity

of an edge $e \in \text{supp}(H^{\text{lab}})$ (possibly a multiple self-loop, erasing, again, at the same time an edge of multiplicity 2 in H^{lab}). In all cases,

$$\begin{aligned} w(H^{\text{lab}}) &= \frac{w_{\text{deg}_{H^{\text{lab}}}(v)-1} \cdot \text{mult}(e)}{|I(G^{\text{lab}})| + 1} \cdot w(G^{\text{lab}}) \\ &= \frac{(\alpha - 1) \cdot \text{mult}(e)}{|I(G^{\text{lab}})| + 1} \cdot w(G^{\text{lab}}), \end{aligned}$$

where $\text{mult}(e)$ refers here to the multiplicity of e seen as an element of $\text{supp}(G^{\text{lab}})$. Note that given an edge e of G^{lab} , there are exactly $|I(G^{\text{lab}})| + 1$ graphs H^{lab} with the root-edge attached in the middle of (a copy of) e that are compatible with G^{lab} .

From this, (3.12) and the fact that the sum of the Marchal weights is $(s - 1)(\alpha + 1)$ for any graph in $\mathbb{M}_{s,-1}$ (see (1.8)), we obtain the distribution of $G_{-1}^{s,\text{lab}}$:

$$\begin{aligned} &\mathbb{P}(G_{-1}^{s,\text{lab}} = G^{\text{lab}}) \\ &= \sum_{\substack{H^{\text{lab}} \text{ compatible} \\ \text{with } G^{\text{lab}}}} \mathbb{P}(G_0^{s,\text{lab}} = H^{\text{lab}}) \\ &= \tilde{c}_{s,0} \sum_{\substack{H^{\text{lab}} \text{ compatible} \\ \text{with } G^{\text{lab}}}} w(H^{\text{lab}}) \\ &= \tilde{c}_{s,0} \cdot \left(\sum_v (\text{deg}_{G^{\text{lab}}}(v) - 1 - \alpha) + \sum_{e \in \text{supp}(E(G^{\text{lab}}))} \text{mult}(e)(\alpha - 1) \right) \cdot w(G^{\text{lab}}) \\ &= \tilde{c}_{s,-1} \cdot w(G^{\text{lab}}), \end{aligned}$$

where $\tilde{c}_{s,-1} = \tilde{c}_{s,0}(s - 1)(\alpha + 1)$. Together with (3.1), which holds for graphs of $\mathbb{M}_{s,-1}$, this implies that G_{-1}^s has the required distribution. Next, to get the conditional distribution of G_0^s given G_{-1}^s we write, for $H \in \mathbb{M}_{s,0}$ and $G \in \mathbb{M}_{s,-1}$,

$$\begin{aligned} &\mathbb{P}(G_0^s = H \mid G_{-1}^s = G) \\ &= \sum_{\substack{G^{\text{lab}} \text{ a labelled} \\ \text{version of } G}} \frac{\mathbb{P}(G_0^s = H, G_{-1}^{s,\text{lab}} = G^{\text{lab}})}{\mathbb{P}(G_{-1}^{s,\text{lab}} = G^{\text{lab}})} \mathbb{P}(G_{-1}^{s,\text{lab}} = G^{\text{lab}} \mid G_{-1}^s = G). \end{aligned}$$

From the remarks above, we see that when H is obtained from G by gluing the root-edge to a vertex v of G , we get

$$\frac{\mathbb{P}(G_0^s = H, G_{-1}^{s,\text{lab}} = G^{\text{lab}})}{\mathbb{P}(G_{-1}^{s,\text{lab}} = G^{\text{lab}})} = \frac{\tilde{c}_{s,0}}{\tilde{c}_{s,-1}} \cdot \frac{w(H)}{w(G)} = \frac{\tilde{c}_{s,0}}{\tilde{c}_{s,-1}} \cdot (\text{deg}_G(v) - 1 - \alpha),$$

for all labelled versions G^{lab} . If, on the other hand, H is obtained from G by gluing the root-edge to (a copy of) an edge $e \in \text{supp}(G)$,

$$\frac{\mathbb{P}(G_0^s = H, G_{-1}^{s,\text{lab}} = G^{\text{lab}})}{\mathbb{P}(G_{-1}^{s,\text{lab}} = G^{\text{lab}})} = (|I(G)| + 1) \cdot \frac{\tilde{c}_{s,0}}{\tilde{c}_{s,-1}} \cdot \frac{w(H)}{w(G)} = \frac{\tilde{c}_{s,0}}{\tilde{c}_{s,-1}} \cdot (\alpha - 1) \cdot \text{mult}(e).$$

Putting everything together, we see that we do indeed obtain the transition probabilities corresponding to a step of Marchal's algorithm.

3.6. The configuration model embedded in a limit component

The goal of this subsection is to prove Corollary 1.4 where we identify for each $n > 0$ (and $n = -1$ if $s > 2$) the distribution of G_n^s with that of a specific configuration model.

3.6.1. Two probability distributions

In [DLG02, Section 3.3] of Duquesne and Le Gall, it is shown that the rooted subtree obtained by sampling $n > 0$ leaves in the α -stable tree is distributed as a planted Galton–Watson tree conditioned to have n leaves, with critical offspring distribution η_α satisfying

$$\eta_\alpha(k) = \frac{w_k}{k!}, \quad k > 2, \quad \eta_\alpha(1) = 0, \quad \eta_\alpha(0) = \frac{1}{\alpha},$$

or, equivalently, with probability generating function $z + \alpha^{-1}(1 - z)^\alpha$, $z \in (0, 1]$, as already mentioned in Section 1.2.1. Note that $\eta_\alpha(k) \sim_k ck^{-1-\alpha}$ for some constant $c > 0$, by an elementary estimate. Now consider the random variable $D^{(\alpha)}$ with distribution introduced in (1.7), and note that it is indeed a probability distribution since

$$\begin{aligned} \sum_{k>2} \frac{w_k}{k!} &= \frac{(\alpha - 1)}{2} + \sum_{k>3} \frac{(k - 1 - \alpha) w_{k-1}}{k!} \\ &= \frac{(\alpha - 1)}{2} + \sum_{k>3} \frac{w_{k-1}}{(k - 1)!} - (1 + \alpha) \sum_{k>3} \frac{w_{k-1}}{k!}, \end{aligned}$$

which implies that

$$\sum_{k>2} \frac{w_{k-1}}{k!} + \frac{1}{\alpha} = \frac{(\alpha - 1)}{2(1 + \alpha)} + \frac{1}{\alpha} = \frac{\alpha^2 + \alpha + 2}{2(1 + \alpha)\alpha}.$$

It is straightforward to see that $\mathbb{E}[D^{(\alpha)}] = 2(1 + \alpha)\alpha/(\alpha^2 + \alpha + 2)$. Moreover, if we consider the biased version

$$\mathbb{P}(\widehat{D}^{(\alpha)} = k) := \frac{k\mathbb{P}(D^{(\alpha)} = k)}{\mathbb{E}[D^{(\alpha)}]}, \quad k > 1$$

we immediately get that $\widehat{D}^{(\alpha)} - 1$ has the same distribution as η_α . This in particular implies that $D^{(\alpha)}$ satisfies the conditions (1.1).

3.6.2. The stable configuration model

Fix $n > 0$ if $s \in \{0, 1\}$ or $n > -1$ if $s > 2$. Then fix $m > n + 1$ and consider the multigraph \mathbf{C}_m sampled from the configuration model with i.i.d. degrees $D_0^{(\alpha)}, \dots, D_{m-1}^{(\alpha)}$ distributed as $D^{(\alpha)}$. From [Hof17, Proposition 7.7], we have that

$$\mathbb{P}(\mathbf{C}_m = G \mid D_i^{(\alpha)} = d_i, 0 \leq i \leq m-1) = \frac{1}{\left(\sum_{0 \leq i \leq m-1} d_i - 1\right)!!} \cdot \frac{\prod_{0 \leq i \leq m-1} d_i!}{2^{\text{sl}(G)} \prod_{e \in \text{supp}(E)} \text{mult}(e)!},$$

for every multigraph $G = (V, E)$ with m labelled vertices of respective degrees d_0, \dots, d_{m-1} such that $\sum_{0 \leq i \leq m-1} d_i$ is even. Hence, the distribution of \mathbf{C}_m is given for each such multigraph by

$$\mathbb{P}(\mathbf{C}_m = G) = \left(\frac{2(1+\alpha)\alpha}{\alpha^2 + \alpha + 2}\right)^m \cdot \frac{1}{\left(\sum_{0 \leq i \leq m-1} d_i - 1\right)!!} \cdot \frac{\prod_{0 \leq i \leq m-1} d_i!}{2^{\text{sl}(G)} \prod_{e \in \text{supp}(E)} \text{mult}(e)!} \cdot \frac{1}{\alpha^{\#\{i:d_i=1\}}} \cdot \prod_{i=0}^{m-1} \frac{w_{d_i-1}}{d_i!}.$$

On the event $\{\mathbf{C}_m \text{ is connected, } s(\mathbf{C}_m) = s\}$, the sum $\sum_{0 \leq i \leq m-1} d_i$ depends only on m and s . Conditioning additionally on $\{D_0^{(\alpha)} = \dots = D_n^{(\alpha)} = 1, \text{ and } D_i^{(\alpha)} \neq 1 \text{ for all } n+1 \leq i \leq m-1\}$, we have $\#\{i : D_i^{(\alpha)} = 1\} = n+1$. Forgetting the labels $n+1, \dots, m-1$ (which we now know belong to internal vertices), we obtain a factor of $(m-n-1)!/|\text{Sym}(G)|$. (See (3.1) for further discussion.) Together with Theorem 1.3 this implies Corollary 1.4.

4. Two simple constructions of the graph \mathcal{G}^s

Let $s > 1$. We start by proving in Section 4.1 that the (measured) \mathbb{R} -graph \mathcal{G}^s is the almost sure limit of rescaled versions of its combinatorial shapes $\mathbf{G}_n^s, n > 0$ equipped with the uniform distribution on their leaves. Together with the algorithmic construction of the graphs $\mathbf{G}_n^s, n > 0$ (Theorem 1.5) and some urn model asymptotics recalled in the Appendix, this will lead us to the two alternative constructions of \mathcal{G}^s presented in the introduction: in Section 4.2, we prove Theorem 1.6 and Proposition 1.7, giving the distribution of \mathcal{G}^s as a collection of rescaled α -stable trees appropriately glued onto the kernel \mathbf{K}^s ; Section 4.3 is then devoted to the line-breaking construction of Theorem 1.8.

4.1. The graph as the scaling limit of its marginals

Recall from Section 2.2 that \mathcal{G}^s is constructed from \mathcal{T}^s , a biased version of the α -stable tree, by gluing appropriately s marked leaves onto randomly selected branch-points. Recall also that X^s denotes the s -biased stable excursion from which \mathcal{T}^s is

built, that $\pi^s(V_1^s), \dots, \pi^s(V_s^s)$ are the s leaves to be glued and that $\pi^s(U_i), i > 1$ are i.i.d. uniform leaves. For all $n > 1$, $\mathcal{T}_{s,n}^s$ then denotes the subtree of \mathcal{T}^s spanned by the root and the leaves $\pi^s(V_1^s), \dots, \pi^s(V_s^s), \pi^s(U_1), \dots, \pi^s(U_n)$ and we let $\mathbb{T}_{s,n}^s$ be its combinatorial shape. Finally, recall that \mathcal{G}_n^s is the connected subgraph of \mathcal{G}^s consisting of the union of the kernel and the paths from the leaves $\pi^s(U_1), \dots, \pi^s(U_n)$ to the root, for all $n > 0$, and that the finite graph \mathbb{G}_n^s denotes the combinatorial shape of \mathcal{G}_n^s . We will use the following observation: for all n larger than some finite random variable, \mathcal{G}_n^s is obtained from $\mathcal{T}_{s,n}^s$ by an appropriate gluing of the s leaves $\pi^s(V_1^s), \dots, \pi^s(V_s^s)$ to some of its *internal* vertices (for small n , it may be that we instead glue some leaves along edges of $\mathcal{T}_{s,n}^s$).

The goal of this section is to prove Proposition 1.2: when the graph \mathbb{G}_n^s is equipped with the uniform distribution on its leaves,

$$(4.1) \quad \frac{\mathbb{G}_n^s}{n^{1-1/\alpha}} \xrightarrow[n]{\text{a.s.}} \alpha \cdot \mathcal{G}^s$$

for the Gromov–Hausdorff–Prokhorov topology. With this aim in mind, we first observe that \mathcal{G}^s can be recovered from the completion of the union of its continuous marginals.

LEMMA 4.1. — *With probability one,*

$$\mathcal{G}^s = \overline{\bigcup_{n>0} \mathcal{G}_n^s}$$

and consequently \mathcal{G}^s is the a.s. limit of \mathcal{G}_n^s in $(\mathcal{C}, d_{\text{GHP}})$, when the graph \mathbb{G}_n^s is endowed with the uniform distribution on its leaves for $n > 1$.

Indeed, it is well-known that the α -stable tree is almost surely the completion of the union of its continuous marginals, which entails a similar result for the biased version \mathcal{T}^s and then for the graph \mathcal{G}^s , using its construction from \mathcal{T}^s . The measures can then be incorporated by using the strong law of large numbers.

Proof of Proposition 1.2. — We make use of the fact (2.6) that the α -stable tree is the almost sure scaling limit of its discrete marginals. We refer the reader to the book of Burago, Burago and Ivanov [BBI01] for background on the notions of a *correspondence* and its *distortion*, which are used here for the proof.

By Lemma 4.1, it suffices to prove that almost surely

$$d_{\text{GHP}} \left(\frac{\mathbb{G}_n^s}{n^{1-1/\alpha}}, \alpha \cdot \mathcal{G}_n^s \right) \xrightarrow[n]{} 0.$$

We observe first that

$$d_{\text{GHP}} \left(n^{1/\alpha-1} \mathbb{T}_{s,n}^s, \alpha \cdot \mathcal{T}_{s,n}^s \right) \xrightarrow[n]{} 0$$

almost surely. This is proved for $s = 0$ in [CH13, Section 2.4] and may be transferred to $s > 1$ by absolute continuity. The $s = 0$ case is proved in [CH13] by using a natural correspondence which we introduce here for general s and call \mathcal{R}_n^s . It is a correspondence between $n^{\frac{1}{\alpha}-1} \mathbb{T}_{s,n}^s$ and $\alpha \cdot \mathcal{T}_{s,n}^s$. The leaves with the same labels correspond to one another, and the internal vertices of $\mathbb{T}_{s,n}^s$ are put in correspondence with the branch-points of $\mathcal{T}_{s,n}^s$ in the obvious way. Finally, the edges of $\mathbb{T}_{s,n}^s$ (which have real-valued lengths and which we think of as line-segments) are put in correspondence

with the vertex or vertices of $T_{s,n}^s$ corresponding to their end-points. From [CH13] we obtain that the distortion $\text{dist}(\mathcal{R}_n^s)$ of the correspondence \mathcal{R}_n^s tends to 0 almost surely as $n \rightarrow \infty$. To deal with the gluing, we use the fact already observed above that for n sufficiently large, \mathcal{G}_n^s is obtained from $T_{s,n}^s$ by an appropriate gluing of the s leaves $\pi^s(V_1^s), \dots, \pi^s(V_s^s)$ to its internal vertices; similarly G_n^s is obtained by the gluing of the corresponding leaves of $T_{s,n}^s$ to the corresponding internal vertices of this tree. It then follows from [ABBGM17, Lemma 4.2] that

$$d_{\text{GHP}} \left(\frac{G_n^s}{n^{1-1/\alpha}}, \alpha \cdot \mathcal{G}_n^s \right) \leq \frac{(s+1)}{2} \text{dist}(\mathcal{R}_n^s)$$

and the claimed almost sure convergence follows easily.

4.2. Construction from randomly scaled stable trees glued to the kernel

We now turn to the proof of Theorem 1.6 which states that in (C, d_{GHP}) , we have the identity in distribution of the measured compact metric spaces

$$(4.2) \quad \mathcal{G}^s \stackrel{d}{=} \mathcal{G}(\mathbf{K}^s)$$

(with the notation used in Section 1.2.2). We will also prove Proposition 1.7 in this section.

Proof of Theorem 1.6. — Using (4.1), we just need to prove that

$$\frac{G_n^s}{n^{1-1/\alpha}} \xrightarrow[n]{d} \alpha \cdot \mathcal{G}(\mathbf{K}^s)$$

for the Gromov–Hausdorff–Prokhorov topology, when the graph G_n^s is equipped with the uniform distribution on its leaves. (We will prove the compactness of the object on the right-hand side below.) As discussed earlier, the graph G_n^s may be viewed as a collection of trees glued to the kernel \mathbf{K}^s . We will show that each of these tree-blocks converges after rescaling to its continuous counterpart used in the construction of $\mathcal{G}(\mathbf{K}^s)$. Our argument and notation are similar to those used in the proof of Proposition 2.2 concerning the stable tree.

We work conditionally on \mathbf{K}^s . Let m denote the number of edges of \mathbf{K}^s , which are arbitrarily labelled as e_1, \dots, e_m . Let v_1, \dots, v_{m-s} denote the internal vertices of \mathbf{K}^s , again in arbitrary order, and d_1, \dots, d_{m-s} their respective degrees. For each $n > 0$, we interpret these edges (resp. vertices) as edges of G_n^s with edge-lengths (resp. vertices). For each k , we write $T_n(e_k)$ for the subtree of G_n^s induced by the vertices closer to e_k than to any other edge $e_i, i \neq k$, including the two end-points of e_k . These end-vertices are interpreted as leaves of $T_n(e_k)$ and count as distinct leaves even if e_k is a loop. (These formulation may seem arbitrary but it is the one needed to initiate properly the urn model we will use below.) The number of leaves of $T_n(e_k)$ is then denoted by $M_n(e_k)$. Similarly we let $T_n(v_i)$ denote the subtree of G_n^s induced by the set of all vertices closer to v_i than to any edge $e_k, 1 \leq k \leq m$, including v_i which is considered as its root. Then $M_n(v_i)$ denotes its number of leaves (here v_i is *not* considered to be a leaf so that, in particular, $M_n(v_i) = 0$ if $T_n(v_i)$ has vertex-set $\{v_i\}$). Next, for each $1 \leq i \leq m-s$, let $T_n(v_i, j), j > 1$ denote the

connected components of $T_n(v_i) \setminus \{v_i\}$. We think of these subtrees as planted (and we again call the root of each v_i), so that if we identify their roots we recover $T_n(v_i)$. The number of such subtrees is finite (possibly zero) for each n but tends to infinity as $n \rightarrow \infty$. We label them $T_n(v_i, 1), T_n(v_i, 2), \dots$ in order of appearance, with the convention that $T_n(v_i, j)$ is the empty set if there are strictly fewer than j subtrees at step n . Let $M_n(v_i, j)$ be the number of leaves of $T_n(v_i, j), j > 1$.

- *Scaling limits of the numbers of leaves.* It is easy to see using the algorithmic construction of the sequence $(\mathbb{G}_n^s, n > 0)$ from Theorem 1.5 that the process

$$\left(\alpha M_n(e_1) - \alpha - 1, \dots, \alpha M_n(e_m) - \alpha - 1, \right. \\ \left. \alpha M_n(v_1) + d_1 - 1 - \alpha, \dots, \alpha M_n(v_{m-s}) + d_{m-s} - 1 - \alpha \right)_{n > 0}$$

evolves according to Pólya’s urn (see Theorem 5.5) with $2m - s$ colours of initial weights

$$(\alpha - 1, \dots, \alpha - 1, d_1 - 1 - \alpha, \dots, d_{m-s} - 1 - \alpha)$$

respectively, and weight parameter α . Hence, there exists a random variable (M_1, \dots, M_{2m-s}) with the Dirichlet distribution of parameters specified at (1.9) such that

$$\left(\frac{M_n(e_1)}{n}, \dots, \frac{M_n(e_m)}{n}, \frac{M_n(v_1)}{n}, \dots, \frac{M_n(v_{m-s})}{n} \right) \xrightarrow[n]{\text{a.s.}} (M_1, \dots, M_{2m-s}).$$

Next we observe that for all i the jumps of $((M_n(v_i, j))_{j > 1}, n > 0)$ follow the same dynamics as a Chinese restaurant process with parameters $1/\alpha$ and $(d_i - 1 - \alpha)/\alpha$, independently of everything else. Since the total number of jumps at step n is $M_n(v_i)$, Theorem 5.6 yields

$$\left(\frac{M_n(v_i, j)}{M_n(v_i)}, j > 1 \right) \xrightarrow[n]{\text{a.s.}} (\Delta_{i,j}, j > 1),$$

where $(M_n(v_i, j), j > 1)$ denotes the decreasing reordering of $(M_n(v_i, j), j > 1)$ and the limit $(\Delta_{i,j}, j > 1)$ follows a Poisson–Dirichlet $\text{PD}(1/\alpha, (d_i - 1 - \alpha)/\alpha)$ distribution, independent of the random variable (M_1, \dots, M_{2m-s}) . (The convergence holds in ℓ^1 equipped with its usual metric.)

- *Scaling limits of the trees $T_n(e_k), T_n(v_i, j)$.* Given the processes $(M_n(e_k), n > 0), (M_n(v_i, j), n > 0)$, for all k, i, j , the jump evolutions of the trees $T_n(e_k), T_n(v_i, j), n > 0$ are independent and all follow Marchal’s algorithm. Then writing $e_k = \{x_k, y_k\}$ for $1 \leq k \leq m$, we know by (2.6) that there exist *rescaled* (measured) α -stable trees $\mathcal{T}_k, \mathcal{T}_{i,j}, k, i, j$ such that, given (M_1, \dots, M_{2m-s}) and $(\Delta_{i,j}, j > 1)$, the trees are independent, \mathcal{T}_k has total mass M_k , $\mathcal{T}_{i,j}$ total mass $M_{i+m} \cdot \Delta_{i,j}$ and, furthermore,

(a) for all k ,

$$\left(\frac{T_n(e_k)}{n^{1-1/\alpha}}, x_k, y_k \right) = \left(\left(\frac{M_n(e_k)}{n} \right)^{1-1/\alpha} \cdot \frac{T_n(e_k)}{M_n(e_k)^{1-1/\alpha}}, x_k, y_k \right) \xrightarrow[n]{\text{a.s.}} (\alpha \cdot \mathcal{T}_k, \rho_k, L_k)$$

for the 2-pointed Gromov–Hausdorff–Prokhorov topology, the tree $T_n(e_k)$ being implicitly endowed with the measure that assigns weight $1/n$ to each of its leaves (here, ρ_k denotes the root of \mathcal{T}_k and L_k a uniform leaf);

(b) for all i, j ,

$$\left(\frac{T_n(v_i, j)}{n^{1-1/\alpha}}, v_i\right) = \left(\left(\frac{M_n(v_i, j)}{n}\right)^{1-1/\alpha} \cdot \frac{T_n(v_i, j)}{M_n(v_i, j)^{1-1/\alpha}}, v_i\right) \xrightarrow[n]{\text{a.s.}} (\alpha \cdot \mathcal{T}_{i,j}, \rho_{i,j})$$

for the pointed Gromov–Hausdorff–Prokhorov topology, where again $T_n(v_i, j)$ is endowed with the measure that assigns weight $1/n$ to each of its leaves, and $\rho_{i,j}$ is the root of $\mathcal{T}_{i,j}$.

• *Scaling limits of the trees $T_n(v_i)$, and the compactness of the limit.* Fix $i > 1$ and recall that $T_n(v_i)$ is obtained by identifying the roots of the trees $T_n(v_i, j), j > 1$. We now show that $n^{-(1-1/\alpha)}T_n(v_i)$ converges in probability for the pointed GHP-topology to the measured \mathbb{R} -tree $\mathcal{T}_{(i)}$ obtained by identifying the roots of the trees $\alpha \cdot \mathcal{T}_{i,j}$.

Let us first show that $\mathcal{T}_{(i)}$ is compact and is the almost sure GHP-limit as $j_0 \rightarrow \infty$ of the \mathbb{R} -tree $\mathcal{T}_{(i)}^{j_0}$ obtained by gluing the first j_0 trees $\mathcal{T}_{i,j}, j \leq j_0$ together at their roots. (For different values of j_0 we think of the underlying spaces as being nested and all contained within $\mathcal{T}_{(i)}$.) For a rooted \mathbb{R} -tree \mathbb{T} , we write $\text{ht}(\mathbb{T})$ for its height. Let \mathcal{T} denote a standard α -stable tree (of total mass 1). Then by the scaling property of the stable tree we have

$$\begin{aligned} \mathbb{E} \left[\left(\sup_{j > j_0} \text{ht}(\mathcal{T}_{i,j}) \right)^{\alpha/(\alpha-1)} \right] &\leq \sum_{j > j_0} \mathbb{E} \left[\text{ht}(\mathcal{T}_{i,j})^{\alpha/(\alpha-1)} \right] \\ &= \mathbb{E} \left[\text{ht}(\mathcal{T})^{\alpha/(\alpha-1)} \right] \mathbb{E} \left[M_{i+m} \right] \sum_{j > j_0} \mathbb{E} \left[\Delta_{i,j} \right]. \end{aligned}$$

Since $\text{ht}(\mathcal{T})$ has finite exponential moments (see, for example, [Kor17, equation (2)] for a convenient statement) the right-hand side is finite, and clearly tends to 0 as $j_0 \rightarrow \infty$. Hence the decreasing sequence $\sup_{j > j_0} \text{ht}(\mathcal{T}_{i,j})$ converges a.s. to 0 as $j_0 \rightarrow \infty$. This implies in particular that $\mathcal{T}_{(i)}$ is a.s. compact. Then, note that

$$d_{\text{GHP}}(\mathcal{T}_{(i)}, \mathcal{T}_{(i)}^{j_0}) \leq \max \left(\sup_{j > j_0} \text{ht}(\mathcal{T}_{i,j}), M_{i+m} \cdot \sum_{j > j_0} \Delta_{i,j} \right)$$

since $M_{i+m} \cdot \sum_{j > j_0} \Delta_{i,j}$ is the total mass of $\mathcal{T}_{(i)} \setminus \mathcal{T}_{(i)}^{j_0}$. This total mass also converges to 0. Hence, $\mathcal{T}_{(i)}^{j_0} \rightarrow \mathcal{T}_{(i)}$ almost surely as $j_0 \rightarrow \infty$ with respect to the GHP-topology.

Next, note that for $j_0 \in \mathbb{N}$,

$$\begin{aligned} d_{\text{GHP}} \left(\frac{T_n(v_i)}{n^{1-1/\alpha}}, \alpha \cdot \mathcal{T}_{(i)} \right) &\leq \sum_{j=1}^{j_0} d_{\text{GHP}} \left(\frac{T_n(v_i, j)}{n^{1-1/\alpha}}, \alpha \cdot \mathcal{T}_{i,j} \right) + \alpha \cdot d_{\text{GHP}} \left(\mathcal{T}_{(i)}^{j_0}, \mathcal{T}_{(i)} \right) \\ &\quad + \sup_{j > j_0} \text{ht} \left(\frac{T_n(v_i, j)}{n^{1-1/\alpha}} \right) + \sum_{j > j_0} \frac{M_n(v_i, j)}{n}. \end{aligned}$$

We already know that the first term on the right-hand side converges a.s. to 0 as $n \rightarrow \infty$ (for j_0 fixed) and that the second term converges a.s. to 0 as $j_0 \rightarrow \infty$.

Moreover, since $M_n(v_i) \ll n$, by dominated convergence we have

$$\mathbb{E} \left[\sum_{j > j_0} \frac{M_n(v_i, j)}{n} \right] = \mathbb{E} \left[\frac{M_n(v_i)}{n} - \sum_{j \ll j_0} \frac{M_n(v_i, j)}{n} \right] \xrightarrow{n} \mathbb{E} \left[M_{i+m} \left(1 - \sum_{j \ll j_0} \Delta_{i,j} \right) \right]$$

and then

$$\lim_{j_0} \lim_n \mathbb{E} \left[\sum_{j > j_0} \frac{M_n(v_i, j)}{n} \right] = 0.$$

Now note that

$$\begin{aligned} \limsup_n \sum_{j > j_0} \mathbb{E} \left[\frac{(\text{ht}(T_n(v_i, j))^{\alpha/(\alpha-1)})}{n} \right] \\ \ll \limsup_n \sum_{j > j_0} \mathbb{E} \left[\frac{(\text{ht}(T_{n,j}(v_i, j))^{\alpha/(\alpha-1)})}{M_n(v_i, j)} \cdot \frac{M_n(v_i, j)}{n} \right] \\ \ll C_\alpha \limsup_n \sum_{j > j_0} \mathbb{E} \left[\frac{M_n(v_i, j)}{n} \right], \end{aligned}$$

by [HM12, Lemma 33], where C_α is a finite constant depending only on α . So by Markov's inequality, we get

$$\lim_{j_0} \limsup_n \mathbb{P} \left(\sup_{j > j_0} \text{ht} \left(\frac{T_n(v_i, j)}{n^{1-1/\alpha}} \right) > \varepsilon \right) = 0$$

for all $\varepsilon > 0$. Putting everything together, we obtain the convergence in probability

$$d_{\text{GHP}} \left(\frac{T_n(v_i)}{n^{1-1/\alpha}}, \alpha \cdot \mathcal{T}_{(i)} \right) \xrightarrow{P} 0.$$

- *Final gluing.* Finally, the graph \mathbf{G}_n^s is obtained by gluing appropriately the $2m - s$ trees $T_n(e_k), T_n(v_i), 1 \ll k \ll m, 1 \ll i \ll m - s$ along the kernel \mathbf{K}^s . Using the results above, it therefore converges in probability, after multiplication of distances by $n^{-(1-1/\alpha)}$, to a version of $\alpha \cdot \mathcal{G}(\mathbf{K}^s)$.

From this we immediately obtain the joint distribution of the edge-lengths of the continuous kernel \mathcal{K}^s . Given that the number of edges of \mathcal{K}^s is m and keeping the notation of the proofs, we see that the lengths of the m edges are given by $M_i^{1-1/\alpha} \cdot \Lambda_i, 1 \ll i \ll m$ where the Λ_i are i.i.d. $\text{ML}(1 - 1/\alpha, 1 - 1/\alpha)$ random variables (this is the distribution of the distance between a uniform leaf and the root in a standard α -stable tree) and independent of (M_1, \dots, M_{2m-s}) . We may combine Remark 5.8 and Lemma 5.1 to check that the distribution of this m -tuple of random variables coincides with the one of Proposition 1.7 when $n = 0$. More generally, we could deduce from (4.2) the joint distribution of the edge-lengths of the continuous marginals $\mathcal{G}_n^s, n > 0$. However, it is simpler to prove this directly using urn arguments similar to those above.

Proof Proposition 1.7. — Fix $n_0 > 0$. We work conditionally on $\mathbf{G}_{n_0}^s = (V, E)$. For each edge $e \in E$ and each $n > n_0$, let $L_n(e)$ denote the length of e in \mathbf{G}_n^s and let $L_n^{\text{tot}} := \sum_{e \in E} L_n(e)$. From the algorithmic construction of $(\mathbf{G}_n^s, n > n_0)$ we get that

(a) the process

$$(L_n^{\text{tot}}, n > n_0)$$

is a triangular urn scheme as defined in Theorem 5.7 with initial weights

$$a = |E|, \quad b = \frac{(n_0 + s)\alpha + s - 1}{\alpha - 1} - |E|$$

(b is the initial total weight of the vertices of $\mathbf{G}_{n_0}^s$, divided by $\alpha - 1$) and additional weight parameters $\gamma = 1$ and $\beta = \alpha/(\alpha - 1)$;

(b) the jumps of the process $((L_n(e), e \in E), n > n_0)$ evolve according to Pólya's urn with initial weights $a_i = 1, 1 \leq i \leq |E|$, and additional weight parameter $\beta = 1$, independently of L_n^{tot} .

Theorem 5.7 and Theorem 5.5 therefore imply that $(L_n(e)/n^{1-1/\alpha}, e \in E)$ converges almost surely to a random vector with distribution (1.11). The conclusion then follows from the convergence (4.1).

4.3. The line-breaking construction

The proof of Theorem 1.8 for $s > 1$ is inspired by the approach used in [GH15] to obtain a line-breaking construction of the stable trees. As we have already mentioned, we rely again on the algorithmic construction of the sequence $(\mathbf{G}_n^s, n > 0)$. The notation below coincides with that of Section 1.2.3. Moreover, for each n , we let \mathbf{H}_n^s denote the combinatorial shape of \mathcal{H}_n^s . The metric space \mathcal{H}_n^s is then interpreted as a finite graph (the graph \mathbf{H}_n^s) with edge-lengths. We let L_n denote this sequence of edge-lengths, ordered arbitrarily, and let W_n denote the sequence of weights at internal vertices of \mathcal{H}_n^s (i.e. the weights attributed by the measure η_n to each of these vertices), also ordered arbitrarily. We start with a preliminary lemma.

LEMMA 4.2. — *Given $\mathbf{H}_k^s, 0 \leq k \leq n$, and in particular that \mathbf{H}_n^s has m edges and $m - (n + s)$ internal vertices with degrees $d_1, \dots, d_{m-(n+s)}$, we have*

$$(L_n, W_n) \stackrel{(d)}{=} \text{ML} \left(1 - \frac{1}{\alpha}, \frac{(n + s)\alpha + (s - 1)}{\alpha} \right) \cdot \text{Dir} \left(\underbrace{1, \dots, 1}_m, \frac{d_1 - 1 - \alpha}{\alpha - 1}, \dots, \frac{d_{m-(n+s)} - 1 - \alpha}{\alpha - 1} \right),$$

the random variables on the right-hand side being independent. In particular,

$$L_n \stackrel{(d)}{=} \text{ML} \left(1 - \frac{1}{\alpha}, \frac{(n + s)\alpha + (s - 1)}{\alpha} \right) \cdot \text{Beta} \left(m, \frac{(n + s)\alpha + s - 1}{\alpha - 1} - m \right) \cdot \text{Dir}(1, \dots, 1).$$

Proof. — For $n = 0$, the first identity in distribution holds by definition of $(\mathcal{H}_0^s, \eta_0)$ in the line-breaking construction. The rest of the proof proceeds by induction on n , and is based essentially on manipulations of Dirichlet distributions. The steps are exactly the same as those of [GH15, Proposition 3.2], to which we refer the interested

reader. The only slight change to highlight is that here the degrees $d_1, \dots, d_{m-(n+s)}$ of the internal vertices of a graph in $\mathbb{M}_{s,n}$ with m edges necessarily satisfy

$$\sum_{i=1}^{m-(n+s)} \frac{d_i - 1 - \alpha}{\alpha - 1} = \frac{(n + s)\alpha + s - 1}{\alpha - 1} - m,$$

as already observed in (1.8). This fact is also used, together with Lemma 5.1, to deduce the distribution of L_n from that of the pair (L_n, W_n) .

Proof of Theorem 1.8. — Note that the metric spaces $\mathcal{H}_n^s, n > 0$ have implicit leaf-labels, given by their order of appearance in the construction. The metric spaces $\mathcal{G}_n^s, n > 0$ are also leaf-labelled by construction. Both models are sampling consistent: the metric space indexed by n is obtained from the metric space indexed by $n + 1$ by removing the leaf labelled $n + 1$ and the adjacent line-segment (this description is a little informal but hopefully clear). Hence, we only need to prove that, for all $n > 0$,

$$(4.3) \quad \mathcal{H}_n^s \stackrel{d}{=} \mathcal{G}_n^s,$$

these compact metric spaces being implicitly endowed with the uniform distribution on their leaves, and still leaf-labelled. Together with the sampling consistency, this will imply that the processes of compact measured metric spaces $(\mathcal{H}_n^s, n > 0)$ and $(\mathcal{G}_n^s, n > 0)$ have the same distribution. Since \mathcal{G}^s is the almost sure GHP-scaling limit of \mathcal{G}_n^s (Lemma 4.1) and since (C, d_{GHP}) is complete, this will in turn entail that \mathcal{H}_n^s converges a.s. to a random compact measured metric space distributed as \mathcal{G}^s .

To prove (4.3), we first check that the sequence of finite graphs $(H_n^s, n > 0)$ evolves according to Marchal’s algorithm, as does $(G_n^s, n > 0)$. This relies on Lemmas 4.2 and 5.2 which imply that for each n , given $(H_k^s, 0 \leq k \leq n)$, the probability that the new segment in the line-breaking construction is attached to a given edge of H_n^s is proportional to 1, whereas the probability that it is attached to a given vertex with degree $d_i > 3$ is proportional to $(d_i - 1 - \alpha)/(\alpha - 1)$. Hence, the sequences of graphs $(H_n^s, n > 0)$ and $(G_n^s, n > 0)$ have the same distribution since $G_0^s = H_0^s = K^s$, including leaf-labels. Then we get (4.3) by simply noticing that the distribution of the edge-lengths of \mathcal{H}_n^s given $(H_k^s, 0 \leq k \leq n)$ is the same as that of the edge-lengths of \mathcal{G}_n^s given $(G_k^s, 0 \leq k \leq n)$, by Lemma 4.2 and Proposition 1.7.

5. Appendix: distributions, urn models and applications

We detail in this appendix some classical asymptotic results on urn models that are needed at various points in the paper. We first recall the definitions and some properties of several distributions that are related to these asymptotics.

5.1. Some probability distributions

For more detail on the material in this section, we refer to Pitman [Pit06].

5.1.1. Definitions and moments

Beta distributions. For parameters $a, b > 0$, the $\text{Beta}(a, b)$ distribution has density

$$\frac{\Gamma(a + b)}{\Gamma(a)\Gamma(b)} x^{a-1} (1 - x)^{b-1}$$

with respect to the Lebesgue measure on $(0, 1)$. If $B \sim \text{Beta}(a, b)$, then for $p, q \in \mathbb{R}_+$,

$$(5.1) \quad \mathbb{E} [B^p (1 - B)^q] = \frac{\Gamma(a + b)}{\Gamma(a + b + p + q)} \frac{\Gamma(a + p)}{\Gamma(a)} \frac{\Gamma(b + q)}{\Gamma(b)}.$$

Dirichlet distributions. For parameters $a_1, a_2, \dots, a_n > 0$, the Dirichlet distribution $\text{Dir}(a_1, a_2, \dots, a_n)$ has density

$$\frac{\Gamma\left(\sum_{i=1}^n a_i\right)}{\prod_{i=1}^n \Gamma(a_i)} \prod_{j=1}^n x_j^{a_j-1}$$

with respect to the Lebesgue measure on the simplex $\{(x_1, \dots, x_n) \in [0, 1]^n : \sum_{i=1}^n x_i = 1\}$. When $(X_1, \dots, X_n) \sim \text{Dir}(a_1, \dots, a_n)$, for $k_1, \dots, k_n \in \mathbb{R}_+$,

$$(5.2) \quad \mathbb{E} [X_1^{k_1} X_2^{k_2} \dots X_n^{k_n}] = \frac{\Gamma\left(\sum_{i=1}^n a_i\right)}{\Gamma\left(\sum_{i=1}^n (a_i + k_i)\right)} \cdot \prod_{i=1}^n \frac{\Gamma(a_i + k_i)}{\Gamma(a_i)}.$$

Generalized Mittag–Leffler distributions. Let $0 < \beta < 1, \theta > -\beta$. An \mathbb{R}_+ -valued random variable M has the generalized Mittag-Leffler distribution $\text{ML}(\beta, \theta)$ if, for all suitable test functions f , we have

$$(5.3) \quad \mathbb{E} [f(M)] = \frac{\mathbb{E} [\sigma_\beta^{-\theta} f(\sigma_\beta^{-\beta})]}{\mathbb{E} [\sigma_\beta^{-\theta}]},$$

where σ_β is a stable random variable with Laplace transform $\mathbb{E}[e^{-\lambda\sigma_\beta}] = \exp(-\lambda^\beta), \lambda > 0$. For $p \in \mathbb{R}_+$,

$$\mathbb{E} [M^p] = \frac{\Gamma(\theta)\Gamma(\theta/\beta + p)}{\Gamma(\theta/\beta)\Gamma(\theta + p\beta)} = \frac{\Gamma(\theta + 1)\Gamma(\theta/\beta + p + 1)}{\Gamma(\theta/\beta + 1)\Gamma(\theta + p\beta + 1)}.$$

Poisson–Dirichlet distributions. Let $0 < \beta < 1, \theta > -\beta$ and for $i > 1$, let $B_i \sim \text{Beta}(1 - \beta, \theta + i\beta)$ independently. Then the decreasing sequence $(P_i)_{i>1} = (Q_i)_{i>1}$ where $Q_j = B_j \prod_{i=1}^{j-1} (1 - B_i)$ has the $\text{PD}(\beta, \theta)$ distribution. The almost sure limit $W := \Gamma(1 - \beta) \lim_i i(P_i)^\beta$ has the $\text{ML}(\beta, \theta)$ distribution.

5.1.2. Distributional properties

LEMMA 5.1. — *If $(X_1, \dots, X_n) \sim \text{Dir}(a_1, \dots, a_n)$ then for all $1 \subset m \subset n - 1$, (X_1, \dots, X_m) is distributed as the product of two independent random variables:*

$$\text{Beta}\left(\sum_{i=1}^m a_i, \sum_{i=m+1}^n a_i\right) \cdot \text{Dir}(a_1, \dots, a_m).$$

LEMMA 5.2. — Suppose that $(X_1, X_2, \dots, X_n) \sim \text{Dir}(a_1, a_2, \dots, a_n)$. Let I be the index of a size-biased pick from amongst the co-ordinates i.e. $\mathbb{P}(I = i | X_1, X_2, \dots, X_n) = X_i$, for $1 \leq i \leq n$. Then

$$\mathbb{P}(I = i) = \frac{a_i}{a_1 + a_2 + \dots + a_n}$$

for $1 \leq i \leq n$ and, conditionally on $I = i$,

$$(X_1, X_2, \dots, X_n) \sim \text{Dir}(a_1, \dots, a_{i-1}, a_i + 1, a_{i+1}, \dots, a_n).$$

LEMMA 5.3. — Let $0 < \beta < 1, \theta > -\beta$, and let $(P_i)_{i > 1}$ have distribution $\text{PD}(\beta, \theta)$. Let J be the index of a size-biased pick from this sequence, i.e. $\mathbb{P}(J = j | (P_i)_{i > 1}) = P_j$, for $j > 1$. We let $(P_i)_{i > 1}$ be the decreasing sequence $(1 - P_J)^{-1} \cdot (P_i)_{i > 1, i \neq J}$, reindexed by \mathbb{N} . Then

$$P_J \sim \text{Beta}(1 - \beta, \theta + \beta) \quad \text{and} \quad (P_i)_{i > 1} \sim \text{PD}(\beta, \theta + \beta),$$

and these two random variables are independent.

Let $\mathbb{N}^{n,=} := \{(i_1, \dots, i_n) \in \mathbb{N}^n \mid i_1, \dots, i_n \text{ are distinct}\}$.

LEMMA 5.4. — Let $(P_i)_{i > 1} \sim \text{PD}(\beta, \theta)$ with $0 < \beta < 1$ and $\theta > -\beta$. Then for all $k_1, k_2, \dots, k_n \in [1, \infty)$,

$$(5.4) \quad \mathbb{E} \left[\sum_{(i_1, \dots, i_n) \in \mathbb{N}^{n,=}} P_{i_1}^{k_1} \dots P_{i_n}^{k_n} \right] = \left(\prod_{i=1}^n \beta \frac{\Gamma(k_i - \beta)}{\Gamma(1 - \beta)} \right) \frac{\Gamma(\theta)}{\Gamma\left(\theta + \sum_{j=1}^n k_j\right)} \frac{\Gamma(\theta/\beta + n)}{\Gamma(\theta/\beta)}.$$

In particular, for $(P_i)_{i > 1} \sim \text{PD}(\alpha - 1, \alpha - 1)$ with $\alpha \in (1, 2)$, and $k_1, \dots, k_n \in \mathbb{N}$, we have

$$\begin{aligned} \mathbb{E} \left[\sum_{(i_1, \dots, i_n) \in \mathbb{N}^{n,=}} P_{i_1}^{k_1} \dots P_{i_n}^{k_n} \right] &= \left(\prod_{i=1}^n (\alpha - 1) \frac{\Gamma(k_i + 1 - \alpha)}{\Gamma(2 - \alpha)} \right) \frac{\Gamma(\alpha - 1) n!}{\Gamma\left(\alpha - 1 + \sum_{j=1}^n k_j\right)} \\ &= \left(\prod_{i=1}^n w_{k_i+1} \right) \frac{\Gamma(\alpha - 1) n!}{\Gamma\left(\alpha - 1 + \sum_{j=1}^n k_j\right)}, \end{aligned}$$

where the weights w_1, w_2, \dots are defined in (1.6).

Proof. — We proceed by induction on n . For $n = 0$ we use the convention that the left-hand side of (5.4) is 1 and so the identity is true. Let $n > 1$ and suppose that the identity is true for $n - 1$. Then letting J be such that $\mathbb{P}(J = j | (P_i)_{i > 1}) = P_j$, we have

$$\begin{aligned} & \mathbb{E} \left[\sum_{(i_1, \dots, i_n) \in \mathbb{N}^{n,=}} P_{i_1}^{k_1} \dots P_{i_n}^{k_n} \right] \\ &= \mathbb{E} \left[P_J^{k_n-1} (1 - P_J)^{k_1 + \dots + k_{n-1}} \sum_{\substack{(i_1, \dots, i_{n-1}) \\ (\mathbb{N} \setminus \{J\})^{n-1,=} }} \left(\frac{P_{i_1}}{1 - P_J} \right)^{k_1} \dots \left(\frac{P_{i_{n-1}}}{1 - P_J} \right)^{k_{n-1}} \right] \\ &= \mathbb{E} \left[P_J^{k_n-1} (1 - P_J)^{k_1 + \dots + k_{n-1}} \right] \cdot \mathbb{E} \left[\sum_{(i_1, \dots, i_{n-1}) \in \mathbb{N}^{n-1,=}} (P_{i_1})^{k_1} \dots (P_{i_{n-1}})^{k_{n-1}} \right], \end{aligned}$$

by Lemma 5.3, where $(P_i)_{i>1} \sim \text{PD}(\beta, \beta + \theta)$ and $P_J \sim \text{Beta}(1 - \beta, \theta + \beta)$. Using (5.1), we have

$$\begin{aligned} \mathbb{E} \left[P_J^{k_n-1} (1 - P_J)^{k_1 + \dots + k_{n-1}} \right] &= \frac{\Gamma(1 + \theta) \Gamma(1 - \beta + k_n - 1) \Gamma\left(\theta + \beta + \sum_{i=1}^{n-1} k_i\right)}{\Gamma(\theta + \beta) \Gamma(1 - \beta) \Gamma\left(1 + \theta + \sum_{i=1}^n k_i - 1\right)} \\ &= \left(\beta \frac{\Gamma(k_n - \beta)}{\Gamma(1 - \beta)} \right) \frac{\Gamma(\theta) \Gamma\left(\theta + \beta + \sum_{i=1}^{n-1} k_i\right)}{\Gamma(\theta + \beta) \Gamma\left(\theta + \sum_{j=1}^n k_j\right)} \frac{\theta}{\beta}. \end{aligned}$$

The induction hypothesis applied to the sequence $(P_i)_{i>1}$, which has distribution $\text{PD}(\beta, \beta + \theta)$, then yields

$$\begin{aligned} & \mathbb{E} \left[\sum_{(i_1, \dots, i_{n-1}) \in \mathbb{N}^{n-1,=}} (P_{i_1})^{k_1} \dots (P_{i_{n-1}})^{k_{n-1}} \right] \\ &= \left(\prod_{i=1}^{n-1} \beta \frac{\Gamma(k_i - \beta)}{\Gamma(1 - \beta)} \right) \frac{\Gamma(\theta + \beta)}{\Gamma(\theta + \beta + \sum_{j=1}^{n-1} k_j)} \frac{\Gamma((\theta + \beta)/\beta + n - 1)}{\Gamma((\theta + \beta)/\beta)} \\ &= \left(\prod_{i=1}^{n-1} \beta \frac{\Gamma(k_i - \beta)}{\Gamma(1 - \beta)} \right) \frac{\Gamma(\theta + \beta)}{\Gamma(\theta + \beta + \sum_{j=1}^{n-1} k_j)} \frac{\Gamma(\theta/\beta + n)}{(\theta/\beta) \Gamma(\theta/\beta)}, \end{aligned}$$

and the result for n follows by multiplying together the last display and the preceding one.

5.2. Pólya’s urn, Chinese restaurant processes and triangular urn schemes

We gather here some classical results for urn models.

THEOREM 5.5 (Pólya’s urn). — *Consider an urn model with k colours, with initial weights $a_1, \dots, a_k > 0$ respectively. At each step, draw a colour with a*

probability proportional to its weight and add an extra weight $\beta > 0$ to this colour. Let $W_n^{(1)}, \dots, W_n^{(k)}$ denote the weights of the k colours after n steps. Then

$$\left(\frac{W_n^{(1)}}{\beta n}, \dots, \frac{W_n^{(k)}}{\beta n} \right) \xrightarrow[n]{a.s.} (W^{(1)}, \dots, W^{(k)})$$

where $(W^{(1)}, \dots, W^{(k)}) \sim \text{Dir}(a_1/\beta, \dots, a_k/\beta)$.

THEOREM 5.6 (The Chinese restaurant process). — Fix two parameters $\beta \in (0, 1)$ and $\theta > -\beta$. The process starts with one table occupied by a single customer and then evolves in a Markovian way as follows: given that at step n there are k occupied tables with n_i customers at table i , a new customer is placed at table i with probability $(n_i - \beta)/(n + \theta)$ and placed at a new table with probability $(\theta + k\beta)/(n + \theta)$. Let $N_i(n), i > 1$ be the number of customers at table i at step n and let $(N_i(n), i > 1)$ be the decreasing rearrangement of these terms. Let $K(n)$ denote the number of occupied tables at step n . Then

$$\left(\frac{N_i(n), i > 1}{n} \right) \xrightarrow[n]{a.s. \text{ in } \ell^1} (Y_i, i > 1) \quad \text{and} \quad \frac{K(n)}{n^\beta} \xrightarrow[n]{a.s.} W$$

where $(Y_i, i > 1) \sim \text{PD}(\beta, \theta)$ and $W \sim \text{ML}(\beta, \theta)$.

We refer to Pitman’s book [Pit06, Chapter 3] for more detail on these first two theorems.

THEOREM 5.7 (Triangular urn schemes). — Consider an urn model with two colours, red and black. Suppose that initially red has weight $a > 0$ and black has weight $b > 0$. At each step, we sample a colour with probability proportional to its current weight in the urn. Let $\beta > \gamma > 0$ and assume that when red is drawn then weight γ is added to red and weight $\beta - \gamma$ to black, whereas when black is drawn then weight β is added to black (and nothing to red). Let R_n denote the red weight after n steps. Then,

$$\frac{R_n}{n^{\gamma/\beta}} \xrightarrow[n]{a.s.} R$$

where the random variable R is such that $R \sim \gamma \cdot \text{Beta}\left(\frac{a}{\gamma}, \frac{b}{\gamma}\right) \cdot \text{ML}\left(\frac{\gamma}{\beta}, \frac{(a+b)}{\beta}\right)$ with the Beta and Mittag-Leer random variables being independent, and the convention that $\text{Beta}(a, 0) = 1$ a.s.

(Note that, since the total weight in the urn at step n is $a + b + n\beta$, we trivially deduce that the black weight $B_n = a + b + n\beta - R_n$ satisfies $B_n/n \rightarrow \beta$ almost surely.) There is a vast literature on triangular urn schemes, which give rise to profoundly different asymptotic behaviour. We refer to Janson for an overview, and in particular to [Jan06, Theorems 1.3 and 1.7] therein which together imply the convergence of Theorem 5.7 (but only in distribution). The almost sure convergence can, in fact, be deduced from Theorems 5.5 and 5.6. Observe first that we may reduce to the case $\gamma = 1$ by scaling. Now note that in the context of Theorem 5.7 when $\gamma = 1$ and $b = 0$, the red weight evolves as a plus the number of occupied tables in a Chinese restaurant process with parameters $(1/\beta, a/\beta)$, and so the almost sure limit has $\text{ML}(1/\beta, a/\beta)$ distribution. To treat the case $b > 0$, consider a refinement of

the urn model in which the red colour comes in two variants, light and dark. Start with a light red weight, b dark red weight and 0 black weight. Sample a colour with probability proportional to its current weight in the urn. When black is drawn, add weight β to black. When red is drawn in either of its variants, add weight 1 to that variant and weight $\beta - 1$ to black. Clearly, light red and dark red + black taken together follow the β -triangular urn scheme with respective initial weights a and b . Moreover, (1) the proportion of the total red weight which is light red converges almost surely to a random variable with Beta(a, b) distribution by Theorem 5.5, and (2) this evolution holds independently of that of the total proportion of red weight in the urn, which converges to a ML($1/\beta, (a + b)/\beta$)-distributed random variable, by the Chinese restaurant process as noted above.

We finally turn to the proof of Proposition 2.3. The notation is introduced in the vicinity of its statement in Section 2.1.3.

Proof of Proposition 2.3. — Imagine first not distinguishing between the different types of a colour, i.e. consider the evolution of

$$X_i^{a,b,c}(n) = X_i^a(n) + X_i^b(n) + X_i^c(n), \quad 1 \leq i \leq k.$$

Then $(X_1^{a,b,c}(n), \dots, X_k^{a,b,c}(n))_{n \geq 0}$ performs a classical Pólya's urn in which we always add weight α of the colour picked, and which is started from

$$(X_1^{a,b,c}(0), \dots, X_k^{a,b,c}(0)) = (\zeta_1, \dots, \zeta_k).$$

So we have

$$(5.5) \quad \frac{1}{\alpha n} (X_1^{a,b,c}(n), \dots, X_k^{a,b,c}(n)) \rightarrow (D_1, \dots, D_k)$$

almost surely as $n \rightarrow \infty$, where $(D_1, \dots, D_k) \sim \text{Dir}(\zeta_1/\alpha, \dots, \zeta_k/\alpha)$. Observe that $(X_i^{a,b,c}(n) - \zeta_i)/\alpha$ is the number of times by step n that colour i has been picked.

Now consider the triangular sub-urn which just watches the evolution of colour i , which doesn't distinguish between types a and b , but does distinguish type c . In particular, at each step we pick either type $\{a, b\}$ or type c with probability proportional to its current weight. If we pick $\{a, b\}$, we add 1 to its weight and $\alpha - 1$ to the weight of c ; if we pick c , we simply add weight α to c . Write $Y_i^{a,b}(n)$ and $Y_i^c(n)$ for the weights after n steps within this urn, with $Y_i^{a,b}(0) = \zeta_i$ and $Y_i^c(0) = 0$. Then by Theorem 5.7, we have

$$(5.6) \quad \frac{1}{n^{1/\alpha}} Y_i^{a,b}(n) \rightarrow R_i, \quad \frac{1}{\alpha n} Y_i^c(n) \rightarrow 1,$$

almost surely as $n \rightarrow \infty$, where $R_i \sim \text{ML}(1/\alpha, \zeta_i/\alpha)$. Moreover, the number of times we add to type a or b is $Y_i^{a,b}(n) - \zeta_i$.

Now consider the sub-urn which just watches the evolution of types a and b of colour i . So if we pick a , we add weight $\alpha - 1$ to a and $2 - \alpha$ to b , whereas if we pick b we just add weight 1 to b . Write $Z_i^a(n)$ and $Z_i^b(n)$ for the weights of types a and b after n steps of this sub-urn, with $Z_i^a(0) = \zeta_i$ and $Z_i^b(0) = 0$. Then again by Theorem 5.7 we have

$$(5.7) \quad \frac{1}{(\alpha - 1)n^{\alpha-1}} Z_i^a(n) \rightarrow \bar{R}_i, \quad \frac{1}{n} Z_i^b(n) \rightarrow 1$$

almost surely, where $\bar{R}_i \sim \text{ML}(\alpha - 1, \zeta_i)$. Finally, observe that the full urn process may be decomposed as follows:

$$\begin{aligned} X_i^a(n) &= Z_i^a \left(Y_i^{a,b} \left(\frac{X_i^{a,b,c}(n) - \zeta_i}{\alpha} \right) - \zeta_i \right) \\ X_i^b(n) &= Z_i^b \left(Y_i^{a,b} \left(\frac{X_i^{a,b,c}(n) - \zeta_i}{\alpha} \right) - \zeta_i \right) \\ X_i^c(n) &= Y_i^c \left(\frac{X_i^{a,b,c}(n) - \zeta_i}{\alpha} \right), \end{aligned}$$

where the processes $(X_1^{a,b,c}(n), \dots, X_k^{a,b,c}(n))_{n>0}$, $(Y_i^{a,b}(n), Y_i^c(n))_{n>0}$ for $1 \leq i \leq k$, and $(Z_i^a(n), Z_i^b(n))_{n>0}$ for $1 \leq i \leq k$, are all independent. The claimed results then follow by composing the limits (5.5), (5.6) and (5.7).

Remark 5.8. — The following statements follow using similar arguments:

$$\left(D_1^{1/\alpha} R_1, \dots, D_k^{1/\alpha} R_k \right) \stackrel{(d)}{=} R \cdot \left(\widetilde{D}_1, \dots, \widetilde{D}_k \right),$$

where $R \sim \text{ML}(1/\alpha, \gamma/\alpha)$ is independent of $(\widetilde{D}_1, \dots, \widetilde{D}_k) \sim \text{Dir}(\zeta_1, \dots, \zeta_k)$, and

$$R_i^{\alpha-1} \bar{R}_i \sim \text{ML}(1 - 1/\alpha, \zeta_i/\alpha)$$

for $1 \leq i \leq k$.

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